OIPE	Docket No.: 82136
FORM PTO-1595 (Modified) (Rev. 03-01) OMB No. 0651-0027 (a-p-6/31/2002) POB/REV03 FEB 2 0 2003 33	Patent and Trademark Office
Tab settings → → ▼3 TRADEWARK ▼ 102373	801
Name of conveying party(ies): Michael J. Sailor and Keiki-Pua S. Dancil 2-20-3	2. Name and address of receiving party(ies): Name: The Regents of the University of California at San Diego. Internal Address:
Additional names(s) of conveying party(ies)	
3. Nature of conveyance:	
	Street Address: Technology Transfer Office, Mail Code
☐ Security Agreement ☐ Change of Name	0910, 9500 Gilman Drive
Other	City: San Diego State: CA. ZIP: 92093
Execution Date: January 5, 1998	Additional name(s) & address(es) attached? Yes No
If this document is being filed together with a new application, A. Patent Application No.(s) 09/795,533	B. Patent No.(s)
Additional numbers attac	hed? ☐ Yes ⊠ No
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
Name: Gerald T. Shekleton	7. Total fee (37 CFR 3.41):\$ 40.00
Internal Address: Welsh & Katz, Ltd.	 ☑ Enclosed - Any excess or insufficiency should be credited or debited to deposit account ☐ Authorized to be charged to deposit account
120 C. Discovida Discovida Financia	
Street Address: 120 S. Riverside Plaza, 22nd Floor	8. Deposit account number:
City: Chicago State: IL ZIP: 60606	(Attach duplicate copy of this page if paying by deposit accounts
Name of Person Signing	ation is true and correct and any attached copy is a true copy 2/13/2003 Signature Date
Total number of pages including cover sh	eet, attachments, and document:

Mall documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

PATENT

REEL: 013775 FRAME: 0980

SHEET

Patent and Trademark Office

U.S. DEPARTMENT OF COMMERCE

100786454 To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): Reza M. Ghadiri, Michael J. Sailor, Kianoush Motesharei Name: The Scripps Research Institute Shang-yi Liu and Keiki-Pua S. Dancil Internal Address: Additional name(s) of conveying party(ies) attached? 🔲 Yes 🗵 No 3. Nature of conveyance: Street Address: 10550 North Torrey Pines Road ✓ Assignment Merger ☐ Change of Name ☐ Security Agreement ZIP: 92037 State: California City: La Jolla U Other _ Execution Date: January 5 and July 16, 1998 4. Application number(s) or patent number(s): 08/961,308 If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) A. Patent Application No.(s) Additional numbers attached? Tyes X No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: 1 concerning document should be mailed: Name: Edward P. Gamson, Esq. 7. Total Fee (37 CFR 3.41) Internal Address: WELSH & KATZ, LTD. X Enclosed Authorized to be charged to deposit account Street Address: 120 South Riverside Plaza 8. Deposit account number: 23-0920 22nd Floor ZIP: 60606 State: IL (Attach duplicate copy of this page if paying by deposit account) City: Chicago DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Date: July 28, 1998 Edward P. Gamson, Esq. Name of Person Signing Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks **Box Assignments** Washington, D.C. 20231

08/04/1998 JWATKINS 00000142 08961308

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PATENT REEL: 013775 FRAME: 0981

Assignment

Serial	No.	_ 08/961,308

Filed __October 30, 1997_

WHEREAS:

Michael J. Sailor, residing at LaJolla, California 92037, a United States citizen and Keiki-Pua S. Dancil, 460 Camino Del Mar #16, Del Mar, California 92014, a United States citizen; (hereinafter referred to as ASSIGNORS), have invented and own a certain invention entitled:

A POROUS SEMICONDUCTOR-BASED OPTICAL INTERFEROMETRIC SENSOR

for which application for Letters Patent of the United States has been executed, and

WHEREAS:

The Regents of the University of California at San Diego, Technology Transfer Office, Mail Code 0910, 9500 Gilman Drive, San Diego, California 92093-0910 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention or improvements and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof and in and to all Letters Patent and all Convention and Treaty rights of all kinds, in all countries throughout the world for said invention for the full term or terms for which the same may be granted.

ASSIGNORS covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said rights, said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof. The attorneys of record in said application for patent are hereby authorized and requested by the undersigned to insert in this Assignment the data and serial number thereof in the places provided therefore.

