


RECOR



102373777

2-21-03

Commissioner for Patents: Please record the attached original document(s) or copy(ies).

<p>1. Name of conveying party(ies): James N. Richmann, Stuart Serkin, Timothy Vincent, Fred Stiening, John T. Hughes, Jr. and Daniel F. Moore Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies): The Nasdaq Stock Market, Inc. 1801 K Street N.W. Washington, DC 20006 Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: Richmann - 02/05/2003; Serkin - 01/22/2003; Vincent - 02/06/2003; Stiening - ; Hughes - 10/24/2002; Moore - 02/06/2003</p>	
<p>4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s): 10/206,316 B: Patent No(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	
<p>5. Name/address of party to whom correspondence concerning document should be mailed: DENIS G. MALONEY Fish & Richardson P.C. 225 Franklin Street Boston, Massachusetts 02110-2804</p>	<p>6. Total number of applications/patents involved: 7. Total fee (37 CFR §3.41): \$40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.</p>
<p>DO NOT USE THIS SPACE</p>	
<p>9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p> <p>Jeffrey J. Barclay Reg. No. 48,950 Name of Person Signing</p> <p> Signature</p> <p>Feb. 14, 2003 Date</p> <p style="text-align: right;">Total number of pages including coversheet, attachments and document: 8</p>	

RECEIVED
FEBRUARY 14 2003
7:58

20566118.doc

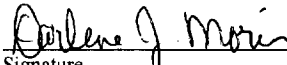
02/26/2003 ECOOPER 00000025 10203316

01 FC:0021

40.00 OP
CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

February 14, 2003
Date of Deposit


Signature

Darlene J. Morin
Typed Name of Person Signing Certificate

ASSIGNMENT

For valuable consideration, we, JAMES N. RICHMANN of 431 Kings Highway, Lewes, DE 19958, STUART SERKIN of 44 Bennett Street, Fairfield, CT 06825, TIMOTHY VINCENT of 30 Sportsman Drive, Shelton, CT 06484, FRED STIENING of 34 East Court, Derby, CT 06418, JOHN T. HUGHES, JR. of 125 Warner Hill Road, Unit 44, Stratford, CT 06614, and DANIEL F. MOORE of 58 Sea Street, New Haven, CT 06519, hereby assign to:

The Nasdaq Stock Market, Inc., a corporation of Delaware having a place of business at: 1801 K Street N.W., Washington, DC 20006; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled ORDER BOOK PROCESS AND METHOD, filed July 25, 2002, and assigned U.S. Serial Number 10/206,316, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Attorney Docket No: 09857-087001

IN WITNESS WHEREOF, I hereto set my hand and seal at Trumbull, CT
this 5 day of Feb, 2003
James N. Richmann L.S.
JAMES N. RICHMANN

State of Connecticut :

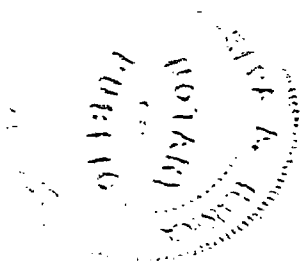
: ss. Trumbull

County of Fairfield :

Before me this 5th day of February, 2003, personally appeared JAMES N. RICHMANN known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Barbara A. Hanna
Notary Public Barbara A. Hanna
My Commission Expires: July 31, 2006

[Notary's Seal Here]



IN WITNESS WHEREOF, I hereto set my hand and seal at TRUMBULL, CT,

this 22 day of January, 2003

L.S.

Stuart Serkin
STUART SERKIN

State of Connecticut :

: ss. Trumbull

County of Fairfield :

Before me this 22nd day of January, 2003, personally appeared STUART SERKIN known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Barbara A. Hanna
Notary Public Barbara A. Hanna

My Commission Expires: July 31, 2006

[Notary's Seal Here]

Attorney Docket No: 09857-087001/

IN WITNESS WHEREOF, I hereto set my hand and seal at Wadsworth Stock market
this 5 day of February, 2003
Timothy W. Vincent L.S.
TIMOTHY VINCENT

State of Connecticut :

: ss.

County of Hartford :

Before me this 5 day of February, 2003, personally
appeared TIMOTHY VINCENT known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Cheryl Tomarelli
Notary Public

My Commission Expires: 7/31/05

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 20_____

L.S.

FRED STIENING

State of _____ :

: ss.

County of _____ :

Before me this _____ day of _____, 20_____, personally
appeared FRED STIENING known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Tremont, CT,

this 24 day of OCTOBER, 2002

John T. Hughes Jr. L.S.
JOHN T. HUGHES, JR.

State of Connecticut :

: ss.

County of Fairfield :

Before me this 24th day of October, 2002, personally appeared JOHN T. HUGHES, JR. known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Marie S. Collins
Notary Public

My Commission Expires: November 29, 2003

[Notary's Seal Here]

Attorney Docket No: 09857-087001/

IN WITNESS WHEREOF, I hereto set my hand and seal at NasDAQ Stock Market, Inc

this 5 day of February, 20 03

[Signature]
DANIEL F. MOORE

L.S.

State of Connecticut:

: ss.

County of Humbull/Jarfield

Before me this 6th day of February, 20 03, personally appeared DANIEL F. MOORE known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

[Signature]
Notary Public

My Commission Expires: 7/31/05

[Notary's Seal Here]