|  | 01-31-200  | 73                |   |
|--|--|-------------------|---|
| FORM PTO<br>1-31-92  | 10235340   |                   | ET U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office                                    |
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| Please red   | cord the attached original documents or copy thereof.  | •                 |   |
| 1.   | Name of conveying party(ies): Scott Jackson, Darin St Germain, Thomas J. Steinke and Paul Gallagher                          | 2. Name: Address: | Name and address of receiving party(ies): United States Filter Corporation 40-004 Cook Street |
| 3.<br><u>X</u> Ass   | Nature of Conveyance: ignment Merger   | Additiona         | Palm Desert, California 92211  Additional name(s) & address(es) attached? Yes X No            |
| _ Secur  | rity Agreement _ Change of Name  |                   |   |
| _ Other<br>Executi   | ion Date: September 3, 2002, September 3, 2002, August 29, 2002 and September 3, 2002, respectively.                         |                   |   |
| 4.   | Application number(s) or patent number(s):   |                   |   |
|  | A. Patent Application No.(s) 10/163,065<br>Filed: 5 June 2002  | В.                | Patent No.(s)   |
|  | Additional numbers attache   | ed? _ Yes _X      | No  |
| 5.   | Name and address of party to whom correspondence concerning document should be mailed:                                       | 6.                | Total number of applications and patents involved: 1  |
|  | David B. Smith Michael Best & Friedrich LLP 100 East Wisconsin Avenue Milwaukee, Wisconsin 53202-4108  Phone: (414) 271-6560 |                   |   |
|  | <b>^</b>   | 7.                | Total fee (37 CFR 3.41):  |
| 61 /36 /P00  | 03 ECOOPER 00000144 500214 10163065  |                   |   |
| 01 FC:80E  | An on th   | 8.                | Deposit account number: 50-0214   |
| 9.   | Statement and signature.  DO NOT USE TH  | us space          |   |
| To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. |  |                   |   |
|  | Person Signing Signature   | 0                 | January 28, 2003 Date   |
| Attorney File 039114-9042-01  Total number of pages including cover sheet, attachments, and document: 4  |  |                   |   |
| OMB No 0651-0011 (exp. 4/94)   |  |                   |   |

cc: Docketing

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PATENT REEL: 013702 FRAME: 0254

## ASSIGNMENT

Pursuant to our obligation to United States Filter Corporation (hereinafter referred to as "Assignee"), a Delaware corporation having its principal place of business at:

40-004 Cook Street Palm Desert, California 92211

and for other valuable and sufficient consideration, receipt whereof is hereby acknowledged, we:

Scott Jackson
111 Thompson Avenue
Gilbert, Iowa 50105
Citizenship: United States of America

Darin St. Germain 203 Jensen Street Gilbert, Iowa 50105 Citizenship: United States of America

Thomas J. Steinke 918 Arizona Avenue Ames, Iowa 50014 Citizenship: United States of America

Paul Gallagher 2910 Bay Berry Road Ames, Iowa 50014 Citizenship: United States of America

confirm our obligation to and hereby sell, assign and convey, unto Assignee, its successors and assigns, our entire right, title and interest -

- (1) in and to an invention entitled "FILTER MEDIA RETAINING CAP AND HOLD DOWN GRID" for which we have executed a United States patent application on even date herewith (Atty File No. 039114-9042-00);
- (2) in and to said United States patent application, in and to all other patent applications (including divisional, continuation, continuation-in-part, §111(b) provisional, §111(a), and reissue applications) based upon said invention, and in and to the patent or patents

PATENT REEL: 013702 FRAME: 0255 to be granted thereon, including reissues thereof, if any, to the full end of the term or terms for which said patent or patents may be granted;

(3) in and to all patent applications on said invention now or hereafter filed in countries foreign to the United States of America, and in and to any and all patents granted on said applications to the full end of the terms for which said patents may be granted; and

(4) under the International Convention in respect to the United States patent application and agree that any patent applications of any foreign countries which may be filed shall be filed in the name of our Assignee with a claim to priority based on said United States application.

And we hereby agree that we will, upon demand of Assignee, its successors or assigns, and without further consideration to us, execute any and all papers that may be necessary, or deemed by Assignee, its successors or assigns, to be necessary, to a complete fulfillment of the intent and purposes of this Assignment, it being understood that any expense incident to the execution of such papers shall be paid by Assignee, its successors and assigns, and not by us.

And the Commissioner of Patents and Trademarks of the United States is hereby authorized and requested to issue said United States patent or patents to Assignee.

September 3, 2002

Date:

Aug 29, 2002

Date:

Darin St. Germain

9/3/02

Date:

Paul Gallagher

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**RECORDED: 01/28/2003** 

PATENT REEL: 013702 FRAME: 0257