

01-31-2003



1-29-03 P1

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

SRI INTERNATIONAL

FINANCE SECTION

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other Confirmatory License

Execution Date(s): 02 DECEMBER 2002

- ☒ This instrument should be recorded on the governmental register in accordance with 37 CFR 7.1(e)(1).

2. Name and address of receiving party(ies):

Name: UNITED STATES AIR FORCEInternal Address: AFRL/IFOJStreet Address: 26 ELECTRONIC PARKWAYCity: ROMEState: NEW YORK Zip: 13441-4514Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s):

10/254,457

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: AFRL/IFOJ

Internal Address: \_\_\_\_\_

Street Address: 26 ELECTRONIC PARKWAYCity: ROMEState: NEW YORK ZIP: 13441-4514

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41) .....\$ 0☐ Enclosed ☒ Not applicable

The instrument to be recorded is not an instrument affecting title (37 CFR 7.1(e)(2) and (j)).

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

JUDITH M. DECKER

Name of person signing

Judith M. Decker  
Signature1/23/03  
Date

Total number of pages including cover sheet, attachments, and document:

2

|                                   |  |
|-----------------------------------|--|
| UNITED STATES AIR FORCE           | Title of Invention: HIERARCHICAL EVENT MONITORING<br>AND ANALYSIS  |
| <b>CONFIRMATORY INSTRUMENT</b>    |  |
| Inventors: Porras, Phillip et al. |  |
| Serial No.: 10/254457             | Filed: 25-Sep-2002   |
| Contractor: SRI International     | Contract No. F30601-96-C-0294,<br>DARPA/ITO Order No. E302 &<br>Contract No. F30602-99-C-0187,<br>DARPA Order No. H542 |

Grantee/Contractor File No.: US3928-6

The invention identified above is a "Subject Invention" under 35 USC 200 et seq. and FAR 52.227-11 – Patent Rights – Retention by the Contractor (Short Form), which are included among the terms of Contract No. F30601-96-C-0294, DARPA/ITO Order No. E302 & Contract No. F30602-99-C-0187, DARPA Order No. H542 with Defense Advanced Research Project Agency and Air Force Research Laboratory/IFOJ (ARFL).

This document is confirmatory of:

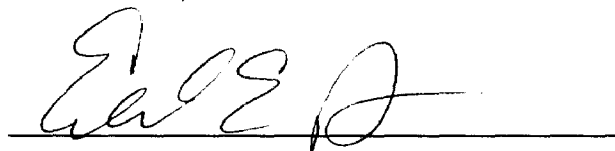
1. The paid-up license granted to the Government under this contract in this invention, patent application, and in any and all divisions, continuations, and continuations-in-part, and in any and all patents and reissues granted thereon, and of all other rights acquired by the Government by the referenced clause.
2. All other rights acquired by the Government by reason of the above-identified grant/contract award and the laws and regulations which are applicable to the award.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed December 2, 2002

For: SRI International  
333 Ravenswood Avenue  
Menlo Park, California 94025

By:



Edward E. Davis  
Assistant Secretary  
SRI International

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