

02-07-2003



Atty Docket No.: 230322US0X

FORM PTO-1595
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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

(1) Wolfgang LORTZ; (2) Christoph BATZ-SOHN; (3) Gabriele PERLET; (4) Werner WILL

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: November 27, 2002; November 29, 2002; November 28, 2002; November 28, 2002

2. Name and address of receiving party(ies):

Name: DEGUSSA AG

Address: Bennigsenplatz 1, D-40474 Duesseldorf, GERMANY

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

10354969

If this document is being filed together with a new application, the execution date of the application is:

November 27, 2002;
November 29, 2002;
November 28, 2002;
November 28, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

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PATENT
REEL: 013720 FRAME: 0706

Assignment of Application

Page 1 of 2

WHEREAS, I (WE) 1) Wolfgang LORTZ, Feldstrasse 9, D-63607 Waechtersbach, GERMANY

SERT NAMES
ND RESIDENCE
DRESS OF
E INVENTORS:

2) Christoph BATZ-SOHN, Riedstrasse 10a, D-63454 Hanau, GERMANY

3) Gabriele PERLET, Oberhaagstrasse 7a, D-63538 Grosskrotzenburg, GERMANY

4) Werner WILL, Schulstrasse 46, D-63571 Gelnhausen, GERMANY

_____, respectively,

SERT TITLE
INVENTION:

have invented certain new and useful improvements in: DISPERSION FOR CHEMICAL MECHANICAL

POLISHING

SERT DATE IN-
VENTORS SIGNED
ELARATION:

for which an application for Letters Patent was executed on November 29, 2002; November 27, 2002;
November 28, 2002; November 28, 2002

(Application No. _____, filed _____), and

SERT NAME
ND ADDRESS OF
MPANY OR
THER ASSIGNEE

WHEREAS, DEGUSSA AG

(hereinafter referred to as "ASSIGNEE") having a place of business at: Bennigsenplatz 1, D-40474 Duesseldorf

GERMANY

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

10/01

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Oblon, Spivak, McClelland, Maier & Neustadt, P.C. of Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: 11/27/02

(Signature of Inventor)

Wolfgang LORTZ

Date: 11/29/02

(Signature of Inventor)

Christoph BATZ-SOHN

Date: 11/28/02

(Signature of Inventor)

Gabriele PERLET

Date: 11/28/02

(Signature of Inventor)

Werner WILLY

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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