0-27-03







Express Mail Number: EL 501 745 110 US

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Attorney Docket Number <u>10701-008</u>

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS Box Assignment Washington, DC 20231

Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Stephen C. Suffin, W. Hamlin Emory and Name: CNS RESPONSE, INC. Leonard J. Brandt Address: 3750 East Annaheim Street Additional name(s) of conveying party(ies) attached? □ Yes ⋈ No 3. Nature of conveyance: Long Beach, California 90804 □ Merger Country (if other than USA): □ Change of Name □ Security Agreement Execution Date: December 17, 2002, December 18, 2002 and December 19, 2002, respectively 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) A. Patent Application No.(s) 10/193,735 Additional numbers attached? □ Yes ☑ No 5. Name and address of party to whom correspondence 6. Number of applications concerning document should be mailed: and patents involved: 1 PENNIE & EDMONDS LLP 7. Total fee (37 CFR 3.41):....\$ 40.00 1155 Avenue of Americas Please charge to the deposit account listed in Section 8. New York, N.Y. 10036-2711 8. Deposit account number: 16-1150 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. January 24, 2003 Dwight H. Renfrew, Jr.

> Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignment

Total number of pages including cover sheet:

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Name of Person Signing

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Reg. No.

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PATENT REEL: 013702 FRAME: 0392

Date

ASSIGNMENT

WHEREAS, WE, Stephen C. Suffin; W. Hamlin Emory; and Leonard J. Brandt, ASSIGNORS, citizens of the United States, residing at Sherman Oaks, CA, Malibu, CA, and Laguna Hills, CA, are the inventors of the invention in ELECTROENCEPHALOGRAPHY BASED SYSTEMS AND METHODS FOR SELECTING THERAPIES AND PREDICTING OUTCOMES for which we have executed an application for a Patent of the United States

□ which	is executed on		even date herewith or		
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- which is identified by Pennie & Edmonds ⊥⊥ docket no. 10701-008-999
- which was filed on July 11, 2002, Application No. 10/193,735

and WHEREAS, CNS RESPONSE, INC., ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WF HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

signatures.	•	D		day and year set opposite	our respective
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Date	, 2002	, , , , , , , , , , , , , , , , , , ,	···	<i></i>	L.S.
Date	, 2002				L.S.
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County of Vertu-	SS.:			_	
on 12/17	, 2002, before	ne, Rulad	0 1	vels_	Notary Public,
personally appeared Stephe	en C. Suffin, personal	ly known to me on	the basis of	satisfactory evidence to b	e the person(s)
whose name(s) is subscrib- his/her/their authorized caps					
behalf of which the person(
1.400		7		RICHARD D. NELSON	
WITHERS my had	id add official seal],		Commission # 1317822	. L
product of	iu	- ₹(Notary Public - Californi Ventura County	* }
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personally appeared whose name(s) is su his/her/their authorize	bscribed to the within instrume	known to me on the basis of satisfactory evidence to be the person(s) and acknowledged to me that he/she/they executed the same in er/their signature(s) on the instrument the person(s), or the entity upon
WITNESS 1	my hand and official seal	
State of County of) SS.:	
personally appeared whose name(s) is su his/her/their authoriz	ibscribed to the within instrume	known to me on the basis of satisfactory evidence to be the person(s) ent and acknowledged to me that he/she/they executed the same in ter/their signature(s) on the instrument the person(s), or the entity upon
WITNESS 1	my hand and official seal	

