	ORN COVER SHEET U.S. DEPARTMENT OF COMMERCE		
(Rev. 03/01)  RECORDATION FORW COVER SHEET  U.S. Patent and Trademark Office			
OMB No. 0651-0027 (exp. 5/31/2002)	TS ONLY		
Tab Settings 🖒 🖒 🔻 🔻	Y Y Y Y Y		
To the Honorable Commissioner of Patents and Trademarks  1. Name of conveying party(ies):	s: Please record the attached original documents or copy thereof.  2. Name and address of receiving party(ies)		
Quadrant Healthcare (UK) Limited	Name: Elan Drug Delivery Ltd.		
Additional name(s) of conveying party(les) attached? Yes No	Internal Address:		
3. Nature of conveyance:			
☐ Security Agreement ☐ Change of Name	Street Address: 1 Mere Way, Ruddington,		
☐ Other	Nottingham, NG11 6JS, United Kingdom		
Execution Date: September 19, 2002			
	Additional name(s) & address(es) attached? 🔲 Yes 🛛 No		
Application number(s) or patent number(s):  If this document is being filed together with a new applic	ation, the execution date of the application is:		
A. Patent Application No.(s)	B. Patent No.(s)		
Serial No. 07/026,695; filed May 7, 1987	Patent No. 4,891,319; issued January 2, 1990		
·			
	attached? ☐ Yes ☒ No		
<ol><li>Name and address of party to whom correspondence concerning document should be mailed:</li></ol>	6. Total number of applications and patents involved: 1		
Name: David R. Saliwanchik	7. Total fee (37 CFR 3.41) \$ 40.00		
Internal Address: Saliwanchik, Lloyd & Saliwanchik	Authorized to be charged to deposit account		
A Professional Association	8. Deposit account number:		
Street Address: <u>2421 N.W. 41<sup>st</sup> Street, Suite A-1</u>	19-0065		
	(Attach duplicate copy of this page if paying by deposit account)		
City: Gainesville State: FL Zip: 32606			
DO NOT U	SE THIS SPACE		
9. Statement and signature.			
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
David R. Saliwanchik Name of Person Signing	Palinanchik June 5, 2003 gnature Date		
Total number of pages including cover sheet, attachmen	ts, and documents: 27 Atty Docket No. GJE-MISC		

Mall documents to be recorded with required cover sheet information to: Commissioner of Patents, Mail Stop Assignments Alexandria, VA 22313

QUADRANT HEALTHCARE (UK) LIMITED

- and -

ELAN DRUG DELIVERY LIMITED

DEED OF ASSIGNMENT OF PATENTS AND PATENT APPLICATIONS

# DEED OF ASSIGNMENT OF PATENTS AND PATENT APPLICATIONS

september THIS DEED is made on 19

2002

### BETWEEN

- QUADRANT HEALTHCARE (UK) LIMITED, a company incorporated in England and (1) Wales with registered number 02369749 whose registered office is at 1 Mere Way, Ruddington, Nottingham, NG11 6JS ("QHL"); and
- ELANDRUG DELIVERY LIMITED, a company incorporated in England and Wales with (2) registered number 02704727 whose registered office is at 1 Mere Way, Ruddington, Nottingham, NG11 6JS ("EDD").

## INTRODUCTION

- QHL is the proprietor of the Patents and the Patent Applications (as herein defined). (A)
- Pursuant to an agreement dated 24 December 2001, QHL agreed to sell and transfer to EDD (B) the Patents and the Patent Applications (the "Hive Up Agreement").
- QHL wishes to enter into this Deed in order to formally assign to EDD the Patents and the (C) Patent Applications, in accordance with the terms of the Hive Up Agreement.
- The parties wish to confirm their agreement as to the above on the terms and conditions set out (D) below.

## AGREED TERMS

#### **Definitions** 1.

In this agreement, unless the context requires otherwise, the following words and expressions 1.1 shall have the following meanings:

"Patents" means the patents listed in Schedule A to this agreement.

"Patent Applications" means the patent applications and applications for rights of a similar nature listed in Schedule A to this agreement; all divisionals, continuations and continuations in part thereof, and all patent applications and applications for rights of similar nature made now or in the future anywhere in the world:

- (a) claiming priority from or common priority with the applications listed in Schedule A to this agreement; and
- (b) in respect of the same invention as that the subject of any of the patent applications listed in Schedule A to this agreement.

