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PATENT
Attorney Docket No.: UCSD-07584

102368135

Form PTO-1595	<p>RECORDATION FORM COVER SHEET</p> <p>PATENTS ONLY</p>		<p>U.S. Department of Commerce Patent and Trademark Office</p>
<p>To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy them.</p>			
<p>1. Name of conveying party(ies): 02.06.03</p> <p>Randal S. Goomer</p> <p>Additional name(s) of conveying party(ies) attached? No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Regents of the University of California 1111 Franklin St., 12th Floor Oakland, CA 94607-5200</p> <p>Additional name(s) & address(es) attached? No</p>		
<p>3. Nature of conveyance: Assignment</p> <p>Execution Date: 4/26/99, Reel/Frame:9939/0627</p>			
<p>4. Title of Invention: Compositions for Receptor/Liposome Mediated Transfection and Methods of Using Same</p> <p>Application number(s) or patent number(s): 10361102</p> <p>If this document is being filed together with a new application, the execution date of the application is: 2/06/03</p> <p>A. Patent Application No.(s):</p> <p>B. Patent No.(s):</p> <p>Additional numbers attached? No</p>			
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Peter G. Carroll MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105</p>	<p>6. Total number of applications and patents involved: 1</p>	<p>7. Total fee(s):</p> <p>Check(s) in the amount of \$581 enclosed (\$411 new application, \$40 recordation fee, \$130 Petition Fee).</p>	
<p>02/22/2003 RMEBRAHT 00000030 10361102 04 FC:8021 40.00 OP</p>	<p>8. Deposit Account Authorization:</p> <p>The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.</p>		
<p>DO NOT USE THIS SPACE</p>			
<p>9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p> <p>Date: <u>February 6, 2003</u></p> <p>Signature: <u></u></p> <p>Name: <u>Peter G. Carroll</u></p> <p>Reg. No.: <u>32,837</u></p> <p>Total number of pages including cover sheet, attachments, and document: 4</p>			

U.S. PATENT & TRADEMARK OFFICE
10/361102
02/06/03

PATENT
REEL: 013756 FRAME: 0583

The attached Assignment is to be used for the instant continuation application: **Compositions for Receptor/Liposome Mediated Transfection and Methods of Using Same**

APR 27 1999

Serial No: Filed: February 6, 2003
Attorney Docket No. UCSD-07584

ASSIGNMENT

OFFICE

UCSD Case No. 98-035-2

C&F Docket # P-VD 3438

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Randal S. Goomer
- 2. _____
- 3. _____
- 4. _____

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, A California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: **COMPOSITIONS FOR RECEPTOR/LIPOSOME MEDIATED TRANSFECTION AND METHODS OF USING SAME** and which is found in

- (a) U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) U.S. patent application filed herewith and listing the above named persons as inventors
- (c) U.S. application serial no. 09/248,256, filed on February 11, 1999
- (d) U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signatures of Inventor(s)

26th day of April 1999

_____ day of _____ 19__

_____ day of _____ 19__

_____ day of _____ 19__

R. Goomer
