

02-25-2003



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Pat No.: 3123-469 (16420-02090)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

MICHAEL MALLARY

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **FEBRUARY 5, 2003**

2. Name and address of receiving party(ies):

Name: **MAXTOR CORPORATION**

Internal Address:

Street Address:

2452 CLOVER BASIN DRIVE

City: **LONGMONT**

State: **CO**

ZIP: **80503**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **FEBRUARY 5, 2003**

A. Patent Application No.(s)

B. Patent No.(s)

02/14/2003 ZJUHA1 00000021 10365287

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Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **ROBERT G. CROUCH**

Internal Address:

MARSH FISCHMANN & BREYFOGLE LLP

Street Address:

3151 S. VAUGHN WAY #411

City: **AURORA**

State: **CO** ZIP: **80014**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1419

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ROBERT G. CROUCH

Name of Person Signing

Signature

FEBRUARY 12, 2003

Date

Total number of pages including cover sheet, attachments, and document:

2

ASSIGNMENT

WHEREAS, I, Michael Mallary, 4 Matthew Lane, Sterling, MA 01564, have invented a certain new and useful invention entitled "FLOATING DOWN STREAM PERPENDICULAR WRITE HEAD SHIELD", for which an application for Letters Patent of the United States has been prepared and filed herewith, identified as Attorney File No. 3123-469 (16420-02090);

NOW THEREFORE, be it known that I, the said inventor, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, MAXTOR CORPORATION, a Delaware Corporation having a place of business at 2452 Clover Basin Drive, Longmont, Colorado, 80503, its successors, legal representatives and assigns, the entire right, title and interest in the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues, Reexaminations and other patent rights, obtained for the invention in the United States or any other country; I also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; I warrant that I have made no assignment of the invention, application or patent therefor to a party other than MAXTOR CORPORATION and am under no obligation to make and shall not make any assignment of the invention, application, or patent therefor to any other party; and I further agree to cooperate with the assignee hereunder in the obtaining, sustaining and enforcing of any and all such Letters Patent and patent rights and in confirming the assignee's exclusive ownership of the invention, without further consideration, but at the expense of said assignee.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to MAXTOR CORPORATION, its successors, legal representatives and assigns as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 2/5/03

By: Michael Mallary
Michael Mallary

STATE OF Massachusetts)
COUNTY OF Worcester) ss.

Before me, a Notary Public in and for said County and State, personally appeared **Michael Mallary**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 5 day of February, 2003.

Janice A. Wheeler

My Commission Expires: 11-22-07