

REC

02-04-2003

Docket No. 17551 (BOT)

SHEET



102355430

TO: THE COMMISSIONER OF PATENTS AND TRADEMARKS

Please record the attached original document(s) or copy(ies):

1. Submission Type:

1-28-03

☒ NEW☐ Correction of PTO error (Reel /frame)☐ Corrective Document (Reel /frame)

2. Conveyance Type:

☒ Assignment☐ License☐ Merger☐ Security Agreement☐ Change of Name☐ Other: _____

3.

CONVEYING PARTIES	
Names of Conveying Parties	Date of Conveyance
1. Alastair Carruthers	January 9, 2003
2. Jean Carruthers	January 9, 2003
3.	
4.	

☐ Additional Conveying Parties Attached.

4.

RECEIVING PARTIES	
Names of Receiving Parties	
Name: Allergan, Inc.	6358917
Address 1: 2525 Dupont Drive	010885
Address 2: Irvine, CA 92612	40.00 CM

☐ Additional Receiving Parties Attached.5. ☐ If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached.

PATENT

REEL: 013705 FRAME: 0816

02/03/2003 L MUELLER 00000119 010885
01 FC:8021

6.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS	
Name:	
Address 1:	
Address 2:	

7.

CORRESPONDENCE NAME AND ADDRESS	
Name: Stephen Donovan	
Address 1: Allergan, Inc.	
Address 2: 2525 Dupont Drive, Irvine, CA 92612	
Telephone 714-246-4026 and Fax 714-246-4249	

8. Total Number of pages of the conveying document, including attachments: 2 pages

9.

APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number	Patent Number 6,358,917
Application Number	Patent Number

10. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application:

Docket No.:)

Date of Execution by First Inventor:

11. Total Number of Properties Involved: 112. The fee amount (37 CFR §3.41) of \$ 40.00

- ☒ may be debited from our Deposit Account No. 01-0885.
☐ is enclosed as check no. _____.

13. ☒ The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE



Date: January 28, 2003.

TYPED or PRINTED NAME: Stephen Donovan

REGISTRATION NO. 33,433

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this Assignment and the additional documents enclosed herein are being deposited with the United States Postal Service on this date January 28, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label number EL385560678US addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: January 28, 2003Susan Bartholomew

Name of person mailing paper


 Signature of person mailing documents

ASSIGNMENT

WHEREAS, Alastair Carruthers and Jean D.A. Carruthers both of whom have a place of business at Suite 820, 943 West Broadway, Vancouver, British Columbia, Canada, V5Z 4E1 (hereafter referred to collectively as ASSIGNORS) own all rights in and to United States patent 6,358,917, Canadian patent application 2,280,565, and all parent applications, continuations, continuations in part, divisionals, reissues, reexaminations, and all foreign counterpart patents and patent applications related thereto;

WHEREAS, Allergan, Inc., a Delaware Corporation, having a place of business at 2525 Dupont Drive, Irvine, California 92612 (hereafter referred to as ASSIGNEE), wishes to acquire the entire interest in and to United States patent 6,358,917, Canadian patent application 2,280,565, and all parent applications, continuations, continuations in part, divisionals, reissues, reexaminations, and all foreign counterpart patents and patent applications related thereto;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to United States patent 6,358,917, Canadian patent application 2,280,565, and all parent applications, continuations, continuations in part, divisionals, reissues, reexaminations, and all foreign counterpart patents and patent applications related thereto.

ASSIGNORS hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

IN WITNESS WHEREOF, I have hereunto set my hand and seal this

9th January, 200³.

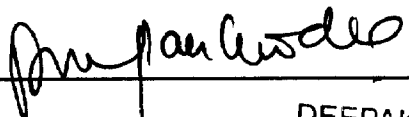

ALASTAIR CARRUTHERS.

State of British Columbia
Vancouver, Canada ss:
County of _____

On January 9th, 2003 before _____ me,
at Vancouver, BC, Canada, Notary Public, personally appeared

ALASTAIR CARRUTHERS, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Notary Public

DEEPAK A. CHODHA
GELLERT & ASSOCIATES
BARRISTERS & SOLICITORS
550 - 943 W. BROADWAY
VANCOUVER, BC V5Z 4E1
TEL: 738-8816 FAX: 738-8774

IN WITNESS WHEREOF, I have hereunto set my hand and seal this

9 January, 200³.


JEAN CARRUTHERS

State of British Columbia) ss:

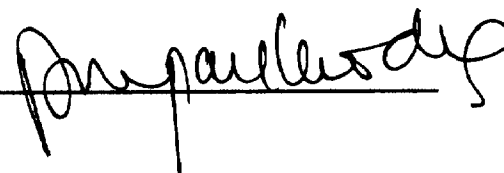
County of Vancouver)

On Canada January 9, 2003

at Vancouver B.C. Canada, before me,

JEAN CARRUTHERS, ~~appearing as an officer of and on behalf of First Biotech~~
~~LLC~~, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Notary Public

DEEPAK A. CHODHA
GELLERT & ASSOCIATES
BARRISTERS & SOLICITORS
550 - 943 W. BROADWAY
VANCOUVER, BC V5Z 4E1
TEL: 738-8816 FAX: 738-8774

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

Application Number	10/210,863
Filing Date	July 31, 2002
First Named Inventor	Kenneth E. Lee et al.
Examiner Name	Unassigned
Group / Art Unit	3641
Attorney Docket No.	1082-474

TOTAL AMOUNT OF PAYMENT (\$) 40**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit
Account
Number

01-0481

Deposit
Account
Name

Alliant Techsystems Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20 ** = 0	X	0
Multiple Dependent	-3 ** = 0	X	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40)

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	David S. Taylor	Registration No. Attorney/Agent)	39,045	Telephone	(602) 956-6161
Signature				Date	January 28, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EV 260562131 US

Date of Deposit: January 28, 2003

I hereby certify that this Fee Transmittal FY
2002 Form is being deposited with the U.S.
Postal Service "Express Mail Post Office to
Addressee" service under 37 C.F.R. § 1.10 on
the date indicated above and is addressed to
BOX ASSIGNMENTS, Assistant
Commissioner for Patents, U.S. Patent and
Trademark Office, WASHINGTON, D.C.
20231.

Lisa Mansur