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Tab settings ⇨ ⇨ ⇨



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Simon C. Litchfield
C. James Johnson

2-24-03

2. Name and address of receiving party(ies)

Name: Caterpillar Inc.

Internal Address: Intellectual Property Dept.

Street Address: 100 N.E. Adams Street

City: Peoria State: IL Zip: 61629

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

01/31/2003
01/31/2003

Execution Date: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/323,858

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeff A. Greene

Internal Address: Caterpillar Inc.

Intellectual Property Department

100 N. E. Adams Street

Street Address: _____

City: Peoria State: IL Zip: 61629-6490

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 03-1129

Docket Number: 01-741

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeff A. Greene
Name of Person Signing

Signature

02/17/2003
Date

Total number of pages including cover sheet, attachments, and documents: 03

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

02/26/2003 GTOW11 00000058 031129 10323858

01 FC:8021 40.00 CH

PATENT
REEL: 013775 FRAME: 0935

ASSIGNMENT

WHEREAS, SIMON C. LITCHFIELD, residing at Coleorton, Leicestershire, United Kingdom, hereinafter referred to ASSIGNOR has invented together with C. James Johnson a new and useful

METHOD FOR CONTROLLING A RAISE/EXTEND FUNCTION OF A WORK MACHINE

for which ASSIGNOR has made application for Letters Patent in the United States of America, such invention being described and identified by said application identified as Application No. 10/323,858, filed December 18, 2002; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

S. Litchfield
SIMON C. LITCHFIELD

31 Jan 03
Date

IN WITNESS OF the execution hereof by SIMON C. LITCHFIELD we have hereunto set our hands.

W. B. P. A. 31/01/03
Witness (1) Date
Address: 41 PLEXTIELD RD,
RUGBY, WARWICKS,
CV22 7EN

[Signature] 31/01/03
Witness (2) Date
Address: 4 GLESC CLOSE
LEICESTER
LE2 2LA

ASSIGNMENT

WHEREAS, C. JAMES JOHNSON, residing at Torreon, Coahuila, Mexico, hereinafter referred to ASSIGNOR has invented together with Simon C. Litchfield a new and useful

METHOD FOR CONTROLLING A RAISE/EXTEND FUNCTION OF A WORK MACHINE

for which ASSIGNOR has made application for Letters Patent in the United States of America, such invention being described and identified by said application identified as Application No. 10/323,858, filed December 18, 2002; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

C. James Johnson
C. JAMES JOHNSON

31 Jan 2003
Date

IN WITNESS OF the execution hereof by C. JAMES JOHNSON we have hereunto set our hands.

Rich Brown 31/01/03
Witness (1) Date
Address: Cal de Jesus #302
Torreon Coahuila MX.

Rolando Villagomez
Witness (2) Date
Address: Zamora N° 851
Col. Amplacion la Rosita
Torreon Coahuila MX