

Attorney Docket No. 016285-002710US

Form PTO-1595  
(Rev. 10-02)  
OMB No. 0651-0027 (exp. 5/31/2002)

**Recordation Form Cover Sheet**  
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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

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**Albert H.L. Chow**  
Additional name(s) of conveying party(ies) attached?  Yes  No.

2. Name and address of receiving party(ies)  
Name: **The Chinese University of Hong Kong**  
Internal Address:  
Street Address:  
City: **Shatin, New Territories**  
Country: **Hong Kong Special Administration Region**  
Additional name(s) and address(es) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other:  
Execution Date: **May 5, 2003; and, May 5, 2003**


4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is:  
A. Patent Application No(s): **10/236,996**  
B. Patent No(s):  
Additional numbers attached?  Yes  No

6. Total number of applications and patents involved **1**  
7. Total fee (37 CFR 3.41): **\$40.00**  
 Enclosed  
 Authorized to be charged to deposit account

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: **Rosemarie L. Celli**  
**TOWNSEND AND TOWNSEND AND CREW LLP**  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

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**Rosemarie L. Celli**  
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**May 20, 2003**  
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1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

Kevin K.W. Chu

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

3. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

PA 330563+vl

Attorney Docket No.: 016285-002710US  
CUHK Reference No.: 01/MED/085

### ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Albert H.L. Chow of Flat D, 5th Floor, Block 10 Villa Athena, Ma On Shan, N.T., Hong Kong Special Administrative Region; Kevin K.W. Chu of Flat A, 5th Floor 27 Fuk Wing Street, Kowloon, Hong Kong Special Administrative Region; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:        PREPARATION AND STANDARDIZATION OF  
                                 IMMUNOMODULATORY PEPTIDE-LINKED  
                                 GLUCANS WITH VERIFIABLE ORAL  
                                 ABSORBABILITY FROM CORIOLUS  
                                 VERSICOLOR

Declaration Execution Dates :        12/10/02 and 12/11/02;

Filing Date:                                September 6, 2002;

Application No.:                            10/236,996; and,

WHEREAS, The Chinese University of Hong Kong, located at Shatin, New Territories, Hong Kong Special Administrative Region, a non-profit organization of Hong Kong, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignment of US Application No. 10/236,996  
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Application No.: 10/236,996  
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Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: May 5, 2003.

  
\_\_\_\_\_  
Albert H.L. Chow

Dated: May 5, 2003

  
\_\_\_\_\_  
Kevin K.W. Chu

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