	FORM PTO 1595				U.S. DEPARTMENT OF COMMERCE		
,	1-31-92 <b>2-</b>	-21-03RECOI	02-25-2	003	Patent and Trademark Office		
	To the Honorable Co or copy thereof.	ommissioner of Patents an			documents		
	Name of conveyir Kimberly-Clark Tissu		1023726		s of receiving party(ies): Kimberly-Clark Worldwide, Inc.		
				Internal Address:	401 North Lake Street Neenah, Wisconsin 54956		
	Additional name(s) c attached?  ☐Yes  ☑	f conveying party(ies) No		Street Address: City Neenah	401 North Lake Street State Wisconsin Zip 54956		
	Nature of Convey     X Assignment     Security Agree     Other	Merger		Additional name(s □Yes ⊠No	) & address(es) attached?		
	_	February 7, 2003	<del></del>				
_	4. Application number	er(s) or patent number(s):					
	If this document is being filed together with a new application, the execution date of the application is:						
	A. Patent Application	n No.(s) See Attachment A		B. Patent No.(s)	See Attachment A		
	Additional numbers	attached? × Yes No See Attachment A					
-		dress of party to whom correspocument should be mailed:	pondence	6. Total number of	f applications and patents involved: 107		
	Name: Thomas J	. Mielke		7. Total fee (37 CF	FR 3.41) \$4,208.00		
	Internal Address:	Kimberly-Clark Worldwide, I	nc.	☐ Enclosed			
		401 North Lake Street Neenah, Wisconsin 54956		X Authorized to	be charged to deposit account.		
	Street Address: City: Neenah	401 North Lake Street State: Wisconsin Zip	54956	8. Deposit accour	nt number: <u>11-0875</u>		
_	DO NOT USE THIS SPACE						
-	9. Statement and signature:						
	To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy original document.						
	Thomas J.	Mielke	10-11		Feb 7,2003		
	Name of Pe	erson Signing	Signatu	ге	Date		
	Total number of	pages including cover sheet,	attachments and docume	nt: <u>12</u>			
-			Do not detach this po	ortion			
	Mail documents to be recorded with required cover sheet information to:						
		COMMIS	SIONER OF PATENTS A BOX ASSIGNMEN				
	time for reviewing the comments regarding Washington, D.C. 2 20503	ne document and gathering the grain that the Louden estimate to the Lought and to the Office of Mar	e data needed, and comp LS_Patent and Trademar	about 30 minutes pleting and reviewing k	per document to be recorded including the sample cover sheet. Send information Systems, PK2-1000C, Project, (0651-0011), Washington, D.C.		
	NUELLER 00000064 1	10875 4491045					
01 FC:8021	4280.00 CH						

Pat No.	App No	Docket No.F	l NCurr Owner Desc
4491045	485565	SC-2333	KIMBERLY-CLARK TISSUE
4908097	265359	SC-2390 3	
4756485	07/024650	SC-2504	KIMBERLY-CLARK TISSUE
4660781	683893	SC-2580	KIMBERLY-CLARK TISSUE
4605128	757592	SC-2611	KIMBERLY-CLARK TISSUE
4003120	09/075071	SC-2627 1	
5674590	482007	SC-2627	KIMBERLY-CLARK TISSUE
5885418	858138	SC-2627 1	
5904971	858140	SC-2627 2	
5002635	367907	SC-2631 4	
4546904	420330	SC-2702 1	KIMBERLY-CLARK TISSUE
4640638	592945	SC-2703	KIMBERLY-CLARK TISSUE
4705420	843187	SC-2703 2	KIMBERLY-CLARK TISSUE
4705195	822029	SC-2727	KIMBERLY-CLARK TISSUE
4886579	187752	SC-2737	KIMBERLY-CLARK TISSUE
4882221	082203	SC-2742	KIMBERLY-CLARK TISSUE
4883475	243923	SC-2742 1	
4901889	298121	SC-2744	KIMBERLY-CLARK TISSUE
4938382	339913	SC-2750 1	KIMBERLY-CLARK TISSUE
4883564	201496	SC-2755	KIMBERLY-CLARK TISSUE
4992140	334267	SC-2772	KIMBERLY-CLARK TISSUE
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D310922	093414	SC-2807	KIMBERLY-CLARK TISSUE
D315652	476594	SC-2807 1	
5042691	467370	SC-2819 2	KIMBERLY-CLARK TISSUE
4895276	269620	SC-2819 1	KIMBERLY-CLARK TISSUE
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D346518	000245	SC-3073	KIMBERLY-CLARK TISSUE