# 2. Assignment of Patents and Patent Applications

- 2.1 QHL hereby assigns to EDD absolutely all its property, right, title and interest in and to:
  - (a) the Patents;
  - (b) the Patent Applications;
  - (c) the inventions the subject of such Patents and Patent Applications and in the right to apply for patents or other protection in respect of such inventions in any part of the world; and
  - (d) any patents granted to QHL pursuant to the said Patent Applications;

**TOGETHER WITH** all rights of action, powers and benefits belonging or accrued to the same, including the right to take action and claim relief in respect of infringements occurring prior to the date hereof.

- QHL will at EDD's cost take all reasonable steps requested by EDD in connection with obtaining the grant of valid patents pursuant to the said Patent Applications in any part of the world and, to the extent that the same are required to proceed in QHL's name prior to grant, will:
  - (a) if required by EDD authorise and instruct patent agents of EDD for the purpose of obtaining such grants; and
  - (b) in the event of the grant thereof assign to EDD absolutely any patent granted pursuant to the said Patent Applications in any part of the world.

## 3. Further Assurance

QHL will at EDD's request and expense promptly take all steps and do all acts and things and execute all documents and deeds as requested by EDD that EDD reasonably considers are necessary or desirable for giving full effect to the terms and provisions of this agreement and to vest all QHL's property, right, title and interest in EDD absolutely and for EDD to be recorded as proprietor of the Patents and applicant in respect of the Patent Applications at patent offices. EDD shall be responsible for and shall bear the registration or other official fees

relating to the assignment of the Patents and the Patent Applications and of preparing documents for execution.

#### Miscellaneous 4.

This agreement shall be governed by and construed in accordance with the laws of England 4.1 and Wales.

This agreement has been executed and delivered as a deed on the date first written above.

EXECUTED by QUADRANT HEALTH	CARE (UK) LIMITED
acting by	•

Director

**EXECUTED** by ELAN DRUG DELIVERY LIMITED acting by

Director

Country	Application	Publication
JOH 1141 7	Number	Number
China	95194433.9	
uropean Patent Office	95921031.1	0762897
lapan	500542/96	
Inited States of America	09/836625	
Australia	65101/9B	722627
Brazil	PI9808920-0	
Canada	2293682	
	98803450.6	
China Eurasian Patent Organisation	199900835	001873
European Patent Office	98910875.8	1007000
	573/MAS/98	
india	540262/98	
Japan	1999450B	
Norway	337666	337666
New Zealand	9904076-8	
Singapore	09/380485	
United States of America	2363126	
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Australia	31851/95	- 100000.
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China	95195496.2	
Czech Republic	PV 476/97	03593
Estonia	P19970006-2	0773781
European Patent Office	95927856.5	0/13/61
Finland	970867	
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Mexico	97134	
Norway	P971688	200000
New Zealand		290896
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China	95195602.7	
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Hong Kong		1013405
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Japan		
Japan	516653/96 2000-568477	
Japan	12000-568477	129861
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Korea		29006
North Korea		188173
Mexico		197800
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Norway	962994	
Russian Federation	96116133	
Russian Federation	2001109263	
Singapore	9611090.3	
South Africa		92/2636
South Africa		95/9801
Taiwan		NI-84547
United States of America		5518709
United States of America		6022525
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United States of America		5957848
United States of America		5741478
United States of America		09/407,370
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European Patent Office		0 743 860
European Patent Office	000004080	
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UK		2260745
UK		2286122
UK		2302649
UK		2302650
UK		2298628

Elan Drug Delivery Ltd

+44(0)115 974 8420

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Date 21 DECEMBER 2001

QUADRANT BIORESOURCES LIMITED

-and-

QUADRANT HEALTHCARE (UK) LIMITED

DEED OF ASSIGNMENT **OF PATENTS** 



Garretts Cambridge Our ref: tab/kmw

> **PATENT REEL: 013712 FRAME: 0465**

CBDDOCS:24025v

**p.**3

Jan 02 14:03

Elan Drug Delivery Ltd

+44(0)115 974 8420

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Elan Drug Delivery Ltd

+44(0)115 974 8420

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# THIS DEED is made on 2\ December 2001

### BETWEEN:

- QUADRANT BIORESOURCES LIMITED a company incorporated in England and Wales (registered number 1956520) whose registered office is at 1 Mere Way, 1 Ruddington, Nottingham, Nottinghamshire, NG11 6JS (the "Assignor"); and
- QUADRANT HEALTHCARE (UK) LIMITED a company incorporated in England 2 and Wales (registered number 2369749) whose registered office is at 1 Mere Way, Ruddington, Nottingham, Nottinghamshire, NG11 6GS (the "Assignee");

### RECITALS:

- The Assignor is the proprietor of the Patents listed in the Schedule 1 to this Deed ("the (A) Patents")
- The Assignor granted to the Assignee on 7th December 1990 a licence of the Patents **(B)** (the "Licence")
- The Assignor has agreed to assign the Patents to the Assignee for the consideration (C) hereinafter mentioned on the terms set out in this Deed and terminate the Licence.

