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FORM PTO-1596
1-31-92U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

DOCKET NO.: SMT-0029

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of conveying party(ies): <u>2-4-03</u> Ron POLLOCK		2. Name and address of receiving party(ies): Name: <u>Parvenu, Inc.</u> Internal Address: Street Address: <u>5706 Corsa Avenue, Suite 100</u> City: <u>Westlake Village</u> State/Country: <u>California</u> ZIP: <u>91362</u>	
Additional name(s) of conveying party(ies) attached? Yes <input checked="" type="checkbox"/> No		Additional name(s) & address(es) attached? Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other			
Execution Date: <u>September 13, 2002</u>			
4. Application number(s) or patent number(s): If the document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No(s). <u>09/281,972</u> Filed: <u>March 31, 1999</u> B. Patent No(s) _____ Additional numbers attached? Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>FLESHNER & KIM, LLP</u> Internal Address: Street Address: <u>P. O. Box 221200</u> City: <u>Chantilly</u> State: <u>VA</u> ZIP: <u>20153-1200</u>		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 C.F.R. 3.41)..... <u>\$40.00</u> <input checked="" type="checkbox"/> Enclosed (Check No. <u>8762</u>) <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>16-0607</u>	

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*René A. Vazquez, Reg. No. 38,647
Name and Registration No. of Person Signing
SignatureFebruary 4, 2003
Date

Total number of pages including cover sheet: 2

CMB No. 0851-0011 (exp. 4/94)

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PATENT
REEL: 013725 FRAME: 0176

ASSIGNMENT

In consideration of the premises and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, the undersigned,

- (1) Ron POLLOCK
 (2) _____
 (3) _____

- (4) _____
 (5) _____
 (6) _____

who have made a certain new and useful invention, hereby sell, assign and transfer unto

Parvenu, Inc.

5706 Corsa Avenue, Suite 100

Westlake Village, California 91362

its successors and assigns (hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100 in the invention entitled

TUNABLE FABRY-PEROT INTERFEROMETER USING ENTROPIC MATERIALS

- (a) for which an application for United States Letters Patent was filed on _____, and identified by United States Serial No. _____; or
 (b) for which an application for United States Letters Patent was executed on _____

and the undersigned hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and/or that claim priority thereto and any and all extensions, divisions, reissues, substitutes, renewals, continuations, or continuations-in-part thereof and/or that claim priority thereto, and the right to all benefits under the International Convention for the Protection of Industrial Property to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;


AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the said ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, in and to any improvements, and applications for patent based thereon, growing out of or related to the said invention; and to execute any papers by the said ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

AND the undersigned hereby grants the firm of FLESHNER & KIM, LLP the power to insert on this assignment any further identification that may be necessary or desirable in order to comply with the rules of any issuing authority, including the United States Patent and Trademark Office, for recordation of this document.

SIGNED on the dates indicated aside our signatures:

INVENTORS

DATE SIGNED

1) 
 Name: Ron POLLOCK

9-13-02

2) _____
 Name: _____

3) _____
 Name: _____

4) _____
 Name: _____

5) _____
 Name: _____

6) _____
 Name: _____