ASSIGNMENT

States, residing at Sherman Oak ELECTROENCEPHALOGRAPH PREDICTING OUTCOMES for v	n C. Suffin; W. Hamlin Emory; and Leonard J. Brandt, ASSIGNOR ks, CA, Malibu, CA, and Laguna Hills, CA, are the inventor IY BASED SYSTEMS AND METHODS FOR SELECTING which we have executed an application for a Patent of the United States.	rs of the invention in Garage
which is executed on ex	ven date herewith or DW. Hands	AND.
	& Edmonds LLP docket no. 10701-008-999	1
and WHEREAS, CNS RESPONS under the said invention and the sa	E, INC., ASSIGNEE is desirous of obtaining our entire right, title aid application:	and interest in, to and
transferred and set over, and by the successors, legal representatives are United States application and all disbe granted thereon and all reissues without limitation, all applications any country or countries foreign to the same the priority rights derive International Convention for the Proof the country in which any such a including, without limitation, pater	consideration of the sum of One Dollar (\$1.00) to us in hand part of which is hereby acknowledged, we, the said ASSIGNORS hese presents do hereby sell, assign, transfer and set over, unto the dassigns, our entire right, title and interest in, to and under the said visions, renewals and continuations thereof, and all Patents of the Us and extensions thereof; and all applications for industrial properts for patents, utility models, and designs which may hereafter be fill the United States, together with the right to file such applications are deform said United States application under the Patent Laws of rotection of Industrial Property, or any other international agreeme application is filed, as may be applicable; and all forms of industriats, utility models, inventors' certificates and designs which may be great to the United States and all extensions, renewals and reissues the	i, have sold, assigned, he said ASSIGNEE, its linvention, and the said Inited States which may by protection, including, ed for said invention in high the right to claim for the United States, the int or the domestic laws ial property protection, anted for said invention
any Official of any country or cou forms of industrial property protect	norize and request the Commissioner of Patents and Trademarks of untries foreign to the United States, whose duty it is to issue patention on applications as aforesaid, to issue the same to the said ASS in accordance with the terms of this instrument.	its or other evidence or
AND WE HEREBY cove we have not executed, and will not	enant and agree that we have full right to convey the entire interest he t execute, any agreement in conflict herewith.	erein assigned, and that
legal representatives and assigns, a lawful papers, execute all divisions	her covenant and agree that we will communicate to the said ASS my facts known to us respecting said invention, and testify in any leal, continuing, reissue and foreign applications, make all rightful a ASSIGNEE, its successors, legal representatives and assigns, to obcountries.	gal proceeding, sign all
IN TESTIMONY WHER signatures.	REOF, We hereunto set our hands and seals the day and year set of	opposite our respective
Date 12.18-62	,2002 M. Pamer 2	L.S.
Date	, 2002	L.S.
Date		L.S.
State of	·)	
County of SS.:		
On	, 2002, before me,	, Notary Public,
personally appeared Stephen C. S whose name(s) is subscribed to t	Suffin, personally known to me on the basis of satisfactory evidenthe within instrument and acknowledged to me that he/she/theyes), and that by his/her/their signature(s) on the instrument the personal transfer of the instrument transfer o	nce to be the person(s) executed the same in

WITNESS my hand and official seal

PATENT REEL: 013702 FRAME: 0395

County of 20% ANGELET	
on December 14, 2002, before me, He personally appeared W. Hamlin Emory, personally known	Notary Public, to me on the basis of satisfactory evidence to be the person(s) d acknowledged to me that he/she/they executed the same in
	is signature(s) on the instrument the person(s), or the entity upon
behalf of which the person(s) acted, executed the instrumen	
WITNESS my hand and official seal Howard F. Frances	HOWARD R. SPANIER TO Comm. #1307883 HOTARY PUBLIC - CALIFORNIA OLOS ANGELES COUNTY My Comm. Expires July 4, 2005
State of) County of) SS.:	
On, 2002, before me,	, Notary Public,
personally appeared Leonard J. Brandt, personally known whose name(s) is subscribed to the within instrument and	to me on the basis of satisfactory evidence to be the person(s) dacknowledged to me that he/she/they executed the same in ir signature(s) on the instrument the person(s), or the entity upon
WITNESS my hand and official seal	

PATENT REEL: 013702 FRAME: 0396

ASSIGNMENT

WHEREAS, WE, Stephen C. Suffin; W. Hamlin Emory; and Leonard J. Brandt, ASSIGNORS, citizens of the United States, residing at Sherman Oaks, CA, Malibu, CA, and Laguna Hills, CA, are the inventors of the invention in ELECTROENCEPHALOGRAPHY BASED SYSTEMS AND METHODS FOR SELECTING THERAPIES AND PREDICTING OUTCOMES for which we have executed an application for a Patent of the United States

	which is executed on		even date herewith or		
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- which is identified by Pennie & Edmonds LLP docket no. 10701-008-999
- which was filed on July 11, 2002, Application No. 10/193,735

and WHEREAS, CNS RESPONSE, INC., ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filled for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

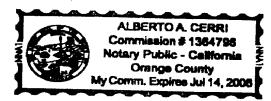
AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

Date	, 2002	L.S.
Date	Pecenter 19th 2002 framo I Brand	L.S.
State of	f) SS.:	
County		
whose his/her/	On December 19, 2002, before me, ALBERTO A. CERRI, No ally appeared Stephen C. Suffin, personally known to me on the basis of satisfactory evidence to be the name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the of which the person(s) acted, executed the instrument.	the same in

WITNESS my hand and official seal



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County of) 33			
	, 2002, before me			, Notary Public,
whose name(s) is su his/her/their authoriz	W. Hamlin Emory, personally abscribed to the within instrumed capacity(ies), and that by his/berson(s) acted, executed the instruments.	ent and acknowledged ner/their signature(s) or	to me that he/she/they ex	xecuted the same in
WITNESS	my hand and official seal			
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personally appeared whose name(s) is su his/her/their authoriz	Leonard J. Brandt, personally abscribed to the within instrumed capacity(ies), and that by his/berson(s) acted, executed the instruction.	known to me on the ba ent and acknowledged aer/their signature(s) on	asis of satisfactory evidenc I to me that he/she/they e	xecuted the same in
WITNESS	my hand and official seal	NAMI	ALBERTO A Commission : Notary Public Orange (# 1364794 - California

RECORDED: 01/24/2003