

01-24-2003



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3R SHEET

FORM PTO-1595

(Rev. 06/93)

OMB No. 0651-0011 (Exp. 04/94)

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: SSI-00700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Maximilian Albert Biberger  
Frederick Paul Layman  
Thomas Robert Sutton

Additional name(s) of conveying party(ies) attached.

2. Name and address of receiving party(ies):

Supercritical Systems Inc.  
4160A Technology Drive  
Fremont, CA 94538-6360

Additional name(s) and address(es) attached.

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date: February 23, 2001.

4. Application number(s) or patent number(s):

☒ This document is being filed together with a new application, the execution date of the application is:  
☒ Patent Application Serial No.(s): 09/704,641 filed on November 1, 2000.☐ Patent No.(s): \_\_\_\_\_  
☐ Additional numbers attached.

5. Name and address of party to whom correspondence concerning document should be mailed:

Thomas B. Haverstock  
HAVERSTOCK & OWENS LLP  
260 Sheridan Avenue, Suite 420  
Palo Alto, California 94306

6. Total number of applications and patents involved: 1.

7. Total fee(s) [37 CFR §§ 1.21(h) and 3.41]:

☒ Check(s) in the amount of \$40.00 enclosed.

8. Authorization to Charge Additional Fees:

☒ The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication and which may be required under 37 CFR §§ 1.21(h) and 3.41 to Deposit Account No. 08-1275.

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Dated: March 6, 2001

Signature: Thomas B. Haverstock  
Name: Thomas B. Haverstock  
Reg. No.: 32,571

Total number of pages including cover sheet, attachments, and document: 2

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02 FC:8021

40.00 OP

## ASSIGNMENT

WHEREAS, WE, Maximilian Albert Biberger, Frederick Paul Layman and Thomas Robert Sutton, hereinafter referred to as "ASSIGNORS", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHOD AND APPARATUS FOR SUPERCRITICAL PROCESSING OF WORKPIECE

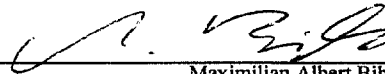

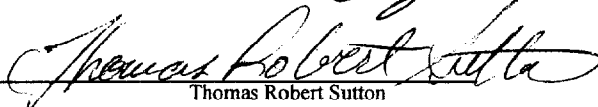
Date of execution of application: \_\_\_\_\_ Filing Date: November 1, 2000 Serial No.: 09/704,641

WHEREAS, Supercritical Systems Inc., a corporation of the State of California, 4160A Technology Drive, Fremont, CA 94538-6360, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee, and Assignee's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any Letters Patent.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

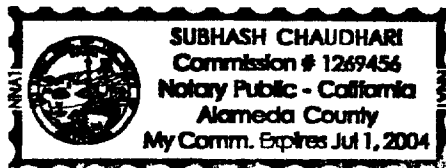
IN TESTIMONY WHEREOF, Assignors have hereunto signed their names to this assignment on the dates indicated below.

 _____ Maximilian Albert Biberger	<u>02/23/01</u> _____ Date
 _____ Frederick Paul Layman	<u>2/23/01</u> _____ Date
 _____ Thomas Robert Sutton	<u>2-23-01</u> _____ Date

STATE OF California  
COUNTY OF Alameda

On 2/23/2001 before me, Subhash Chaudhari, Notary Public,  
personally appeared Maximilian Albert Biberger, Frederick Paul Layman, and Thomas Robert Sutton

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose names are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



SEAL

WITNESS my hand and official seal.

Subhash Chaudhari  
\_\_\_\_\_  
Signature of Notary Public