

FORM PTO-1595  
(Rev. 5-93)  
OMB No. 0651-0011 (exp. 4/94)

# RECORDATION FORM COVER SHEET

## PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John S. Holmes; Robert M. Bultman; Jerry J. Queen II;  
Wolfgang Daum; Richard Homung; Rollie R. Herzog

Additional name(s) of conveying party(ies) attached  Yes  No

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address:

Street Address: 1 River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Execution Date: 12/10/2001; 12/10/2001; 12/12/2001;  
12/10/2001; 12/10/2001; 12/10/2001, respectively

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/754,600 filed January 5, 2001

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John S. Beulick

Internal Address: Armstrong Teasdale, LLP

Street Address: One Metropolitan Sq. Suite 2600

City: St. Louis State: MO ZIP: 63102

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

01-2384

(Attach duplicate copy of this page if paying by deposit account)

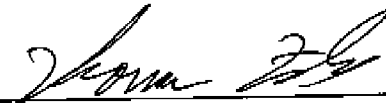
**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Thomas M. Fisher, Reg. No. 47,564

Name of Person Signing



Signature

June 2, 2003

Date

Total number of pages including cover sheet, attachments, and document: 9

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**

**REEL: 013700 FRAME: 0282**

**700032000**

ASSIGNMENT	Attorney's Docket No. <b>9D-HR-19406</b>
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In consideration of One Dollar and other good and valuable consideration, of which I acknowledge receipt, we, **John S. Holmes**, of 8329 Plum Valley Drive, Sellersburg, Indiana 47172, **Robert M. Bultman** of 640 Bart Smith Lane, Smithfield, Kentucky 40068, **Jerry Queen** of 3805 Lola Dell Court, New Albany, Indiana 47150, **Wolfgang Daum** of 6912 Fallen Leaf Circle, Louisville, Kentucky 40241, **Richard Hornung** of 9088 Taylorsville Road, Fisherville, Kentucky 40023 and **Rollie R. Herzog** of 9902 Winged Foot Court, Louisville, Kentucky 40223, sell and assign to General Electric Company, a New York Corporation, (hereinafter referred to as "Company"), its successors and assigns, the entire right, title and interest in and to the improvements in **REFRIGERATOR SYSTEM AND SOFTWARE ARCHITECTURE**, invented by us, as described in the application for the United States patent filed **January 5, 2001**, S.N. **09/754,600**, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

Dec 10, 2001

  
 \_\_\_\_\_  
**JOHN S. HOLMES**

Dec 10, 2001

  
 \_\_\_\_\_  
**ROBERT M. BULTMAN**

\_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
**JERRY QUEEN**

ASSIGNMENT	Attorney's Docket No. 9D-HR-19406
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Dec 10th, 2001

Wolfgang Daum  
WOLFGANG DAUM

DECEMBER 16-TH, 2001

Richard Hornung  
RICHARD HORNUNG

Dec 19th  
Rollie Herzog, 2001

Rollie R. Herzog  
ROLLIE R. HERZOG

STATE OF Kentucky ss.  
County of Jefferson

This 10th day of December, 2001, before me personally came the above-named **JOHN S. HOLMES**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Linda S. Chesser  
Notary Public



My commission expires:

Sept 11, 2003

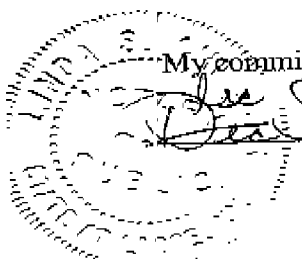
STATE OF Kentucky ss.  
County of Jefferson

This 10th day of December, 2001, before me personally came the above-named **ROBERT M. BULTMAN**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Linda S. Chesser  
Notary Public

My commission expires:

Dec Sept 11, 2003



ASSIGNMENT	Attorney's Docket No. <b>9D-HR-19406</b>
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STATE OF \_\_\_\_\_ )  
 ) ss.  
 County of \_\_\_\_\_ )

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above-named **JERRY QUEEN**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires: \_\_\_\_\_

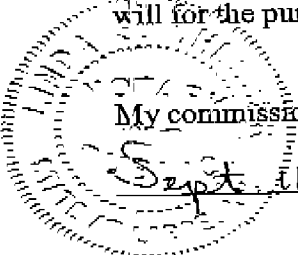
\_\_\_\_\_  
 Notary Public

STATE OF Kentucky )  
 ) ss.  
 County of Jefferson )

This 10<sup>th</sup> day of December 2001, before me personally came the above-named **WOLFGANG DAUM**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires: \_\_\_\_\_

