

Reference: 500.42443X00

J.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

(Rev. 03/01) 102359879 OMB No. 0651-0027 (exp. 5/31/2002) To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof 1. Name of conveying party(ies): 2. Name and address of receiving party(ies) FUKUDA, KAZUYUKI KUWANO, HIDEYUKI Name: **OPNEXT JAPAN, INC.** NIWA, YOSHIAKI MATSUSHIMA, NAOKI Address: 216, Totsukacho, Totsukaku, Yokohama-shi, Kanagawa-ken Additional name(s) of conveying party(ies) attached?  $\square$  Yes  $\boxtimes$  No ☐ Other 3. Nature of conveyance: ☐ Merger ☐ Change of Name ☐ Security Agreement Other \_\_\_\_\_. Additional name(s) & address(es) attached? ☐ Yes 🖾 No Execution Date: December 19, 20, 20, and 25, 2002. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: December 19, 19, 20, and 25, 2002. A. Patent Application No.(s) B. Patent Registration No.(s) 16355001 -31-03 Additional number(s) attached Tyes No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved 1 concerning document should be mailed: 7. Total fee (37 CFR 3.41)..... \$40.00 Name: Antonelli, Terry, Stout & Kraus, LLP Internal Address: Authorized to be charged to deposit account 1300 North Seventeenth Street **Suite 1800** 8. Deposit account number: Arlington, VA 22209 USA 01-2135 City: State: Zip: (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Melvin Kraus, Reg# 22,466 January 31, 2003 Name of Person Signing Date Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

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## **ASSIGNMENT**

(譲渡証)

As a below named inventor, I hereby declare that:

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me citizen of Japan by OpNext Japan, Inc., a corporation organized under the laws of Japan, located at 216, Totsukacho, Totsukaku, Yokohama-shi, Kanagawa-ken, Japan, receipt of which is hereby acknowledged I do hereby sell and assign to said OpNext Japan, Inc., its successors and assigns, all my right, title and interest, in and for the United States of America, in and to

## "OPTICAL COUPLING APPARATUS"

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said OpNext Japan, Inc., its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said OpNext Japan, Inc.

Signed on the date(s) indicated aside signatures:

**RECORDED: 01/31/2003** 

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PATENT REEL: 013723 FRAME: 0231