Pat No.	App No	Docket No.Fl	NCurr Owner Desc
5322186	075438	SC-3089	KIMBERLY-CLARK TISSUE
5326434	060178	SC-3096	KIMBERLY-CLARK TISSUE
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5697576	395327	SC-3111	KIMBERLY-CLARK TISSUE
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6447641	969880	SC-3274 1	KIMBERLY-CLARK TISSUE
D426411	29/048964	SC-3277	KIMBERLY-CLARK TISSUE
D391104	067103	SC-3278 1	KIMBERLY-CLARK TISSUE
D421542	29/077412	SC-3278 2	KIMBERLY-CLARK TISSUE
D386344	045869	SC-3279	KIMBERLY-CLARK TISSUE
D379279	046366	SC-3303	KIMBERLY-CLARK TISSUE
5882743	847990	SC-6449	KIMBERLY-CLARK TISSUE

## CONFIRMATION OF ASSIGNMENT

COMMISSIONER OF PATENTS & TRADEMARKS Washington, D.C. 20231

Sir:

Kimberly-Clark Tissue Company, a corporation of the State of Pennsylvania, having offices at 401 North Lake Street, Neenah, Wisconsin 54956, has, by previous assignment from Scott Paper Company, acquired the entire right, title, and interest in the applications for Letters Patent of the United States and in the Letters Patent of the United States listed in Attachment A attached hereto. As a result, the Patent and Trademark Office records show Kimberly-Clark Tissue Company as the current owner of the patents and patent applications listed in Attachment A.

However, Kimberly-Clark Tissue Company has previously assigned its rights, title and interest in these patents and patent applications to Kimberly-Clark Worldwide, Inc. through a conveyance agreement entered into as of November 30, 1996 by and between Kimberly-Clark Tissue Company and Kimberly-Clark Worldwide, Inc. (copy attached as Attachment B).

Therefore, please update the Patent and Trademark records to reflect that ownership of the patents and patent applications listed in Attachment A resides with Kimberly-Clark Worldwide, Inc.

Kimberly-Clark	Worldwide,	Inc.

Thomas J. Mielke

Title: Vice President & Chief Patent Counsel

Date: February 7, 2003

## **AGREEMENT**

FOR

# **CONVEYANCE OF ASSETS**

AND

# APPOINTMENT OF TRADEMARK AGENT

BY AND BETWEEN

KIMBERLY-CLARK TISSUE COMPANY

**AND** 

KIMBERLY-CLARK WORLDWIDE, INC.

DATED AS OF NOVEMBER 30, 1996

ASSET\_CONVEY\_KCTC

### FIRST AMENDMENT TO CONVEYANCE AND AGENT AGREEMENT

THIS First Amendment to the Conveyance and Agent Agreement is dated effective November 30, 1996, by and between Kimberly-Clark Tissue Company ("KCTC"), a Pennsylvania corporation, and Kimberly-Clark Worldwide, Inc. ("KCWW"), a Delaware corporation.

### RECITALS:

WHEREAS, KCTC and KCWW entered into a Conveyance and Agent Agreement ("Agreement") dated as of November 30, 1996;

WHEREAS, KCTC and KCWW desire to clarify and amend the Agreement as of the same date;

WHEREAS, capitalized terms used herein shall have the same meanings ascribed to them in the Agreement;

NOW, THEREFORE, in consideration of the premises and the mutual covenants herein, the Agreement is amended as follows:

- 1. Amendments. Article 1.1 is deleted and replaced by the following:
- 1.1 "Assets" means all of KCTC's right, title and interest, legal or equitable, existing and hereinafter created or acquired in and to patents, applications for patents, utility model registrations, applications for utility model registrations, industrial design registrations, applications for industrial design registrations, trade secrets, technology, inventions, and improvements developed, used or acquired by KCTC in connection with its business operations; including without limitation all rights to obtain, register, perfect and enforce such rights; including without limitation all such property as listed in the Patent Database contained in the records of KCTC and its parent, Kimberly-Clark Corporation, not previously transferred or assigned to KCWW; and including without limitation all of KCTC's right, title, and interest, legal or equitable, existing and hereinafter created or acquired in and to all Scott Employee's Agreements, between Scott Paper Company and certain employees who become employees of KCWW on or after November 30, 1996.

