

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECORDATION FORM COVER SHEET

37-94

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Matthew R. Pincus

Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Innapharma, Inc.

Address: 1 Maynard Drive
Park Ridge, NJ 07656

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of name
- ☐ Other

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: May 20, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)

B. Patent No.(s)

5,840,683

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Greenlee, Winner & Sullivan, P.C.

Internal Address: _____

Street Address: 5370 Manhattan Circle,

Suite 201

City: Boulder State: CO ZIP: 80303

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40

- ☐ Enclosed
- ☒ Authorized to be charged to deposit account

8. Deposit Account Number 07-1969

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donna M. Ferber

Name of Person signing

Signature

May 28, 2003

Date

Total number of pages including cover sheet, attachments, and document: 3

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ASSIGNMENT

WHEREAS, I, **MATTHEW R. PINCUS**, (herein called "ASSIGNOR"), residing at 135 Eastern Parkway, Brooklyn, New York, 11238, am a co-inventor of the invention "Peptides Inhibiting the Oncogenic Action of P21 Ras," for which United States Patent Application 08/531,525 was filed September 21, 1995 and issued as United States Patent No. 5,840,683 on November 24, 1998;

WHEREAS, **INNAPHARMA, INC.** (herein called "ASSIGNEE"), a corporation organized and existing under the laws of the State of Delaware having a principal place of business at 1 Maynard Drive, Park Ridge, New Jersey 07656, is desirous of obtaining my entire right, title and interest in, to and under the said invention and the said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration the receipt of which is hereby acknowledged, I, the said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire legal right, title and interest in, to and under the said invention, and in the said United States Patent Application 08/531,525 filed September 21, 1995 and issued as United States Patent No. 5,840,683 on November 24, 1998; and all extensions, renewals and reissues thereof;

AND I HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries foreign to the United States;

IN TESTIMONY WHEREOF, I hereunto set my hand and seal the day and year set opposite my signature.

Date:

May 20, 2003

MATTHEW R. PINCUS

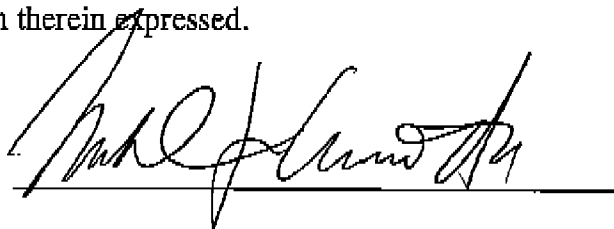
STATE OF NEW YORK)

COUNTY OF

Kings

) ss.

BEFORE ME, the undersigned authority, on this 20th day of May, 2003, personally appeared **Matthew R. Pincus** known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



My commission expires:

MICHAEL J. CINCOTTA
Notary Public, State of New York
No. 01CI4968189
Qualified In Kings County
Commission Expires June 18, 2006

Doc:37-9 Assign_Pincus2 Imapharm.