

01-28-2003



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CASE 20933

1-21-03

To the Director-U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Toshihiko Fujii
- 2) Takehiro Okada
- 3) Mikio Taniguchi
- 4) Fumio Watanabe

2. Name and address of receiving party(ies):

Name: F.HOFFMANN-LA ROCHE AG

Internal Address: A SWISS COMPANY

Additional name(s) of conveying party(ies) attached? \_\_\_ Yes X No

3. Nature of conveyance:

- XX Assignment \_\_\_ Merger
- \_\_\_ Security Agreement \_\_\_ Change of Name
- \_\_\_ Other \_\_\_\_\_

Street Address: 124 GRENZACHERSTRASSE,  
CH-4070

Country: BASEL, SWITZERLAND

Execution Date: July 18, 2002

Additional name(s) & address(es) attached:

Yes \_\_\_ No X

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

USSN 10/195,698

**FILED: July 15, 2002**

Additional numbers attached? \_\_\_ Yes X No

01/27/2003 LMUELLER 00000178 082525 10195698

01 FC:0021 40.00 CH

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: ONE

Name: GEORGE W. JOHNSTON

\_\_\_\_\_

Internal Address:

7. Total fee (37 CFR 3.41).....\$ 40.00

HOFFMANN-LA ROCHE INC.

PATENT LAW DEPARTMENT

Authorized to be charged to deposit account

Authorized any deficiencies to be charged to deposit account

Street address: 340 KINGSLAND STREET

8. Deposit account number:

\_\_\_\_\_

08-2525

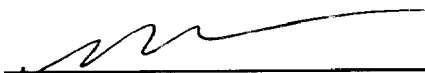
City: NUTLEY State: NJ Zip: 07110

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

PATRICIA S. ROCHA-TRAMALONI



JANUARY 13, 2003

Name of Person Signing

Signature

Date

CONTACT: Bettyann Hedemus  
TELEPHONE: (973) 235-4383  
FAX: (973) 235-2363

Total number of pages including cover sheet, attachments and document: 3

# Assignment

WHEREAS, I/WE

**Toshihiko Fujii, Takehiro Okada, Mikio Taniguchi, Fumio Watanabe**

the ASSIGNOR(S), have invented certain new and useful improvements in

## **DOLASTATIN 10 DERIVATIVES**

which are described and claimed in application for United States Letters Patent in respect of which a Declaration and Power of Attorney was executed on

July 18, 2002

which application is based upon the following foreign application(s)

**in Europe on July 19, 2001 under No. 01117410.9**

NOW, THEREFOR, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations to me (us) in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I/we have sold, assigned and set over, and by these presents do hereby sell, assign and set over unto

**F. Hoffmann-La Roche AG, a Swiss Company  
of 124 Grenzacherstrasse, CH-4070 Basle, (Switzerland) the Assignee**

and the said Assignee's legal representatives, successors and assigns, the entire right, title and interest, in and to the said invention within the United States of America and its territories and possessions, and in and to said application and the Letters Patent of the United States of America that may be granted therefor, together with the right to extend the protection thereof to the various territories and possessions now owned or which may be acquired hereafter by the United States of America; and I/we do hereby request and authorize the Commissioner of Patents to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this assignment.

UPON SAID CONSIDERATION, I/we do hereby covenant and agree with the said Assignee that I/we will not execute any writing or do any act whatsoever conflicting with these presents, and that I/we will at any time upon request without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissued, or extended Letters Patent of the United States of America on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, including but not limited to, giving testimony in any proceedings or transactions involving such applications or patents, and executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of all parties hereto.

IN WITNESS WHEREOF, this Assignment has been executed by the Assignor(s)

at: *Kamakura*

on: *Jul. 18, 2002*

*Toshihiko Fujii*  
\_\_\_\_\_  
Toshihiko Fujii

*Takehiro Okada*  
\_\_\_\_\_  
Takehiro Okada

*Mikio Taniguchi*  
\_\_\_\_\_  
Mikio Taniguchi

*Fumio Watanabe*  
\_\_\_\_\_  
Fumio Watanabe

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**PATENT**