Article 2.1 is deleted and replaced by the following:

- 2.1. <u>Conveyance</u>. KCTC hereby grants, bargains, sells, conveys, assigns, transfers and delivers all of the Assets to KCWW, and KCWW hereby accepts such Assets. The Assets are conveyed by KCTC to KCWW and its successors and assigns to and for their own use, together with all rights related thereto. KCTC hereby assigns to KCWW all KCTC's rights and obligations under any existing license or other agreement relating to such Assets, including all rights to any royalties or other payments attributable to the license or other agreement.
- 2. <u>Limited Effect</u>. Except as modified by this First Amendment, the terms of the Agreement shall remain in full force and effect.

IN WITNESS WHEREOF, this First Amendment to the Agreement has been duly executed by the parties hereto as of the date first written above.

KIMBERLY-CLARK TISSUE COMPANY

KIMBERLY-CLARK WORLDWIDE, INC.

Name: John W. Donehower

Title: Senior Vice President and Chief Financial Officer

Name: John W. Donehower

Title: President

## CONVEYANCE AND AGENT AGREEMENT

THIS Conveyance and Agent Agreement ("Agreement") is made and entered into as of November 30, 1996 by and between Kimberly-Clark Tissue Company ("KCTC"), a Delaware corporation, and Kimberly-Clark Worldwide, Inc. ("KCWW"), a Delaware corporation.

### **RECITALS:**

WHEREAS, KCTC desires to convey certain of its assets to KCWW, a wholly owned subsidiary of KCTC; and

WHEREAS, KCWW desires to acquire such assets; and

WHEREAS, KCTC is the owner, by virtue of use and registration, of certain intellectual property with respect to its business operations; and

WHEREAS, KCTC desires to appoint KCWW as its exclusive agent to manage KCTC's rights to its trademarks and copyrighted works so that KCWW can more effectively combine such rights in the world-wide licensing of other intellectual property owned or licensed by KCWW; and

WHEREAS, KCWW is willing to accept the appointment of agent with respect to the management of KCTC's trademarks and copyrighted works.

NOW, THEREFORE, in consideration of the premises and the mutual covenants contained herein, the parties hereto agree as follows:

### ARTICLE I

# **Definitions**

The following definitions shall for all purposes, unless otherwise clearly indicated to the contrary, apply to the terms used in this Agreement:

1.1 "Assets" means all of KCTC's right, title and interest, legal or equitable, in and to patents, applications for patents, utility model registrations, applications for utility model registrations, individual design registrations, application for individual design registrations, trade secrets, technology, inventions, and improvements developed, used or acquired by KCTC in connection with its business operations; including without limitation all rights to obtain, register, perfect and enforce such rights; including without limitation all such property as listed in the Patent Database contained in the records of KCTC and its parent, Kimberly-Clark Corporation, not previously transferred or assigned to KCWW.

1.2 "Trademarks" means all of KCTC's right, title and interest, legal or equitable, in and to any registered or unregistered trademarks, tradenames, service marks, logos, package designs or other trade indicia established, used or acquired by KCTC in connection with its business operations and not previously transferred or assigned to KCWW and all copyrighted works relating to products bearing such trademarks, tradenames, service marks, logos, designs or indicia or the packaging, advertising and promotional materials used in the sale of such products.

