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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Krzysztof Matyjaszewski, Betül Kirci,
Jean François Lutz, Tomislav Pintauer**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: January 9, 2003, January 10, 2003,
January 9, 2003 and January 13, 2003

2. Name and address of receiving party(ies)

Name: Carnegie Mellon University

Internal Address: 1-14-03

Street Address: 5000 Forbes Avenue

City: Pittsburgh State: PA Zip: 15213

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/269,556

B. Patent No.(s)

Additional number(s) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed.

Name: Bernard G. Pike, Esq.

Internal Address: Kirkpatrick & Lockhart LLP

Henry W. Oliver Building

Street Address: 535 Smithfield Street

City: Pittsburgh State: PA Zip: 15222-2312

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR

3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bernard G. Pike

Name of Person Signing

Signature

January 14, 2003
Date

Total number of pages including cover sheet, attachments, and documents: 7

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Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013665 FRAME: 0764

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☒ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

Krzysztof Matyjaszewski
(type or print name of inventors)

9 Queens Court
Pittsburgh, PA 15238 USA
Address Nationality

Betül Kirci

Bati sitesi m 1 c 278 s Nural sitesi
B-3 Batikent ANKARA TURKEY Turkey

Jean François Lutz

6204 Fifth Avenue, Apartment 3B France
Pittsburgh, PA 15238

Tomislav Pintauer

5644 Beacon Street, Apartment 2R
Pittsburgh, PA 15217 CROATIA

hereby sells, assigns and transfers to

ASSIGNEE:

Carnegie Mellon University
(type or print name of ASSIGNEE)

5000 Forbes Avenue
Address

(a non-profit Pennsylvania organization)
Nationality

Pittsburgh, PA 15213

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____ %) interest for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled **PROCESS FOR MONOMER SEQUENCE CONTROL IN POLYMERIZATIONS**

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in

(a) ☐ U.S. patent application executed on even date herewith

(b) ☐ U.S. patent application executed on _____

(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention

☐ Express mail label no.: _____

Mailed: _____

☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☒ U.S. application no.: 10/269,556 filed on October 11, 2002

(e) ☒ International application no. PCT/US 02/32526 filed on October 11, 2002

(f) ☐ U.S. patent no. _____ issued _____

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal on the date(s) indicated below.

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Krzysztof Matyjaszewski
(type name of Inventor)

Krzysztof Matyjaszewski 09/09/03
Signature of INVENTOR Date

Betül Kirci
(type name of Inventor)

Signature of INVENTOR Date

Jean François Lutz
(type name of Inventor)

Jean François Lutz 08-09-03
Signature of INVENTOR Date

Tomislav Pintauer
(type name of Inventor)

Tomislav Pintauer 01/13/2003
Signature of INVENTOR Date

☐ Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

To: E. Pike

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal on the date(s) indicated below.

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Krzysztof Matyjaszewski
(type name of Inventor)

Signature of INVENTOR

Date

Betül Kirci
(type name of Inventor)

Betül Kirci
Signature of INVENTOR

01/10/2003
Date

Jean Francois Lutz
(type name of Inventor)

Signature of INVENTOR

Date

Tomislav Pintauer
(type name of Inventor)

Signature of INVENTOR

Date

☐ Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 201. Use next page if notarization is desired.