

02-26-2003



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings 0000

102373687

To the Honorable Commissioner

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ASTI Operating Company, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: AOTI Operating Company, Inc.

Internal Address: 2.21.03

Street Address: 131 NW Hawthorne Avenue

City: Bend State: OR ZIP: 97701

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Other

Execution Date: February 3, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

5,241,369

5,703,692

5,867,276

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen A. Slusher

Internal Address: PEACOCK, MYERS & ADAMS,  
P.C.

Street Address: P.O. Box 26927

City: Albuquerque State: NM ZIP: 87125

6. Total number of applications and patents involved:

53

7. Total fee (37 CFR 3.41).....\$ 120

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number:

13-4213

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen A. Slusher

Name of Person Signing

Signature

February 14, 2003

8

Date

Total number of pages including cover sheet, attachments, and document:

02/26/2003 ECOMPER 00000067 5241369

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Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

(Form PTO-1595—Recordation Form Cover Sheet [16-5]—page 1 of 3)

*State of Delaware*  
*Office of the Secretary of State*

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PAGE 1

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ASTI OPERATING COMPANY, INC.", CHANGING ITS NAME FROM "ASTI OPERATING COMPANY, INC." TO "AOTI OPERATING COMPANY, INC.", FILED IN THIS OFFICE ON THE FIRST DAY OF FEBRUARY, A.D. 2001, AT 3 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



*Harriet Smith Windsor*  
Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 0952061

3242268 8100

010054832

DATE: 02-02-01

PATENT

REEL: 013774 FRAME: 0045

FEB-01-2001 14:54

FROM O'MELVENY &amp; MYERS

TO 913026555049

P.003

**CERTIFICATE OF AMENDMENT  
OF  
CERTIFICATE OF INCORPORATION  
OF  
ASTI OPERATING COMPANY, INC.  
(a Delaware corporation)**

Pursuant to Section 242 of the  
General Corporation Law of the  
State of Delaware

The undersigned, being the President of ASTI OPERATING COMPANY, INC.,  
does hereby certify the following:

**FIRST:** The name of the corporation is ASTI Operating Company, Inc.  
(hereinafter called the "Corporation").

**SECOND:** The Certificate of Incorporation of the Corporation was filed with the  
Secretary of State of the State of Delaware on June 9, 2000.

**THIRD:** Article FIRST of the Certificate of Incorporation of the Corporation is  
hereby amended to read as follows:

*"FIRST: The name of the Corporation is AOTI Operating Company, Inc."*

**FOURTH:** The foregoing amendment of the Certificate of Incorporation was  
authorized by written consent of the sole director of the Corporation, dated as of December 5,  
2000, followed by written consent of the sole stockholder of the Corporation, dated as of  
December 5, 2000.

*[Remainder of page intentionally left blank]*

NY1:776321.2

STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 03:00 PM 02/01/2001  
010054632 - 3242266

**PATENT  
REEL: 013774 FRAME: 0046**

FEB-01-2001 14:55

FROM O'MELVENY & MYERS

TO 913026355049

P.004

**IN WITNESS WHEREOF**, the undersigned has signed this Certificate on December 5, 2000, and does hereby affirm the contents to be true under the penalties of perjury.

  
\_\_\_\_\_  
Bruce C. Rhine  
President

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent

In re application of: John R. McNeil, et al.

5,241,369

Patent Application No.: / 5,703,692

5,867,276

Group No.:

Filed:

Examiner:

For:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that the *attached* correspondence comprising:

Recordation Form Cover Sheet-Patents

Change of Name in Recorded Assignments

Copy of certificate of the Secretary of State of Delaware showing name change

\$120 fee check

Post Card

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

on February 14, 2003

Stephen A. Slusher

(type or print name of person mailing paper)

Signature of person mailing paper

(Certificate of Mailing under 37 CFR § 1.8(a) [8-2])

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CHANGE OF NAME IN RECORDED ASSIGNMENTS

**1. Particulars of assignments**

A list of assignments recorded against patent applications and/or patents is set forth on the attached page.

**2. Old name of assignee**

The old name for the assignee as shown for the assignments on the attached page is:

ASTI Operating Company, Inc.

*(type or print old name of Assignee)*

**3. New name of assignee**

The new name of the assignee is

AOTI Operating Company, Inc.

*(type or print new name of Assignee)*

**4. Proof of name change**

Proof of assignee's change of name is established by the attached

☒ certificate of the Secretary of State of Delaware,  
showing the name change. *(type name of state)*

☐ certificate of name change from: \_\_\_\_\_  
*(type or print name of authority)*

*(check, if applicable)*

☐ Because the certificate or the certified copy of the name change is not in the English language, it is accompanied by a verified translation signed by the translator.

**5. Change of address for patent maintenance fees**

*(complete, if applicable)*

☒ A change of address to which correspondence is to be sent regarding patent maintenance fees for each patent listed is being sent separately.

(Change of Name in Recorded Assignments [16-12]—page 1 of 3)

**6. Payment of fee**

NOTE: See 37 CFR 1.21(h).

Please refer to the "ASSIGNMENT (DOCUMENT) COVER SHEET" to which this paper is attached.

  
\_\_\_\_\_  
**SIGNATURE OF PRACTITIONER**

Reg. No.: 43,924

Stephen A. Slusher  
\_\_\_\_\_  
*(type or print name of practitioner)*  
PEACOCK, MYERS & ADAMS, P.C.  
P.O. Box 26927  
\_\_\_\_\_  
P.O. Address

Tel. No.: ( 505 ) 998-6130

Customer No.: 005179

\_\_\_\_\_  
Albuquerque, New Mexico 87125-6927  
\_\_\_\_\_

(Change of Name in Recorded Assignments [16-12]—page 2 of 3)