### ARTICLE II

# Conveyance of Assets

- 2.1 <u>Conveyance</u>. KCTC hereby grants, bargains, sells, conveys, assigns, transfers and delivers all of the Assets to KCWW, and KCWW hereby accepts such Assets, as of November 30, 1996. The Assets are conveyed by KCTC to KCWW and its successors and assigns to and for their own use, together with all rights related thereto. KCTC hereby assigns to KCWW all KCTC's rights and obligations under any existing license or other agreement relating to such Assets, including all rights to any royalties or other payments attributable to the license or other agreement.
- 2.2 <u>Acknowledgment of Conveyance</u>. KCTC and KCWW hereby acknowledge that the conveyance being made pursuant to this is being made under Section 351 of the Internal Revenue Code of 1986, as amended.

### ARTICLE III

# **Appointment of Trademark Agent**

- 3.1 Appointment of Agent. KCTC hereby appoints KCWW as its exclusive agent, and KCWW hereby accepts such appointment by KCTC, to manage KCTC's Trademarks including, but not limited to, taking all such action as necessary to establish, maintain, protect and license such Trademarks throughout the world.
- 3.2 Assignment. In order for KCWW to perform its duties and obligations under this appointment, KCTC hereby assigns to KCWW all KCTC's rights and obligations under any existing license of such Trademarks and agrees to grant KCWW such further licenses of the Trademarks as may be necessary for KCWW to perform its duties under this appointment, which grant shall include KCWW's right to sublicense the Trademarks under licenses granted to KCWW by KCTC hereunder.

### ARTICLE IV

# Third Party Consents

If the conveyance of Assets under Article II or the assignment of license rights and obligations under Article III which are attempted to be made hereunder would be ineffective as between KCTC and KCWW without the consent of any third person, or would serve as a cause for terminating or invalidating any Asset or pre-existing license of any Asset or the Trademarks or pre-existing license of any Trademark; or would cause or serve as a cause for the breach of any such license or loss of ownership of any such Asset or Trademark, then such Asset, Trademark or license is temporarily excluded from provisions of this Agreement. However, KCTC shall, to the fullest extent permitted, hold such Asset or Trademark license for the benefit of KCWW until such consent has been obtained. Upon the obtaining of such consent, no other conveyance or assignment shall be required, but full and complete title and ownership in such Asset or the rights and obligations under such license, as the case may be, shall automatically become vested in KCWW by virtue of this Agreement.

## **ARTICLE V**

## **Warranties**

CONVEYANCES, ASSIGNMENTS, TRANSFERS ALL SALES, DELIVERIES TO BE MADE HEREUNDER WILL BE MADE WITHOUT REPRESENTATION OR WARRANTY OF ANY KIND (INCLUDING, WITHOUT LIMITATION, ANY REPRESENTATION OR WARRANTY OF TITLE OR VALIDITY). ALL ASSETS, RIGHTS AND BUSINESSES TO BE SOLD. CONVEYED, ASSIGNED, TRANSFERRED AND DELIVERED HEREUNDER WILL BE SOLD, CONVEYED, ASSIGNED, TRANSFERRED AND DELIVERED "AS IS," AND KCTC EXPRESSLY DISCLAIMS ANY WARRANTIES OF CONDITION, MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE. NONINFRINGEMENT OF ANY PATENT OR UTILITY MODEL REGISTRATION OR ABSENCE OF VIOLATION OF ANY TRADE SECRET OWNED BY A THIRD PARTY.

#### ARTICLE VI

# Sales Taxes and Recording Fees

The parties agree that KCWW shall pay all sales, use and similar taxes arising out of the sales, conveyances, assignments, offers and deliveries to be made hereunder, and shall pay all fees required in connection therewith, including but not limited to documentary, filing, registration and recording fees.

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PATENT

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## ARTICLE VII

# **Further Assurances**

From time to time after the date hereof, and without any further consideration, KCTC will execute and deliver such instruments of conveyance, assignment, license, transfer and delivery, and take such other action, as KCWW may reasonably request in order to more effectively vest in KCWW beneficial and record title to or ownership in the Assets to be conveyed and assigned hereunder or intended so to be and to put KCWW in actual possession and operating control of such Assets or to allow KCWW to operate effectively as KCTC's managing agent of the Trademarks. After the date hereof, KCTC agrees to use its best efforts to obtain, without additional cost to KCWW, any and all consents and approvals that may be necessary to vest or confirm title to or ownership in all the Assets in KCWW or the assignment to KCWW of KCTC's rights and obligations under pre-existing licenses of the Trademarks.

