

01-23-2003



To the Honorable Commissioner of

102344393

the attached original documents or copy thereof.

1. Name of conveying party(ies):

Majorie Regan Gatlin, Michele Ann Ball, Richard
Owen Mannion, Anees Abdulquadar Karnachi,
Christiane Guitard, Malcolm AllisonAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

1-16-03

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 2/1/01, 1/26/01, 1/29/01, 2/5/01, 2/7/01

2. Name and address of receiving party(ies)

Name: Novartis AG

Internal Address:

Street Address: Schwarzwaldallee 215

City: Basel State: Switzerland ZIP: 4058

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/663,264

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Hoxie

Internal Address: Novartis

Corporate Intellectual Property

Street Address: One Health Plaza

City: East Hanover State: NJ ZIP: 07936-1080

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

01/22/2003 6TON11 00000066 190134 09663264

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John D. Thallemer

Name of Person Signing
Reg. No. 34,940

Signature

Date

1/16/03

JAN 20 2003 ☒ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

700 Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

We,

Marjorie Regan Gatlin	residing at	710 Park Avenue Hoboken, New Jersey 07030
Michele Ann Ball	residing at	1316 Gates Court Morris Plains, New Jersey 07950
Richard Owen Mannion	residing at	24 Maple Lane Mount Arlington, New Jersey 07856
Anees Abdulquadar Karnachi	residing at	34 Nostrand Road Hillsborough, New Jersey 08844
Christiane Guitard	residing at	Rue Bellevue 13 68220 Hegenheim France
Malcolm Allison	residing at	Kraftstrasse 16 4056 Basel Switzerland,

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG**, a company organized under the laws of the Swiss Confederation, having a place of business at Schwarzwaldallee 215, Basel, Switzerland 4058, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted tous by local laws or by treaty, including any international convention for the protection of industrial property, in and for the United States and its territories and possessions in and to the invention entitled:

**METHOD OF TREATING METABOLIC DISORDERS, ESPECIALLY DIABETES, OR A DISEASE
OR CONDITION ASSOCIATED WITH DIABETES**

invented by us and described in the application for United States Letters Patent executed on even date herewith including (1) said application for United States Letters Patent and all continuations and divisions thereof (including further continuations and divisions such as, but not limited to, continuations of continuations and divisions of continuations), (2) all United States Letters Patent which may be issued and/or granted on all such applications, (3) all applications for reissues and extensions of and reexamination certificates for all such United States Letters Patent and (4) all reissues and extensions and reexamination certificates issued for all such United States Letters

Patent, the said interest being the entire ownership of said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates to be held and enjoyed by the said Novartis AG and its successors and assigns to the full end of the terms to which said United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of said applications for United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates in said assignee.

Signed this 1 day of February, 2001 by Marjorie Regan Gath
Marjorie Regan Gath

Signed this 1 day of February, 2001 by Michele Ann Ball
Michele Ann Ball

Signed this 20 day of January, 2001 by Richard Owen Mannion
Richard Owen Mannion

Signed this 29 day of January, 2001 by Anees Abdulquadar Karnachi
Anees Abdulquadar Karnachi

Signed this 5 day of February, 2001 by Christiane Guitard
Christiane Guitard

Signed this 7 day of February, 2001 by Malcolm Allison
Malcolm Allison