

01-27-2003

WENMM 1619A (2-99)/6001-293:JHA:197121



SHEET

102347572

TO: The Commissioner of Patents and Trademarks: Please record attached original document(s) or copy(ies).

|   |               |   |  |
|---|---------------|---|--|
| <b>Submission Type</b>  |               | <b>Conveyance Type</b>  |  |
| <input checked="" type="checkbox"/> New                                 | 1-21-03       | <input type="checkbox"/> Assignment   | <input type="checkbox"/> Security Agreement        |
| <input type="checkbox"/> Resubmission (Non-Recordation)<br>Document ID# |               | <input type="checkbox"/> License  | <input checked="" type="checkbox"/> Change of Name |
| <input type="checkbox"/> Correction of PTO Error                        |               | <input type="checkbox"/> Merger   | <input type="checkbox"/> Other                     |
| <input type="checkbox"/> Reel #            Frame #                      |               | U.S. Government<br>(For use ONLY by U.S. Government Agencies)   |  |
| <input type="checkbox"/> Corrective Document                            |               | <input type="checkbox"/> Departmental File  | <input type="checkbox"/> Secret File               |
| <input type="checkbox"/> Reel #            Frame #                      |               | <input type="checkbox"/> Mark if additional names of conveying parties attached   |  |
| <b>Conveying Party(ies)</b>   |               |   |  |
| Name (line 1) <b>Allison Engine Company, Inc.</b>                       |               | Execution Date<br>Month Day Year<br><b>April 4, 2000</b>  |  |
| Name (line 2)   |               |   |  |
| <b>Second Party</b>   |               | Execution Date<br>Month Day Year  |  |
| Name (line 1)   |               |   |  |
| Name (line 2)   |               |   |  |
| <b>Receiving Party</b>  |               | Mark if additional names of receiving party attached  |  |
| Name (line 1) <b>Rolls-Royce Corporation</b>                            |               | <input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.) |  |
| Name (line 2)   |               |   |  |
| Address (line 1) <b>P.O. Box 420</b>                                    |               |   |  |
| Address (line 2)  |               |   |  |
| Address (line 3) <b>Indianapolis, IN 46206-0420</b>                     |               |   |  |
| City  | State/Country | Zip Code  |  |
| <b>Domestic Representative Name and Address</b>                         |               | Enter for the first Receiving Party only.   |  |
| Name  |               |   |  |
| Address (line 1)  |               |   |  |
| Address (line 2)  |               |   |  |
| Address (line 3)  |               |   |  |
| Address (line 4)  |               |   |  |
| FOR OFFICE USE ONLY   |               |   |  |

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington D.C., 20231

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RECORDS SECTION  
JAN 27 11 10 AM '03

PATENT  
REEL: 013684 FRAME: 0274

Page 2

**Correspondent Name and Address**

Area Code and Telephone Number

(317) 634-3456

Name

John H. Allie

Address (line 1)

Woodard, Emhardt, Naughton, Moriarty &amp; McNett

Address (line 2)

Bank One Tower/Center

Address (line 3)

111 Monument Circle, Suite 3700

Address (line 4)

Indianapolis, Indiana 46204-5137

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

2

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)****Patent Number(s)**

5,916,518

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Corporation Treaty**Enter a PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of properties**

Enter the total number of properties involved

1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account).

Deposit Account Number

#23-3030

Authorization to charge additional fees:

Yes

No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John H. Allie

January 13, 2003

**Name of Person Signing****Signature****Date**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on:

Date of Deposit: January 13, 2003

Name of Registered Representative: John H. Allie

Signature:

