

02-13-2003



102364468

RECORDATION FORM COVER SHEET
PATENTS ONLY

S - 72,914

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New

Resubmission (Non-Recordation)
Document ID#

Correction of PTO Error
Reel # Frame #

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Conveyance Type

Assignment Security Agreement

License Change of Name

Merger Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

Departmental File Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1) Execution Date Month Day Year

Name (line 2)

Second Party Name (line 1) Execution Date Month Day Year

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Address (line 1)

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Address (line 4)

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Correspondent Name and Address

Area Code and Telephone Number

202-586-2802

Name Betty A. Winchester

Address (line 1) U. S. Department of Energy

Address (line 2) 1000 Independence Avenue, S.W.

Address (line 3) Washington, D. C. 20585-0162

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

1

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

5,307,430		

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month	Day	Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

0.00

Method of Payment:

Enclosed

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Brenda K. Banks

Brenda K. Banks

2/4/03

Name of Person Signing

Signature

Date

07/983350 now Pat
5,307,430
Identified Waiver Filed in the Name of
the Government

ASSIGNMENT AND CONFIRMATORY LICENSE

Title: Lensing Duct
Inventor(s): Raymond J. Beach et al.
Contract Number: W-7405-ENG-48
Contractor Docket No.: IL-8644
Contractor: Regents of the University of California
(Lawrence Livermore National Laboratory)

DOE Docket No. S-72,914 (RL-11237)
U.S. Patent Application S.N: 07/983,350
Filing Date: November 30, 1992
U.S. Patent No.: 5,307,430
Issue Date: April 26, 1994
Class Waiver Number: W(I) 95-017 SAN 65

Foreign patent applications filed or intended to be filed at contractor's expense: None.

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract has been previously submitted to the U.S. Department of Energy by certification dated February 6, 1997.

WHEREAS, the above-identified invention is a subject invention under the above-identified contract;

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has been assigned the entire right, title and interest in and to the above-identified invention in the United States; and

WHEREAS, a waiver of Government rights in the above-identified invention, having an effective date of November 24, 1999, has been granted to the Contractor.

NOW, in conformity with said waiver of Government rights, the Government of the United States as represented by the U.S. Department of Energy, HEREBY ASSIGNS AND TRANSFERS to the Contractor the entire right, title and interest to the above-identified invention, including the above-identified patent applications and any resulting patents, EXCEPT FOR a paid-up license under the above-identified contract and the above-identified waiver and such other rights required under 10 CFR 784 to be retained or reserved to the Government.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights and the above-identified waiver, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the above-identified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon. This instrument is also confirmatory of the rights required to be granted to the Government under 10 CFR 784 where a waiver is granted to an invention, patent application, and any resulting patent, and of all other rights reserved to the Government under 10 CFR 784.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

Approved and granted on behalf of the Government of the United States.

UNITED STATE DEPARTMENT OF ENERGY

By: Paul A. Gottlieb 9-11-01
Date
Assistant General Counsel for Technology
Transfer and Intellectual Property

Witness: Nancy J. Stone 10/8

Approved, accepted and consented to on behalf of The Regents of the University of California

Witness: Nancy J. Stone
(Signature)

By: Janet G. Tulk 3-27-01
(Official's Signature) (Date)

Nancy J. Stone, Administrative Specialist
(Print/Type Name and Title)

Janet G. Tulk, Laboratory Counsel
(Print/Type Official's Name and Title)