Linda S. Chesser  
 Notary Public

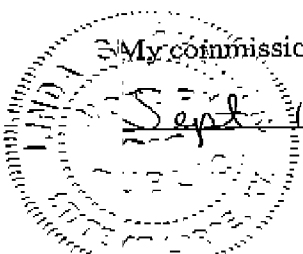


STATE OF Kentucky )  
 ) ss.  
 County of Jefferson )

This 10<sup>th</sup> day of December 2001, before me personally came the above-named **RICHARD HORNUNG**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires: \_\_\_\_\_

Linda S. Chesser  
 Notary Public



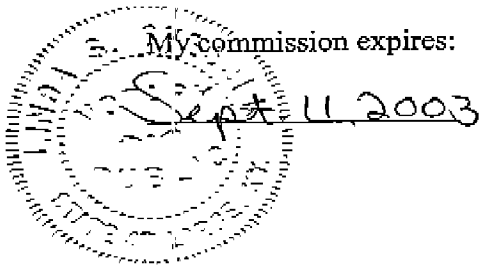
ASSIGNMENT	Attorney's Docket No. <b>9D-HR-19406</b>
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STATE OF Kentucky  
 County of Jefferson Ass.

This 10<sup>th</sup> day of December, 2001, before me personally came the above-named **ROLLIE R. HERZOG**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Linda S. Chesser  
 Notary Public

My commission expires:



ASSIGNMENT	Attorney's Docket No. 9D-HR-19406
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In consideration of One Dollar and other good and valuable consideration, of which I acknowledge receipt, we, **John S. Holmes**, of **8329 Plum Valley Drive, Sellersburg, Indiana 47172**, **Robert M. Bultman** of **640 Bart Smith Lane, Smithfield, Kentucky 40068**, <sup>JERRY J. QUEEN II</sup> ~~Jerry Queen~~ of **3805 Lola Dell Court, New Albany, Indiana 47150**, <sup>JERRY</sup> ~~Wolfgang~~ **Daum** of **6912 Fallen Leaf Circle, Louisville, Kentucky 40241**, **Richard Hornung** of **9088 Taylorsville Road, Fisherville, Kentucky 40023** and **Rollie R. Herzog** of **9902 Winged Foot Court, Louisville, Kentucky 40223**, sell and assign to General Electric Company, a New York Corporation, (hereinafter referred to as "Company"), its successors and assigns, the entire right, title and interest in and to the improvements in **REFRIGERATOR SYSTEM AND SOFTWARE ARCHITECTURE**, invented by us, as described in the application for the United States patent filed **January 5, 2001**, S.N. **09/754,600**, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.


\_\_\_\_\_, 20

\_\_\_\_\_  
**JOHN S. HOLMES**

\_\_\_\_\_, 20

\_\_\_\_\_  
**ROBERT M. BULTMAN**

12/12, 20 01

\_\_\_\_\_  
  
**JERRY QUEEN** **JERRY J. QUEEN II**  
 JERRY

ASSIGNMENT

Attorney's Docket No.

9D-HR-19406

\_\_\_\_\_, 20\_\_\_\_

**WOLFGANG DAUM**

\_\_\_\_\_, 20\_\_\_\_

**RICHARD HORNUNG**

\_\_\_\_\_, 20\_\_\_\_

**ROLLIE R. HERZOG**

STATE OF \_\_\_\_\_ )  
County of \_\_\_\_\_ ) ss.

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above-named **JOHN S. HOLMES**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires:

\_\_\_\_\_  
Notary Public

STATE OF \_\_\_\_\_ )  
County of \_\_\_\_\_ ) ss.

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above-named **ROBERT M. BULTMAN**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires:

\_\_\_\_\_  
Notary Public

ASSIGNMENT	Attorney's Docket No. <b>9D-HR-19406</b>
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STATE OF IN )  
 County of Floyd ) ss.

This 13<sup>th</sup> day of Dec, 2001, before me personally came the above-named ~~JERRY QUEEN~~ JERRY J. QUEEN II to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



My commission expires:

Rhonda K Reed  
 Notary Public Rhonda K Reed  
Resident of Floyd Co.

3-12-08

STATE OF \_\_\_\_\_ )  
 County of \_\_\_\_\_ ) ss.

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above-named **WOLFGANG DAUM**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires:

\_\_\_\_\_  
 Notary Public

STATE OF \_\_\_\_\_ )  
 County of \_\_\_\_\_ ) ss.

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above-named **RICHARD HORNUNG**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires:

\_\_\_\_\_  
 Notary Public



ASSIGNMENT

Attorney's Docket No.

9D-HR-19406

STATE OF \_\_\_\_\_ )  
 ) ss.  
County of \_\_\_\_\_ )

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above-named **ROLLIE R. HERZOG**, to me personally known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My commission expires:

\_\_\_\_\_  
Notary Public