

Patent Case No. 20630YIA

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

02-24-2003

HEET

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AND TRADEMARKS:
DOCUMENTS OR COPY THEREOF

1. Name(s) of Conveying party(ies):
Kun Liu, Libo Xu and A. Brian Jones

2.1903

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

3. Name and address of receiving party(ies):

Name: MERCK & Co., INC.

Internal Address: RY60-30

Street Address: P.O. Box 2000

City & State: RAHWAY, NEW JERSEY

Zip/Postal Code: 07065-0907

Execution Date: Sep 18, 2001 Oct 9, 2001

Additional name(s) & addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s) are as follows:

(a) Patent Application No(s). 09/932,834, filed on 08/17/2001
and titled:

ARYLOXYACETIC ACIDS FOR DIABETES AND LIPID DISORDERS

(b) If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? ☐ Yes ☒ No

5. Name & address of party to whom correspondence concerning documents should be mailed:

Name: James L. McGinnis

Internal Address: PATENT DEPARTMENT
MERCK & CO., INC.
P.O. BOX 2000 -- RY60-30
City & State: RAHWAY, N.J. Zip: 07065-0907

6. Total no. of applications & patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ The Commissioner is hereby authorized to charge **deposit account number 13-2755** for any fees which may be required or to credit any overpayment.

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8. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James L. McGinnis Reg. No. 34,387
Name of Person Signing

James L. McGinnis
Signature

Feb 6, 2003
Date

Total number of pages including cover sheet, attachments, and documents: 3

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Mail documents to be recorded with the required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date appearing below.

02/21/2003 DBYRME 00000046 132755 09932834

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Computer generated form Merck & Co., Inc. "Recordal Form (Assignment Folder)" 11/15/2002

MERCK & CO., INC.
By James L. McGinnis Date Feb 6, 2003

PATENT
REEL: 013765 FRAME: 0657

JOINT Merck Case 20630YIA

ASSIGNMENT AND AGREEMENT

U.S. Serial No. 09/932,834

Filing Date 8/17/2001

For value received, we, KUN LIU, LIBO XU AND A. BRIAN JONES

of 39 WINDING BROOK WAY, EDISON, NEW JERSEY 08820, 2106 DAHLIA CIRCLE, DAYTON, NEW JERSEY 08810; AND ROSSIE, MIDDLE STREET, CLAVERING, ESSEX, GB

hereby sell, assign and transfer to MERCK & CO., Inc., a corporation of the State of New Jersey, having an office at Lincoln Avenue, City of Rahway, State of New Jersey, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to

ARYLOXYACETIC ACIDS FOR DIABETES AND LIPID DISORDERS

described in an application for Letters Patent of the United States, executed by us on even date herewith, and in provisional application(s)

Serial No. filed on ; Serial No. filed on ; Serial No. filed on

(if applicable), and all the rights and privileges, including any and all benefits under the International Convention for the Protection of Industrial Property under any and all Letters Patents which may be granted therefor, and under any and all extensions, divisionals, reissues and continuations of said Letters Patents.

We request that any and all Patents for said inventions be issued to said assignee, its successor, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining Patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

We hereby authorize our attorney, James L. McGinnis or an attorney with Power of Attorney in this application. of the said MERCK & CO., Inc., to insert Serial No., and Filing Date of said application(s) when known.

Signed at Rahway, New Jersey 07065 this 18th day of September, 2001.

KUN LIU

LIBO XU

JOINT Merck Case 20630YIA

U.S. Serial No. 09/932,834
Filing Date 8/17/2001

ASSIGNMENT AND AGREEMENT

Signed at HARLOW this 9 day of October, 2001.

[Signature]
A. BRIAN JONES

STATE OF NEW JERSEY }
County of UNION } SS.

Personally appeared before me the above-named KUN LIU LIBO XU

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their free act and deed this 18th day of September, 2001.

[Signature]
Notary Public

UNITED KINGDOM }
County of Essex } SS.

Personally appeared before me the above-named A. BRIAN JONES

MARIBEL MARINO
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 8, 2006

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their free act and deed this 9 day of October, 2001.

[Signature]
Notary Public

STEPHEN B. THIRSK
NOTARY PUBLIC

GATE HOUSE THE HIGH
HARLOW, ESSEX CM20 1LW
ENGLAND

