



01-28-2003



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U.S. Department of Commerce
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PATENT

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1.17.03

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New
☐ Resubmission (Non-Recordation)
Document ID#
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
Reel # Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached
Execution Date
Month Day Year
08162001

Name (line 1) Condit, David A.

Name (line 2)

Second Party

Name (line 1) Perry, Michael L. Execution Date
Month Day Year
08142001

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) International Fuel Cells, LLC

Name (line 2)

Address (line 1) 195 Governors Highway

Address (line 2)

Address (line 3) South Windsor CT 06074
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Chisholm, Malcolm J.

Address (line 1) P.O. Box 278

Address (line 2) 220 Main Street

Address (line 3) Lee, MA 01238

Address (line 4)

01/27/2003 TDIAZI 00000089 501307 09935254
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FOR OFFICE USE ONLY

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Mail documents to be recorded with required cover sheet(s) information to:
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PATENT
REEL: 013686 FRAME: 0263

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Breault, Ridhard D.

Execution Date
Month Day Year

08142001

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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Correspondent Name and Address

Area Code and Telephone Number 413 243 0551

Name Chisholm, Malcolm J.

Address (line 1) P.O. Box 278

Address (line 2) 220 Main Street

Address (line 3) Lee, MA 01238

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document
including any attachments.

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/935,254

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was
signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit AccountEnclosed ☐Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

50-1307

Authorization to charge additional fees:

Yes ☐No ☐

Statement and Signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.*

Malcolm J. Chisholm, Jr.

Name of Person Signing

Signature

January 17, 2003

Date

ASSIGNMENT

Serial No.: 09/935,254

Filing Date: 08/22/2001

WHEREAS, we DAVID A. CONDIT, residing at 45 Rockledge Drive, Avon, CT 06001, MICHAEL L. PERRY, residing at 131 Bluff Point Road, South Glastonbury, CT 06073, and RICHARD D. BREAUULT, residing at 153 Pleasant Street, North Kingstown, RI 02852, all three persons being citizens of the United States of America, have invented certain new and useful improvements in a "**FREEZE TOLERANT FUEL CELL POWER PLANT**" invention identified as **Attorney Docket No. C-2497** and described in a patent application executed by the undersigned, being owners of all right, title, and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, INTERNATIONAL FUEL CELLS, LLC, of South Windsor, Connecticut, a limited liability company registered with the State of Delaware (assignee), desires to obtain the entire right, title and interest in, to and under said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application; and in any applications filed in any foreign country based thereon, including the right to file said foreign applications and to claim priority under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference

purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have hereunto set their hands and seals on the date after their signatures.

(L.S.) David Condit August 16th, 2001

(L.S.) Asst. Sec. August 14th, 2001

(L.S.) Richard D. Breault August 14th 2001

State of Connecticut

County of Hartford ss: South Windsor

On this 16th day of August, 2001, before me personally appeared DAVID A. CONDIT, to me personally known, and to me known to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Darlene S. Sutton

(Seal)

(
Notary Public, State of CONNECTICUT
MY COMMISSION EXPIRES: MAY 31, 2002

State of Connecticut

County of Hartford ss: South Windsor

On this 14th day of August, 2001, before me personally appeared MICHAEL L. PERRY, to me personally known, and to me known to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Darlene S. Sutton

(Seal)

(
Notary Public, State of DARLENE S. SUTTON
~~NOTARY PUBLIC~~
MY COMMISSION EXPIRES: MY COMMISSION EXPIRES MAY 31, 2002

State of Connecticut

County of Hartford ss: South Windsor

On this 14th day of August, 2001, before me personally appeared, RICHARD D. BREault, to me personally known, and to me known to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Darlene S. Sutton

(Seal)

(
Notary Public, State of DARLENE S. SUTTON
~~NOTARY PUBLIC~~
MY COMMISSION EXPIRES: MY COMMISSION EXPIRES MAY 31, 2002