

01-21-2003

Docket No. 02-1283

FORM PTO-1595

REC

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

102342476

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hongzhan Chen
Zuohu LiAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Institute of Process Engineering, Chinese Academy
Sciences

Address: 1 Beiertiao

Zhongguancun Road

City: Beijing

State:

Country: China

Zip: 100080

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 12/10/02

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 1/14/03

A. Patent Application No.(s)

Filing Date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

10/341954

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: A. Blair Hughes

Registration No.: 32,901

Company Name: McDonnell, Boehnen, Hulbert & Berghoff

Street Address: 300 South Wacker Drive - 32nd Floor

City: Chicago

State: Illinois

Country: U.S.A.

ZIP: 60606

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

13-2490

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

A. Blair Hughes

Printed Name of Person Signing

Signature

Date: January 14, 2003

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

01/16/2003 EMAIL 00000067 132490 102342476

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Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

PATENT

REEL: 013664 FRAME: 0539

U.S. PTO
10/341954
01/14/03

ASSIGNMENT

WHEREAS, Hongzhang CHEN, a citizen of China, residing at
1 Beiertiao, Zhongguancun Road, Beijing, China,
and

Zuohu LI, a citizen of China, residing at
1 Beiertiao, Zhongguancun Road, Beijing, China,

hereinafter referred to as ASSIGNOR, have invented and owns a certain invention
entitled:

Gas Dual-Dynamic Solid State Fermentation Technique and Apparatus

for which application for Letters Patent of the United States has been filed on even date; and

WHEREAS, INSTITUTE OF PROCESS ENGINEERING, CHINESE ACADEMY
OF SCIENCES, a corporation of China, having a principal place of business at 1 Beiertiao,
Zhongguancun Road, Beijing 100080, China,

hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire interest in,
to and under said invention and the United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of
the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other
good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to
ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters
Patent of the United States to be obtained therefor on said utility application or any
continuation, division, renewal, substitute or reissue thereof for the full term or terms for
which the same may be granted.

ASSIGNOR also assigns all of his rights, titles and interests in and to said
invention in all foreign countries, and all applications for Letters Patent Which may evolve
therefrom, including the right to claim International Convention priority.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance
has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR further covenants that ASSIGNEE will, upon their request, be
provided promptly with all pertinent facts and documents relating to said application, said

invention and said Letters Patent as may be known and accessible to ASSIGNOR and ASSIGNOR will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or their legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said applications, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Date 2002.12.10

Hongzhang Chen
Hongzhang CHEN

Date 2002.12.10

Zuohu Li
Zuohu LI