#### ASSIGNMENT 1

- In consideration of £50.00 (Fifty British Pounds Sterling) the Assignor assigns 1.1 absolutely with full title guarantee to the Assignce free from all liens, charges, options, encumbrances and adverse interests of any kind:
- the Patents and the full and exclusive benefit of them; and 1.1.1
- the right to take all such proceedings as may be necessary for the recovery and retention 1.2 by the Assignee of damages, costs and interest and/or the obtaining of other remedies in respect of all infringements of any of the Patents whether committed before or after the date of this Deed; and
- the right to apply for, prosecute and obtain patent or similar protection throughout the 1.3 world in respect of the invention claimed in the Patents, including the right to claim priority therefrom so that the grant of any patent or similar protection shall be in the name of and vest in the Assignee.

# TERMINATION OF LICENCE

The parties agree that the Licence shall terminate as at the date hereof.

#### FURTHER ASSURANCE 3

At all times after the date of this Deed the Assignor shall (at the request and cost of the Assignee) do or procure to be done all acts and things and/or execute or procure the execution of all documents required of it by the Assignee to vest in the Assignee (or as

> PATENT REEL: 013712 FRAME: 0467

CBDDOCS:24025v Amended 14/12/01

Elan Drug Delivery Ltd

+44(0)115 974 8420

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it directs) the Patents and to give the Assignee the full benefit of the provisions of this Deed.

# 4 GOVERNING LAW AND JURISDICTION

This Deed shall be governed by and construed in accordance with English law and the parties irrevocably submit to the exclusive jurisdiction of the courts of England

IN WITNESS whereof this Deed has been clearly executed as a Deed on behalf of the parties on the day and year first written above.

PATENT REEL: 013712 FRAME: 0468

CBDDOCS:24025v

Elan Drug Delivery Ltd

+44(0)115 974 8420

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# SCHEDULE 1

## PART 1

## THE PATENTS

	Tarritary	Priority Date
Patent Number	Territory Argentina	Jan 21 1988
.240255	Argentina Australia	Jul 9 1985
591160	Australia Australia	Jun 29 1987
614977	<b>-</b> -	Jul 9 1985
EP0229810	Austria	Jun 29 1987
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229810	Belgium Belgium	Jun 29 1987
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2135928	Japan	Jul 9 1985
229810	Luxembourg	Jun 29 1987
297887	Luxembourg	Jan 21 1988
357709	Luxembourg	Jul 9 1985
229810	Netherlands	Jun 29 1987
297887	Netherlands	Jan 21 1988
357709	Netherlands	Jun 29 1987
227864	New Zealand	Jun 29 1987
172964	Norway	Jun 29 1987 Jun 29 1987
156364	Poland	Jun 29 1987 Jun 29 1987
60308	Republic Of Ireland	Jun 25 130/

**PATENT REEL: 013712 FRAME: 0469** 

CBDDOCS:24025v Amended 14/17/01

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**Priority Date** Territory Patent Number Jun 29 1987 South Africa 88/4617 Jun 29 1987 Spain 297887 Jan 21 1988 Spain 8900206 Jul 9 1985 Sweden 229810 Jun 29 1987 Sweden 297887 Jan 21 1988 Sweden 357709 Jul 9 1985 Switzerland 229810 Jun 29 1987 Switzerland 297887 Jan 21 1988 Switzerland 357709 Jun 29 1987 Russia (ex USSR) 1816199 Jul 9 1985 United Kingdom 2187191 Jun 29 1987 United Kingdom 2206273 Jan 21 1988 United Kingdom 357709 Jul 9 1985 United States of America 4,891,319 Jun 29 1987 United States of America 5,026,566 Jan 21 1988 United States of America 5,149,653 Jun 29 1987 Yugoslavia P.1258/88