## ARTICLE VIII

# Miscellaneous

- 8.1 Effective Time. Regardless of when executed, this Agreement shall be effective as of 11:59 p.m., central standard time (the "Effective Time"), on November 30, 1996; provided, however, that if KCWW is not qualified to transact business as a corporation in a particular state as of the Effective Time, the Assets, and business related to the operations in such state shall not transfer to KCWW; the Appointment of Exclusive Agent shall not become effective; and KCWW shall not conduct business in any such state until such time as qualification is obtained. Upon obtaining qualification as a corporation to transact business in such state, no further conveyance or appointment shall be required, but full and complete title to or ownership in the Assets in such state shall automatically become vested in KCWW by virtue of this Agreement. Notwithstanding any such delay in the actual transfer of Assets and business or appointment of Exclusive Trademark Agent, for accounting purposes, such transfer and appointment shall be deemed to have occurred as of the Effective Time; and all business conducted in such state by KCTC after the Effective Time shall inure to the benefit of KCWW.
- 8.2 Notices. Any notice, request, consent or communication (collectively a "Notice") under this Agreement shall be effective only if it is in writing and (a) personally delivered, (b) sent by certified or registered mail, return receipt requested, postage prepaid, (c) sent by a nationally recognized overnight delivery service, with delivery confirmed, or (d) telexed or telecopied, with receipt confirmed, addressed as follows:

Kimberly-Clark Tissue Company 351 Phelps Drive Irving, Texas 75038

Attention: General Counsel

Kimberly-Clark Worldwide, Inc. 351 Phelps Drive Irving, Texas 75038
Attention: President

or to such other address or addresses as shall be furnished in writing by any party to the other party. Unless the sending party has actual knowledge that a Notice was not received by the intended recipient a Notice shall be deemed to have been given (i) as of the date received when personally delivered, (ii) three days after being deposited with the United States mail properly addressed, (iii) the next day after being delivered during business hours to said overnight delivery service, properly addressed and prior to such delivery service's cutoff time for next day delivery, or (iv) when receipt of the telex or telecopy is confirmed, as the case may be.

- 8.3 <u>Assignment</u>. Either party hereto shall have the right to assign this Agreement only to (i) any successor assignee of such party that may result from any merger, consolidation or reorganization or (ii) another corporation that acquires all or substantially all of such party's assets, business and liabilities.
- 8.4 <u>Headings</u>. All article or section headings contained in this Agreement are for reference purposes only and shall not be deemed to control or affect in any way the meaning or construction of any of the provisions hereof.
- 8.5 Entire Agreement: Modification. This Agreement contains the complete expression of the agreement between the parties with respect to the matters addressed herein and there are no promises, representations, or inducements except as herein provided. The terms and provisions of this Agreement may not be modified, supplemented or amended except in writing signed by both parties hereto. All terms and provisions of this Agreement shall be binding upon and inure to the benefit of and be enforceable by the respective successors and permitted assigns of the parties hereto.
- 8.6 No Waiver. Failure by either party hereto to enforce at any time or for any period of time any provision or right hereunder shall not constitute a waiver of such provision or of the right of such party thereafter to enforce each and every such provision.
- 8.7 <u>Applicable Law</u>. This Agreement shall be governed by and construed and enforced in accordance with the laws of the State of Delaware, without regard to the choice of law principles thereof.

- Counterparts. This Agreement may be executed in any number of counterparts, all of which together shall constitute one agreement binding on the parties hereto.
- Binding Effect. This Agreement shall be binding upon and inure to the 8.9 benefit of the parties hereto and their respective successors and assigns.

IN WITNESS WHEREOF, this Conveyance and Agent Agreement has been duly executed by the parties hereto as of the date first written above.

KIMBERLY-CLARK CORPORATION

Title: Senior Vice President and Chief Financial Officer

KIMBERLY-CLARK WORLDWIDE, INC.

**RECORDED: 02/21/2003** 

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**PATENT** 

REEL: 013746 FRAME: 0186