

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

GUID-010CON

PATENTS ONLY

Tab settings ⇨⇨⇨

To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Lawrence W. Hu
David J. Paul
Eugene Edward Reis
Harry Leonard Green II

2. Name and address of receiving party(ies)

Name: CARDIOTHORACIC SYSTEMS, INC.Internal Address: 3200 LakeSide Dr. Bldg. B, 4th Flr MS:444City: Santa Clara State: CA Zip: 95054

NOTE: Cardiothoracic Systems, Inc., has moved from
10600 North Tantau Avenue, Cupertino California 95014-0739 to
3200 LakeSide Dr., Bldg. B 4th Flr. MS:444 Santa Clara, CA
95054

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 04/27/1999Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/758,521 filed 1/10/01

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alan W. Cannon

Law Office of Alan W. Cannon

Internal Address: _____

Street Address: 834 South Wolfe RoadCity: Sunnyvale State: California Zip: 94086

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41), \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50-2653

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alan W. Cannon

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 8

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
VIRGINIA 22313-1450

700032465

PATENT
REEL: 013711 FRAME: 0421

Patent
242/070ASSIGNMENT

WHEREAS, WE, LAWRENCE W. HU, a citizen of the UNITED STATES, DAVID J. PAUL, a citizen of the UNITED STATES, EUGENE EDWARD REIS, a citizen of the UNITED STATES, and HARRY L. GREEN II, a citizen of the UNITED STATES (hereinafter referred to as "ASSIGNORS"), have invented and own a certain invention entitled **A METHOD AND APPARATUS FOR CREATING A WORKING OPENING THROUGH AN INCISION** for which application for Letters Patent of the United States of America are filed herewith, Serial No. Not Yet Assigned

WHEREAS, **CARDIOTHORACIC SYSTEMS, INC.** a corporation organized and existing under and by virtue of the laws of the State of **California** and having its principal place of business at **10600 North Tantau Avenue, Cupertino, California 95014-0739** (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and

OC-25140.1


PATENT
REEL: 013711 FRAME: 0422

Patent
242/070

ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.


Patent
242/070WITNESS my hand at 10600 N. Tantau, Cupertino, California, this
27th day of April, 1999.

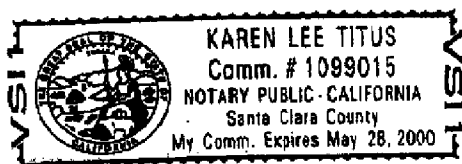
LAWRENCE W. HUSTATE OF CALIFORNIA)
COUNTY OF Santa Clara) ssOn 4-27-99 before me, Karen Lee Titus, personally appeared
LAWRENCE W. HU☒ personally known to me

- OR -

☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Notary Public in and for
said County and State

Patent
242/070WITNESS my hand at 10600 N. Tantan Ave, Cupertino, California, this
27th day of April, 1999.

DAVID J. PAUL


STATE OF CALIFORNIA)

COUNTY OF Santa Clara) ssOn 4-27-99 before me, Karen Lee Titus, personally appeared
DAVID J. PAUL☒ personally known to me

- OR -

☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Notary Public in and for
said County and State

Patent
242/070

WITNESS my hand at 10600 N. Tantan Ave, Cupertino, California, this
27th day of April, 1999.

Eugene Edward Reis
EUGENE EDWARD REIS

STATE OF CALIFORNIA)
COUNTY OF Santa Clara) ss

On 4-27-99 before me, Karen Lee Titus, personally appeared
EUGENE EDWARD. REIS

☒ personally known to me

- OR -

☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Karen Lee Titus
Notary Public in and for
said County and State



Patent
242/070WITNESS my hand at 10600 N. Tantau Ave, Cupertino, California, this27th day of April, 1999.Harry Leonard Green II
HARRY LEONARD GREEN II

STATE OF CALIFORNIA)

COUNTY OF Santa Clara) ssOn 4-27-99 before me, Karen Lee Titus, personally appeared
HARRY LEONARD GREEN II☒ personally known to me

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WITNESS my hand and official seal.

Karen Lee TitusNotary Public in and for
said County and State