Date of Signature: January 13, 2003

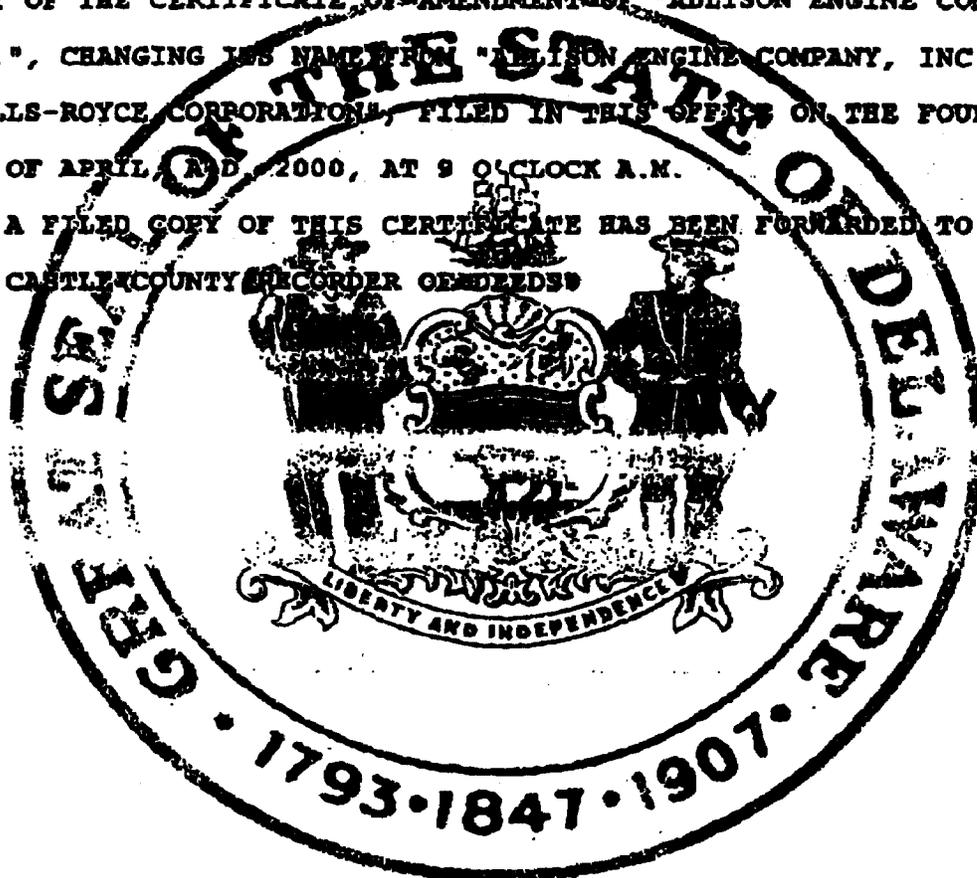
**PATENT**  
**REEL: 013684 FRAME: 0275**

State of Delaware

Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ALLISON ENGINE COMPANY, INC.", CHANGING ITS NAME FROM "ALLISON ENGINE COMPANY, INC." TO "ROLLS-ROYCE CORPORATION", FILED IN THIS OFFICE ON THE FOURTH DAY OF APRIL, A.D. 2000, AT 9 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER GENERAL'S OFFICE.



*Edward J. Freel*

Edward J. Freel, Secretary of State

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AUTHENTICATION: 0359661

DATE: 04-04-00

**CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION  
OF  
ALLISON ENGINE COMPANY, INC.**

**IT IS HEREBY CERTIFIED THAT:**

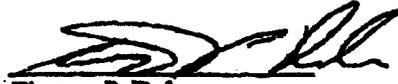
1. The name of the corporation (hereinafter called the "Corporation") is Allison Engine Company, Inc.
2. The certificate of incorporation of the Corporation is hereby amended by striking out "Article First" thereof and by substituting in lieu of said Article the following new Article:

~~First~~ The name of the corporation is Rolls-Royce Corporation.

3. The amendment of the certificate of incorporation herein certified has been duly adopted in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.

The effective time of the amendment herein certified shall be upon filing with the Delaware Secretary of State.

Signed and attested to on April 4, 2000.



**Thomas P. Dale  
Vice President - Business  
Development & General Counsel &  
Secretary**

Attest:



**Assistant Secretary**

STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 09:00 AM 04/04/2000  
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703 318 9009 PAGE. 02  
\*\* TOTAL PAGE. 02 \*\*