> PATENT REEL: 013712 FRAME: 0470

CBDDOC8:24025v A monded 14/12/01

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EXECUTED as a DEED (but not	)	
delivered until the date which first	)	
appears in this Deed)	)	
for and on behalf of	)	
QUADRANT BIORESOURCES	)	
LIMITED	)	
acting by two directors	$\langle \cdot \rangle$	<b>-</b>
(R. Uppel and Took Chadwie	Director Director	
	Director/Secretory	<u>/</u>
	i U	
EXECUTED as a DEED (but not	)	•
delivered until the date which first	)	
appears in this Deed)	)	
for and on behalf of	)	
QUADRANT HEALTHCARE	)	
(UK) LIMITED	)	
acting by two directors	)	
(R. Uppal and Termes Chaduin	Director	
	Director/Scrotory / Miller	<u> </u>
	ر منظر ا	v

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p.9

Date 21 DECEMBER 2001

QUADRANT BIORESOURCES LIMITED

-and-

QUADRANT HEALTHCARE (UK) LIMITED

DEED OF ASSIGNMENT OF PATENTS



Garretts Cambridge Our ref: tab/kmw

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1	ASSIGNMENT	1
•	FURTHER ASSURANCE	
_	GOVERNING LAW AND JURISDICTION	
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Elan Drug Delivery Ltd

+44(0)115 974 B420

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THIS DEED is made on 2\ December 2001

### RETWEEN:

- QUADRANT BIORESOURCES LIMITED a company incorporated in England and Wales (registered number 1956520) whose registered office is at 1 Mere Way, 1 Ruddington, Nottingham, Nottinghamshire, NG11 6JS (the "Assignor"); and
- QUADRANT HEALTHCARE (UK) LIMITED a company incorporated in England and Wales (registered number 2369749) whose registered office is at 1 Mere Way, 2 Ruddington, Nottingham, Nottinghamshire, NG11 6GS (the "Assignee");

## RECITALS:

- The Assignor is the proprietor of the Patents listed in the Schedule 1 to this Deed ("the (A)
- The Assignor granted to the Assignee on 7th December 1990 a licence of the Patents **(B)** (the "Licence")
- The Assignor has agreed to assign the Patents to the Assignee for the consideration hereinafter mentioned on the terms set out in this Deed and terminate the Licence. (C)

#### ASSIGNMENT 1

- In consideration of £50.00 (Fifty British Pounds Sterling) the Assignor assigns absolutely with full title guarantee to the Assignee free from all liens, charges, options, 1.1 encumbrances and adverse interests of any kind:
- the Patents and the full and exclusive benefit of them; and 1.1.1
- the right to take all such proceedings as may be necessary for the recovery and retention by the Assignee of damages, costs and interest and/or the obtaining of other remedies in 1.2 respect of all infringements of any of the Patents whether committed before or after the date of this Deed; and
- the right to apply for, prosecute and obtain patent or similar protection throughout the world in respect of the invention claimed in the Patents, including the right to claim . 1.3 priority therefrom so that the grant of any patent or similar protection shall be in the name of and vest in the Assignee.

### TERMINATION OF LICENCE 2

The parties agree that the Licence shall terminate as at the date hereof.

### **FURTHER ASSURANCE** 3

At all times after the date of this Deed the Assignor shall (at the request and cost of the Assignee) do or procure to be done all acts and things and/or execute or procure the execution of all documents required of it by the Assignee to vest in the Assignee (or as

> **PATENT** REEL: 013712 FRAME: 0474

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it directs) the Patents and to give the Assignee the full benefit of the provisions of this Deed.

# 4 GOVERNING LAW AND JURISDICTION

This Deed shall be governed by and construed in accordance with English law and the parties irrevocably submit to the exclusive jurisdiction of the courts of England

IN WITNESS whereof this Deed has been clearly executed as a Deed on behalf of the parties on the day and year first written above.

