

Form PTO-1595
(Rev. 10/02)
OMB No. 0651-0027 (exp. 6/30/2005)

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):
 Eric Allen Ott
 Andrew Philip Woodfield
 Clifford Earl Shamblen
 Michael Francis Xavier Gliotti

Additional name of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: _____

Street Address: One River Road

City: Schenectady State: NY Zip: 12345

Additional Name(s) & address(es) attached? Yes No

3. Nature of conveyance:

Assignment Merger

Security Agreement Change of Name

Other _____

Execution Date: 01/21/2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
10/350,968 filed 01/22/2003

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Kurt L. Ehresman

Internal Address: McNees Wallace & Nurick LLC

Street Address: 100 Pine Street
P.O. Box 1166

City: Harrisburg State: PA Zip: 17108-1166

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$ 40

Enclosed

Authorized to be charged to deposit account


8. Deposit account number:
50-1059

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kurt L. Ehresman  June 17, 2003

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and documents: 6

Mall documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

CH \$40.00 601069 10360968

02-04-2003

Form PTO-1595 (Rev. 10/02) 11857 RECOR		S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office 11857	
OMB No. 0651-0027 (exp. 6/30/2005)		102355939	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.			
1. Name of conveying party(ies): <u>1-22-03</u> Eric Allen Ott Andrew Philip Woodfield Clifford Earl Shamblen Michael Francis Xavier Gigliotti Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies) Name: <u>General Electric Co.</u> Internal Address: <u>MD H17</u> Street Address: <u>P.O. Box 156301</u> City: <u>Cincinnati</u> State: <u>Ohio</u> Zip: <u>45215</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>Jan. 21, 2003</u>			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: <u>Jan. 21, 2003</u>			
A. Patent Application No.(s) <u>10350968</u> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		B. Patent No.(s)	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Cust No. 31316</u> Internal Address: _____ Street Address: _____ <u>02/03/2003 TBIAZ1 00000161 070865 10350968</u> <u>40:00 CH</u> City: _____ State: _____ Zip: _____		6. Total number of applications and patents involved: <input type="checkbox"/> 1 7. Total fee (37 CFR 3.41).....\$ <u>40</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> See attached Fee Transmittal for FY 2003 <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: _____ (Attach duplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE			
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <u>Gregory Gammong, Reg. 29,382</u> <u>Jan. 22, 2003</u> Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and documents: <u>5</u>			

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

ASSIGNMENT

Docket Number
129957/11857

For good and valuable consideration, of which I acknowledge receipt, I (we)

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sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

METHOD FOR PREPARING AN ARTICLE HAVING A DISPERSOID DISTRIBUTED IN

A METALLIC MATRIX

Invented by me (us), as described in the application for United States patent

executed concurrently herewith, or

U.S. Serial No.: _____ filed _____

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications; make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

Date 1/21/03 Eric Allen Ott

State of Ohio) SS:
County of Hamilton)

This 21st day of January, 2003, before me personally came the above-named Eric Allen Ott to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



D. Michelle Bischof
(Notary Public)

D. MICHELLE BISCHOF
Notary Public, State of Ohio
My Commission Expires May 1, 2013



1-21-03; 4:40PM;GEAE PATENT OPT
3-12-02; 8:39AM;GEAE PATENT OPT

7865012
7865018

ASSIGNMENT		Docket Number 129957/11857
Date 1/21/03	Signature <u>Andrew Philip Woodfield</u> Andrew Philip Woodfield	
State of <u>Ohio</u> SS:		
County of <u>Hamilton</u>		
This <u>21st</u> day of <u>January</u> , <u>2003</u> , before me personally came the above-named <u>Andrew Philip Woodfield</u> to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.		
		<u>D. Michelle Bischof</u> (Notary Public)
Date 1/21/03	Signature <u>Clifford Earl Shamblen</u> Clifford Earl Shamblen	
State of <u>Ohio</u> SS:		
County of <u>Hamilton</u>		
This <u>21st</u> day of <u>January</u> , <u>2003</u> , before me personally came the above-named <u>Clifford Earl Shamblen</u> to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.		
		<u>D. Michelle Bischof</u> (Notary Public)
Date	Signature <u>Michael Francis Xavier Gigliotti</u> Michael Francis Xavier Gigliotti	
State of _____ SS:		
County of _____		
This _____ day of _____, _____, before me personally came the above-named <u>Michael Francis Xavier Gigliotti</u> to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.		
Seal	_____ (Notary Public)	

JAN '21 2003 14:18 FR GE CORPORATE R-D
PC 2000 0720002300
3-12-02 8:18AM:GEAE PATENT OPT

518 3977495 TO 917755890346

P.03/06
47:ST 2002 ST NOT

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ASSIGNMENT

Docket Number
129957/11857

For good and valuable consideration, of which I acknowledge receipt, I (we)

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sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

METHOD FOR PREPARING AN ARTICLE HAVING A DISPERSION DISTRIBUTED IN

A METALLIC MATRIX

Invented by me (us), as described in the application for United States patent

executed concurrently herewith, or
 U.S. Serial No.: _____, filed _____

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

Date _____ Eric Allen Ott

State of _____ SS:

County of _____

This _____ day of _____, _____, before me personally came the above-named Eric Allen Ott to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

ASSIGNMENT

Docket Number
129957/11857

Date

Andrew Philip Woodfield

State of _____ SS:

County of _____

This _____ day of _____ before me personally came the above-named Andrew Philip Woodfield to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

Date

Clifford Earl Shamblen

State of _____ SS:

County of _____

This _____ day of _____ before me personally came the above-named Clifford Earl Shamblen to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

