

02-20-2003

Docket No.: 178-310

T U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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102368172

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Harbans S. Dhadwal
Josephine Y. Aller
Paul F. Kemp

02.07.03

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **February 6, 2003**

2. Name and address of receiving party(ies):

Name: **The Research Foundation of**

Internal Address: **State University of New York**

Street Address: **P.O. Box 9**

City: **Albany**

State: **NY**

ZIP: **12201**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **February 6, 2003**

A. Patent Application No.(s)

B. Patent No.(s)

02/11/2003 SDIRETA1 00000037 10360114

04 FC:8021

40.00 DP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Ronald J. Baron, Esq.**

Internal Address: **Hoffmann & Baron, LLP**

Street Address: **6900 Jericho Turnpike**

City: **Syosset**

State: **NY**

ZIP: **11791**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven T. Zuschlag

Name of Person Signing

Signature

February 7, 2003

Date

Total number of pages including cover sheet, attachments, and document:

4

For: ☒ U.S. and/or ☒ Foreign Rights
 For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
 By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

<u>Harbans S. Dhadwal</u>	<u>Josephine Y. Aller</u>	<u>Paul F. Kemp</u>
Name	Name	Name
<u>6 Ben Place</u>	<u>31 Main Street</u>	<u>195 41st Street</u>
Address	Address	Address
<u>Setauket, New York 11733</u>	<u>Stony Brook, New York 11790</u>	<u>Lindenhurst, New York 11757</u>
<u>USA</u>	<u>USA</u>	<u>USA</u>
Nationality	Nationality	Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____ Reel _____
 Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

The Research Foundation of State University of New York

(Type or print name of ASSIGNEE)

P.O. Box 9

Address

Albany, New York 12201-0009

United States of America

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

CAPILLARY WAVEGUIDE FLUORESCENCE SENSOR

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☒ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
 ☐ Express Mail label No.: _____, mailed: _____
 ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☐ U.S. application Serial No. 0 / _____ filed on _____
(e) ☐ International application No. PCT/ _____ / _____
(f) ☐ U.S. Patent No. _____ issued _____
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

above. **WARNING:** Date of signing must be the same as the date of execution of the application if item (a) was checked

Date: X 2/6/03
Date of signing

X Harbans S. Dhadwal
Harbans S. Dhadwal

Date: X 2/6/03
Date of signing

X Josephine Y. Aller
Josephine Y. Aller

Date: X 2/6/03
Date of signing

X Paul F. Kemp
Paul F. Kemp

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

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