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U.S. Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party (ies):

1-27-03

David J. Marshall

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: January 13, 2003

2. Name and address of receiving party(ies)

Goldman Sachs & Co.

Name:

Internal Address: 85 Broad Street

New York 10004 USA

Street Address: Same as above

City:

State:

Zip:

Additional name(s) & address (es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

7056.043C

(09/977,813)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party of whom correspondence concerning document should be mailed:

Name: Barry J. Schindler

Internal Address: Dreier & Baritz
499 Park Avenue 20th floor
New York, NY 10022

Street Address: Same as above

City: State: Zip:

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attached duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry J. Schindler

Name of Person Signing

Signature

January 16, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

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PATENT
REEL: 013693 FRAME: 0618

ASSIGNMENT

WHEREAS, I, **David J. Marshall**, hereinafter referred to as ASSIGNOR, whose post office address is set forth below, have invented and own a certain invention entitled:

**A METHOD FOR HEDGING ONE OR MORE LIABILITIES ASSOCAITED WITH A DEFERRED
COMPENSATION PLAN AND FOR RECORDKEEPING OF SUCH A HEDGE**

for which an application for Letters Patent of the United States was filed on **December 26, 2002**, as a divisional utility application Serial No.

WHEREAS, **Goldman Sachs & Co.**, a corporation organized and existing under the laws of the State of New York, and having its principal place of business at **85 Broad Street, New York, New York 10004, U.S.A.** (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for good and valuable consideration, I hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, continuation-in-part, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I HEREBY COVENANT that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale; and

I FURTHER COVENANT that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to us and I will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

Signature:



Name Printed:

David J. Marshall

Post Office Address:

926 Turkey Hill RoadMilan, NY 12751U.S.A.

Citizenship:

USA

Dated:

1-13-03

{RSF1841.RTF};