

02-26-2003
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Docket No.: 82136

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

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To the Honorable Commissioner of Patents and Trademarks: Please receive the attached original documents or copy thereof.

1. Name of conveying party(ies):
Michael J. Sailor and Keiki-Pua S. Dancil *2-2003*

Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):

Name: The Regents of the University of California
at San Diego.
Internal Address: _____

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Date: January 5, 1998

Street Address: Technology Transfer Office, Mail Code
0910, 9500 Gilman Drive
City: San Diego State: CA. ZIP: 92093

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent numbers(s):
If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/795,533

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gerald T. Shekleton
Internal Address: Welsh & Katz, Ltd.

Street Address: 120 S. Riverside Plaza, 22nd Floor

City: Chicago State: IL ZIP: 60606

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ 40.00
 Enclosed - Any excess or insufficiency should be credited or debited to deposit account
 Authorized to be charged to deposit account

8. Deposit account number:
23-0920

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald T. Shekleton

Name of Person Signing

Gerald T. Shekleton

Signature

2/13/2003

Date

Total number of pages including cover sheet, attachments, and document: **8**

02/25/2003 MUELLER 09795533 40.00 DP

08-06/1998

FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)



SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

100786154

WIKIP 1-51-98

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

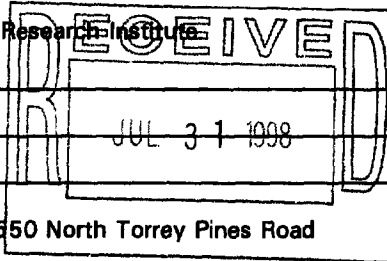
Reza M. Ghadiri, Michael J. Sailor, Kianoush Moteshareei
Shang-yi Liu and Keiki-Pua S. Dancil

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):

Name: The Scripps Research Institute

Internal Address:



Street Address: 10550 North Torrey Pines Road

City: La Jolla State: California ZIP: 92037

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: January 5 and July 16, 1998

4. Application number(s) or patent number(s): 08/961,308

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edward P. Gamson, Esq.

Internal Address: WELSH & KATZ, LTD.

Street Address: 120 South Riverside Plaza
22nd Floor

City: Chicago State: IL ZIP: 60606

6. Total number of applications and patents involved:

7. Total Fee (37 CFR 3.41) \$40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: 23-0920

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward P. Gamson, Esq.
Name of Person Signing

Signature

Date: July 28, 1998

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

08/04/1998 JMATKINS 00000142 08961308

01 FC:581

40.00 OP

PATENT
REEL: 013775 FRAME: 0981

Assignment

Serial No. 08/961,308

Filed October 30, 1997

WHEREAS:

Michael J. Sailor, residing at LaJolla, California 92037, a United States citizen and Keiki-Pua S. Dancil, 460 Camino Del Mar #16, Del Mar, California 92014, a United States citizen; (hereinafter referred to as ASSIGNORS), have invented and own a certain invention entitled:

A POROUS SEMICONDUCTOR-BASED OPTICAL INTERFEROMETRIC SENSOR

for which application for Letters Patent of the United States has been executed, and

WHEREAS:

The Regents of the University of California at San Diego, Technology Transfer Office, Mail Code 0910, 9500 Gilman Drive, San Diego, California 92093-0910 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention or improvements and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof and in and to all Letters Patent and all Convention and Treaty rights of all kinds, in all countries throughout the world for said invention for the full term or terms for which the same may be granted.

ASSIGNORS covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said rights, said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof. The attorneys of record in said application for patent are hereby authorized and requested by the undersigned to insert in this Assignment the data and serial number thereof in the places provided therefore.

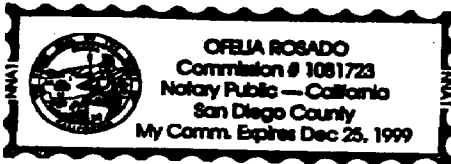
IN WITNESS WHEREOF, we have hereunto set our hands and seal this 5 day of January, 1998.

[Signature]
Michael J. Sailor

State of California)
)ss.
County of San Diego)

On January 5, 1998, **Michael J. Sailor** appeared before me, a Notary Public in and for the State and County aforesaid, and acknowledged that he freely and voluntarily subscribed and executed the foregoing assignment for the purposes and uses therein expressed.

(SEAL)



OFELIA ROSADO [Signature]
Notary Public
My Commission expires 12/25/99

[Signature]
Keiki-Pua S. Dancil

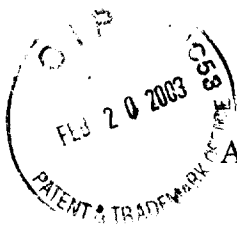
State of California)
)ss.
County of San Diego)

On January 5, 1998, **Keiki-Pua S. Dancil** appeared before me, a Notary Public in and for the State and County aforesaid, and acknowledged that he freely and voluntarily subscribed and executed the foregoing assignment for the purposes and uses therein expressed.

(SEAL)



OFELIA ROSADO [Signature]
Notary Public
My Commission expires 12/25/99



Attorney's Docket No. 82136

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent and Trademark Office
Assignment Division
Box ASSIGNMENTS
North Tower Building
Suite 10C35
Washington, DC 20231

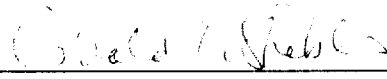
CORRECTION OF RECORDED COVER SHEET ERROR(S) 37 C.F.R. 3.34

1. Applicant has noted an error in the cover sheets for the assignment(s) document(s) previously recorded on July 31, 1998, Reel/Frame 9355/0282.
2. Attached hereto is:
a copy of the originally recorded documents.
3. Also attached hereto are corrected coversheets for recordation.
4. The error in the originally recorded cover sheets is apparent when compared with the Assignment Documents because:

The Assignment for Michael J. Sailor and Keiki-Pua S. Dancil is assigned to The Regents of the University of California.

5. The recording fee is shown in the corrected cover sheet filed herewith. Payment of this recording fee is in the manner set forth in the corrected cover sheet.

Dated: February 13, 2003



 Gerald T. Shekleton,
 Attorney for Agent of Record
 Registration No. 27,466

WELSH & KATZ, LTD.
120 South Riverside Plaza, 22nd Floor
Chicago, Illinois 60606-3913
(312) 655-1500

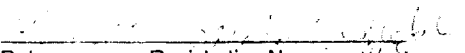
CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

Deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents/Washington DC 20231 Dated: February 12, 2003

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C 20231 on this date.



 Date Registration No. 27,466
 Attorney for Applicant