21 Jan 2003

Date

Michael Francis Xavier Gigliotti

State of New York SS:

County of Schenectady

This 21st day of January, 2003 before me personally came the above-named Michael Francis Xavier Gigliotti to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

Kathy L. Parks
KATHY L. PARKS

Notary Public in the State of New York

My Commission Expires December 31, 2006

Attorney Docket No. 129957 (21635-0082)
Serial No. 10/350,968

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: OTT et al. :
:
Serial No. 10/350,968 :
: **Attn: ASSIGNMENT**
Filed: January 22, 2003 : **RECORDATION SERVICES**
:
For: METHOD FOR PREPARING AN ARTICLE HAVING A DISPERSOID
DISTRIBUTED IN A METALLIC MATRIX

REQUEST FOR CORRECTED ASSIGNMENT

Mail Stop ASSIGNMENT RECORDATION SERVICES
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of the Notice of Recordation of Assignment Document (document number 102355939A) recently received from the Recordation Branch of the U.S. Patent Office and recorded at Reel/Frame 013710/0055. The Assignment Document incorrectly identifies the address of the assignee. The original cover page incorrectly identifies the address of the assignee, and therefore, a new recordation cover page is included, as well as a copy of the originally filed assignment.

Please correct the Assignment Document to properly record the assignment for Attorney Docket 129957 (21635-0082), Serial No. 10/350,968.

If a telephone call will expedite this correction, please feel free to contact the undersigned at the phone number listed below.

Respectfully submitted,
MCNEES WALLACE & NURICK LLC



Kurt L. Ehresman
Reg. No. 50,758
100 Pine Street
P.O. Box 1166
Harrisburg, PA 17108-1166

Dated: June 17, 2003
Phone: 717-237-5458
Fax: 717-237-5300

Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

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 Other _____

Execution Date: 01/21/2003

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Internal Address: McNees Wallace & Nurick LLC

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City: Harrisburg State: PA Zip: 17108-1166

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

Enclosed
 Authorized to be charged to deposit account

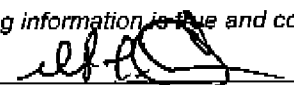
8. Deposit account number:
50-1059

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kurt L. Ehresman  June 17, 2003
Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

1-21-03: 4:40PM:GEAE PATENT OPT

17885015

3-12-02: 8:38AM:GEAE PATENT OPT

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ASSIGNMENT

Docket Number
129957/11857

For good and valuable consideration, of which I acknowledge receipt, I (we)

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executed concurrently herewith, or

U.S. Serial No.: _____, filed _____

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11/1/03


Date


Eric Allen Ott

State of Ohio) ss:

County of Hamilton)

This 21st day of January, 2003, before me personally came the above-named Eric Allen Ott to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.


(Notary Public)

D. MICHELLE BISCHOF
Notary Public, State of Ohio
My Commission Expires May 1, 2003





1-21-03; 4:40PM:GEAE PATENT OPT

7865015

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3-12-02; 9:38AM:GEAE PATENT OPT

7865015

ASSIGNMENT		Docket Number 129957/11857
<u>1/21/03</u>	<u>Andrew Philip Woodfield</u>	
Date	Andrew Philip Woodfield	
State of <u>Ohio</u>) SS:		
County of <u>Hamilton</u>)		
This <u>21st</u> day of <u>January</u> , <u>2003</u> , before me personally came the above-named <u>Andrew Philip Woodfield</u> to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.		
		<u>D. Michelle Bischof</u> (Notary Public)
<u>1/21/03</u>	<u>Clifford Earl Shamblen</u>	
Date	Clifford Earl Shamblen	
State of <u>Ohio</u>) SS:		
County of <u>Hamilton</u>)		
This <u>21st</u> day of <u>January</u> , <u>2003</u> , before me personally came the above-named <u>Clifford Earl Shamblen</u> to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.		
		<u>D. Michelle Bischof</u> (Notary Public)
	<u>Michael Francis Xavier Gigliotti</u>	
Date	Michael Francis Xavier Gigliotti	
State of _____) SS:		
County of _____)		
This _____ day of _____, _____ before me personally came the above-named <u>Michael Francis Xavier Gigliotti</u> to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.		
Seal	_____ (Notary Public)	

JAN 21 2003 14:18 FR GE CORPORATE R-D

518 3877495 TO 817755880346

P.03/06

JAN 16 2003 15:47

3-12-02 8:38AM:GEAE PATENT OPT

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* 2 / 11

ASSIGNMENT

Docket Number
129957/11857

For good and valuable consideration, of which I acknowledge receipt, I (we)

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Invented by me (us), as described in the application for United States patent

- executed concurrently herewith, or
- U.S. Serial No.: _____, filed _____

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Date

Eric Allen Ott

State of _____ SS:

County of _____

This _____ day of _____, before me personally came the above-named Eric Allen Ott to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

ASSIGNMENT

Docket Number
129957/11857

Date

Andrew Philip Woodfield

State of _____) SS:

County of _____)

This _____ day of _____, before me personally came the above-named Andrew Philip Woodfield to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

Date

Clifford Earl Shamblen

State of _____) SS:

County of _____)

This _____ day of _____, before me personally came the above-named Clifford Earl Shamblen to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

21 Jan 2003

Date

Michael Francis Xavier Gigliotti
Michael Francis Xavier Gigliotti

State of New York, SS:

County of Schenectady

This 21st day of January, 2003 before me personally came the above-named Michael Francis Xavier Gigliotti to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

Kathy L. Parks
KATHY L. PARKS

Notary Public in the State of New York

Residing in Schenectady County

My Commission Expires December 31, 2007