

01-27-2003

Docket No. 55502-00002

FORM PTO-1596
(Rev. 6-93)

ET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4-30-04)
Tab settings -



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1-21-03

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Kenneth W. Welch Jr., Curtis J. Rothi and
Harold L. Rothi

Additional name(s) of conveying party(ies)
attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: October 29, 2002 and November 1, 2002,
and October 31, 2002, respectively

2. Name and address of receiving party(ies):

Name: Independent Natural Resources

Internal Address: _____

Street Address: 5250 Villa Way, Suite 335
Edina, MN 55436

Additional name(s) & address(es)
attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

U.S. Serial No. 10/270,387 filed October 10, 2002

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name:

Brian D. Walker
JENKENS & GILCHRIST, P.C.
3200 Fountain Place
1445 Ross Avenue
Dallas, Texas 75202-2799

6. Total number of applications and patents involved:
One (1)

7. Total fee (37 CFR 3.41):..... \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:
10-0447 (for deficiency only)

01/27/2003 6TOM11 00000022 10270387

DO NOT USE THIS SPACE

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40.00 DP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brian D. Walker, Reg. No. 37,751
Name of Person Signing

Signature

January 13, 2003
Date

Total number of pages including cover sheet, attachments, and document: Six (6)

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, We, Kenneth W. Welch Jr., Curtis J. Rothi, Harold L. Rothi, have invented certain new and useful improvements in the following: **"BUOYANCY PUMP DEVICE"** for which we have made application for United States Letters Patent; and,

WHEREAS, Independent Natural Resources, Inc., a corporation organized and existing under the laws of Delaware, having its principal place of business at 5250 Villa Way, Suite 335, Edina, MN 55436 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives our entire right, title and interest in and to said invention and in and to said applications and all patents which may be granted therefore, and all divisions, reissues, substitutions, continuations, continuation-in-part and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interest is concerned, to the said ASSIGNEE of our entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said

applications throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and

We further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or its successors, assigns and legal representatives, any facts known to me respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

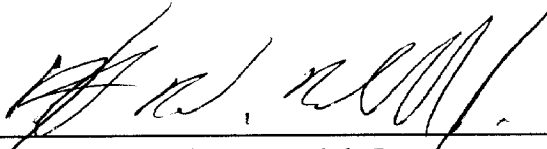
We further authorize and direct our attorneys to insert below* the serial number and filing date of said application now identified as Case Docket No. 55502-00002 as soon as the same shall have been made known to them by the United States Patent Office.

*Serial Number: 10/270,387

Filing Date: October 10, 2002

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 29 day of October

2002.

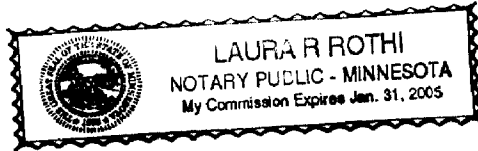

Name: Kenneth W. Welch Jr.

Address: 6502 Regency Lane
Eden Prairie, MN 55344

State of MN §

§ ss.:

County of HENNEPIN §



On this 29 day of October, 2002, before me, a Notary Public in and for the State and County aforesaid, personally appeared Kenneth W. Welch Jr., known by me to be the person of the above name who signed and sealed the foregoing instrument, and acknowledged the same to be his own free act and deed.


Notary Public

My Commission Expires:

January 31, 2005

IN WITNESS WHEREOF I have hereunto set my hand and seal this 1 day of NOVEMBER
2002.

Curtis J. Rothi
Name: Curtis J. Rothi
Address: 21445 Cleary Road
Anoka, MN 55303

State of MN §
County of HENNEPIN § ss.:
§



On this 1 day of NOVEMBER, 2002, before me, a Notary Public in and for the State and County aforesaid, personally appeared Curtis J. Rothi, known by me to be the person of the above name who signed and sealed the foregoing instrument, and acknowledged the same to be his own free act and deed.

Laura R Rothi
Notary Public

My Commission Expires:
January 31, 2005

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 31 day of October, 2002.

Harold L. Rothi
Name: Harold L. Rothi
Address: 15619 94th Street NE
Otsego, MN 55330

State of MN §
County of HENNEPIN § ss.: §



On this 31 day of October, 2002, before me, a Notary Public in and for the State and County aforesaid, personally appeared Harold L. Rothi, known by me to be the person of the above name who signed and sealed the foregoing instrument, and acknowledged the same to be his own free act and deed.

Laura R Rothi
Notary Public

My Commission Expires:
January 31, 2005