	·
IN WITNESS WHEREOF, we grown, 1998.	have hereunto set our hands and seal this 5 day of
	Michael J. Sailor
State of California))ss. County of Son Diego)	
County of Son Drago)	
On Jonnory 5	, 1998, Michael J. Sailor appeared before me, a Notary
Public in and for the State and County	aforesaid, and acknowledged that he freely and voluntarily
subscribed and executed the foregoing ass	ignment for the purposes and uses therein expressed.
(SEAL)	OFELIA ROSADO, Ofdin Perusti
	OFELIA ROSADO Ofdin Rosalo Notary Public My Commission expires 13/25/99
OFELIA ROSADO	My Commission expires 73/33/99
Commission # 1081723 Notary Public — Confidence Sen Place Confidence	
My Comm. Expires Dec 25, 1999	Keiki-Pua S. Dancil
State of Elefornia)	
<i>"</i>	
County of Son Diego)ss.	
J	
On Somenty 5	, 1998, Keiki-Pua S. Dancil appeared before me, a Notary
V	aforesaid, and acknowledged that he freely and voluntarily
subscribed and executed the foregoing ass	ignment for the purposes and uses therein expressed.
(SEAL)	OFELIA ROSADO Ofelia Poresto
	Notary Public My Commission expires 12/25/99
CFELIA ROSADO Commission # 1081723	125 Commonton Orphico

PATENT REEL: 013775 FRAME: 0983 Serial No. 08/961,308

Filed October 30, 1997

In Consideration of One Dollar and other good and valuable considerations, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in A POROUS SEMICONDUCTOR-BASED OPTICAL INTERFEROMETRIC SENSOR and in the application for Letters Patent of the United States therefor, and in any reissue or extension of any letters Patent that may be granted upon said application are hereby assigned by the undersigned to THE SCRIPPS RESEARCH INSTITUTE. A California Corporation, and the heirs, successors, legal representatives and assigns of THE SCRIPPS RESEARCH INSTITUTE (herein collectively called said Assignee), and the Commissioner of Patents and Trademarks is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

For said considerations it is hereby agreed by the undersigned, upon the request of said Assignee, to execute any necessary and proper oaths or affidavits relating to said application or required for the filing or prosecution of any divisional or continuing application thereof or for the filing or prosecution of any application for the reissue or extension of any Letters Patent that may be granted on said invention or improvements that said Assignee may deem necessary or expedient, and for said considerations it is further agreed by the undersigned, upon request of said Assignee, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becomes involved in Interference, to cooperate to the best of the ability of the undersigned with said Assignee in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, and further to perform, on such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in said Assignee as fully and entirely as the same would have been held and enjoyed by the undersigned if this Assignment and sale had not been made. And for said considerations the entire right, title and interest in said invention or improvements, including all priority rights, and the right to claim priority rights and the privileges and benefits thereof, including those under the International Convention, and all other Conventions, and the right to file applications for patent in said Assignee's own name for said invention or improvements in each and every country of the world are hereby assigned and granted by the undersigned to said Assignee. It is further agreed by the undersigned, upon the request of said Assignee, to execute any and all documents that shall be required of the undersigned to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee. The attorneys of record in said application for patent are hereby authorized and requested by the undersigned to insert in this Assignment the date and serial number thereof in the places provided therefor.

M. Reza Gladiri

Kianoush Motesharei

Shang-Yi Lin (Seal)

State of <u>California</u>)
) ss
County of <u>San Diego</u>)

on July 16, 1998 before me, Lori A. Linderman, Notary Public personally appeared M. Reza Ghodiri, Kianoush motosharei

personally known to me - OR - proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the rerson(s), or the entity upon behalf of which the person(s) as PATENT

REEL: 013775 FRAME: 0984

Attorney's Docket No. 82136

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent and Trademark Office Assignment Division Box ASSIGNMENTS North Tower Building Suite 10C35 Washington, DC 20231

CORRECTION OF RECORDED COVER SHEET ERROR(S) 37 C.F.R. 3.34

- 1. Applicant has noted an error in the cover sheets for the assignment(s) document(s) previously recorded on July 31, 1998, Reel/Frame 9355/0282.
- Attached hereto is:
 a copy of the originally recorded documents.
- 3. Also attached hereto are corrected coversheets for recordation.
- 4. The error in the originally recorded cover sheets is apparent when compared with the Assignment Documents because:
 - The Assignment for Michael J. Sailor and Keiki-Pua S. Dancil is assigned to The Regents of the University of California.
- 5. The recording fee is shown in the corrected cover sheet filed herewith. Payment of this recording fee is in the manner set forth in the corrected cover sheet.

Dated: February 13, 2003

Gerald T. Shekleton,

Attorney for Agent of Record Registration No. 27,466

WELSH & KATZ, LTD. 120 South Riverside Plaza, 22nd Floor Chicago, Illinois 60606-3913 (312) 655-1500

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

Depositied with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents/Washington DC 20231 <u>Dated: February 12, 2003</u> deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date.

Date

Registration No. ______Attorney for Applicable

PATENT REEL: 013775 FRAME: 0985

RECORDED: 02/20/2003