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RECORD

02-10-2003

SD-7274/S-100,324 January 29, 2003

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):	2. Name and address of receiving party(ies)
C. Jeffrey Brinker 2. 4.03	SANDIA CORPORATION P. O. Box 5800 - MS-0161 Albuquerque, New Mexico 87185-0161
Additional name(s) of conveying party(ies) attached? Yes X N	lo <u> </u>
3. Nature of conveyance:	Aller Control of the
⊠Assignment □ Merger	
☐ Security Agreement ☐ Change of Name	FINANCE SECTION
☐ Other: Assignment & Confirmatory License	101 03 88
Execution Date(s): 10/17/02	Additional name(s) & address(es) attached? 🛭 Yes 👱 No
If this document is being filed together with a new ap	nlication, the execution data of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
09/838,153 Filed: 4/20/01	• •
Additional numbers attached? [] Yes X	No
5. Name and address of party to whom correspondence oncerning document should be mailed:	
Name: Sandia National Laborato	
Internal Address: Patent & Licensing, 1150	7. Total fee (37 CFR 3.41) \$40.00
Mail Stop 0161	□ Enclosed
Street Address: P. O. Box 5800	X Authorized to be charged to deposit acco
	8. Deposit Account number: 19-0131
City: Albuquerque State: NM ZIP: 8718	(Attach duplicate copy of this page if paying by account
6TON11 00000066 190131 09838153 DO NO H	T USE THIS SPACE
9. Statement and signature. To the best of my knowledge and belief, the attached copy is a true copy of the original documents.	foregoing information is true and correct and any
Russell D. Elliott	1/9/03
	nature Date

ASSIGNMENT

WHEREAS, **C. Jeffrey Brinker**, of Albuquerque, New Mexico, has made an invention relating to **PROTOTYPING OF PATTERNED FUNCTIONAL NANOSTRUCTURES** in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-100,324, duly executed by said inventor

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012, effective October 1, 1993, and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

PATENT REEL: 013728 FRAME: 0427

Signed at Albuquerque, New Mexico.	
C. Jeffrey Brinker	Date: 10/17/2002
STATE OF NEW MEXICO)) ss:	
COUNTY OF BERNALILLO)	
On the the dates listed above, personally appeare Public, C. Jeffrey Brinker, to me known to be the the foregoing instrument, and who acknowledged	individual(s) described in and who executed
OFFICIAL SEAL Elmer A. Klavetter	Elmin a Klareth Notary Public
My Commission Expires: May 8, 2006	,, ,
Approved and consented to this 24 day of _	OCT, 2002.
	SANDIA CORPORATION
	Russell D. Elliott, <i>C</i> hi c t IP Counsel Intellectual Property Center, MS 0161

RECORDED: 01/29/2003

PATENT REEL: 013728 FRAME: 0428