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# SCHEDULE 1

## PART 1

## THE PATENTS

	Territory	Priority Date
Patent Number	Argentina	Jan 21 1988
240255	Australia	Jul 9 1985
591160	Australia	Jun 29 1987
614977	Austria	Jul 9 1985
EP0229810	Austria	Jun 29 1987
EP0297887	Austria Austria	Jan 21 1988
E95066	Austria Belgium	Jul 9 1985
229810		Jun 29 1987
297887	Belgium	Jan 21 1988
357709	Belgium Canada	Jul 9 1985
1307485	Canada Canada	Jun 29 1987
1332033	Canada Canada	Jan 21 1988
1333562	Czechoslovakia	Jan 21 1988
276472	Denmark	Jul 9 1985
170173	Denmark	Jun 29 1987
0923/89	Finland	Jun 29 1987
94826	France	Jul 9 1985
229810	France	Jun 29 1987
297887	France	Jan 21 1988
357709	=	Jul 9 1985
P36 82 047.4	Germany Germany	Jun 29 1987
P38 70 332.7	Germany	Jan 21 1988
P68909542.2	Greece	Jun 29 1987
297887	Hungary	Jun 29 1987
4269/88	Israel	Jun 29 1987
86903	Italy	Jul 9 1985
19263/BE/92	•	Jun 29 1987
24727/BE/92	Italy Italy	Jan 21 1988
28316/BE/93	Italy	Jul 9 1985
2140344	Japan	Jun 29 1987
2139931	Japan	Jan 21 1988
2135928	Japan Luxembourg	Jul 9 1985
229810	Luxembourg	Jun 29 1987
297887	Luxembourg	Jan 21 1988
3 <i>577</i> 09	Netherlands	Jul 9 1985
229810		Jun 29 1987
297887	Netherlands	Jan 21 1988
357709	Netherlands	Jun 29 1987
227864	New Zealand	Jun 29 1987
172964	Norway	Jun 29 1987
156364	Poland	Jun 29 1987
60308	Republic Of Ireland	, mar. 100

**PATENT** 

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Patent Number	Territory	Priority Date
	South Africa	Jun 29 1987
88/4617	Spain	Jun 29 1987
297887	-	Jan 21 1988
8900206	Spain	Jul 9 1985
229810	Sweden	Jun 29 1987
297887	Sweden	
357709	Sweden	Jan 21 1988
229810	Switzerland	Jul 9 1985
	Switzerland	
		Jan 21 1988
		Jun 29 1987
		Jul 9 1985
	United Kingdom	
2206273		
357709	United Kingdom	
4,891,319	United States of America	
	United States of America	
	Yugoslavia	Jun 29 1987
297887 357709 1816199 2187191 2206273	Switzerland Switzerland Russia (ex USSR) United Kingdom United Kingdom United Kingdom United States of America United States of America United States of America	Jun 29 1987 Jan 21 1988 Jun 29 1987 Jul 9 1985 Jun 29 1987 Jan 21 1988 Jul 9 1985 Jun 29 1987 Jan 21 1988 Jun 29 1987 Jan 21 1988 Jun 29 1987

**PATENT** 

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EXECUTED as a DEED (but not delivered until the date which first	)		
appears in this Deed)	)		
for and on behalf of	)		
QUADRANT BIORESOURCES	)		
LIMITED	)	•	
acting by two directors	Λ)		
(R. Upper and Tomas Chadu	Director		
	Director/Secretory	J. Mille	<u>UEI</u>
		J	V
EXECUTED as a DEED (but not	)		
delivered until the date which first	)		
appears in this Deed)	)		•
for and on behalf of	)		
QUADRANT HEALTHCARE	)		
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acting by two directors	)		
acting by	1.1.		
(R. Uppal and Tenses Chad	Director )		11/1
	Director/Scores		Merif

mpany Details

http://ws5info.companieshouse.gov.uk/in...us=&id=3550259202d439535n9207762347349



# Company Details







To display those company products available to purchase, please select the order button. (£50 max. order limit)

Company No.:01956520

Date of Incorporation: 11/11/1985

Country of Origin: United Kingdom

Name & Registered Office:

QUADRANT BIORESOURCES LIMITED

1 MERE WAY RUDDINGTON **NOTTINGHAM** 

NOTTINGHAMSHIRE NG11 6JS

Status: Active

Company Type: Private Limited Company

Nature Of Business (SIC(92)):

7310 - R & D on nat sciences & engineering

7499 - non-trading company

Accounting Reference Date: 31/12

Last Accounts Made Up To: 31/12/2001 (DORMANT)

Next Accounts Due: 31/10/2003 Last Return Made Up To: 30/06/2002

Next Return Due : 28/07/2003

Last Members List: 30/06/2002 Fiche Weeded On: 12/03/1998

RECORDED: 06/04/2003

Previous Names

Date of Change:

Previous Name:

16/12/1985

SWIFT 976 LIMITED

Branch Details

There are no branches associated with this company.

Oversea Company Information

There are no Oversea Details associated with this company.

SYSTEM REQUIREMENTS

PATENT

REEL: 013712 FRAME: 0479