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U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

(Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2 To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof Name of conveying party(ies): Name and address of receiving party(ies) David D. Moore (01/15/2003), Ping Wei (01/16/2003), Name: Baylor College of Medicine and Steven S. Chua (01/16/2003) Internal Address: Street Address: Additional name(s) of conveying party(ies) attached? One Baylor Plaza 3. Nature of Conveyance: x |Assignment Merger Security Agreement Change of Name Houston, Texas Other Country: USA Zip: 77030 Additional name(s) & see Box 1, conveying parties Yes **Execution Date:** address(es) attached: 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the new application is: B. Patent No.(s): A. Patent Application No.(s): 10/268.822 Additional numbers attached? x No Yes 5. Name and address of party to whom correspondence 6. Total number of applications and concerning document should be mailed: patents involved: Name: Thomas D. Paul 7. Total fee (37 CFR 3.41) FULBRIGHT & JAWORSKI L.L.P. 40.00 x Enclosed Internal Address: Atty. Dkt.: HO-P02729US2 Street Address: Authorized to be charged to deposit account 1301 McKinney, Suite 5100 Authorized to be charged to credit card (Form 2038 enclosed) 8. Deposit account number: State: City: Zip: 06-2375 77010-3095 Houston (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Melissa W. Acosta February 24, 2003 Name of Person Signing Signature Total number of pages including cover sheet, attachments, and documents:

**Recordation Form Cover Sheet** 

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EU098495776US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: February 24, 2003

Signature:

(Linda A. Bourg)

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Form **PTO-1595** 

40.00 DP

**PATENT** REEL: 013787 FRAME: 0917

## **ASSIGNMENT**

WHEREAS, we **DAVID D. MOORE**, a citizen of the United States of America, residing at 4523 Birch Street, Bellaire, Texas 77401 USA; **PING WEI**, a citizen of the People's Republic of China, residing at 4238 Kerwood Ct., San Diego, California 92130 USA; and **STEVEN S. CHUA**, a citizen of Singapore, residing at 7600 Kirby Drive, #1423, Houston, Texas 77030 USA (herein called "Assignors"), are the co-inventors and owners of a certain new and useful invention and improvement(s) entitled "**Screening Systems and Methods for Identifying Modulators of Xenobiotic Metabolism**" (herein called the "Invention"), for which a PCT application was filed on September 21, 2001 and a non-provisional application for a United States patent was filed October 10, 2002, Application Nos. US01/29672 and 10/268,822, respectively; and

WHEREAS, Assignors are Professor, Scientist and Postdoctoral Associate at the time the invention was made (herein called "College Personnel") of **BAYLOR COLLEGE OF MEDICINE**, a non-profit corporation duly organized and existing under and by virtue of the laws of the State of Texas, and having a principal place of business at Texas Medical Center, One Baylor Plaza, Houston, Texas 77030 (herein called "Assignee");

WHEREAS, Assignors represent that they are the inventors of the Invention and that the Invention was made, conceived and/or reduced to practice while Assignors were serving in their positions of College Personnel of Assignee;

WHEREAS, Assignee is desirous of acquiring and Assignors are desirous of assigning to Assignee all of their rights to said Invention under the terms and provisions of the policy on Inventions and Patents adopted by the Board of Trustees of Baylor College of Medicine ("Baylor's Patent Policy");

WHEREAS, this assignment is preparatory to a contemplated further licensing by Assignee of the Invention to a corporation or other entity for commercialization of the Invention; and

WHEREAS, Assignors acknowledge that they are assigning their right as part of their obligations as College Personnel, their use of the facilities of Assignee to make the Invention and their desire to receive consideration under the Baylor Patent Policy;

NOW, THEREFORE, Assignors, for and in consideration of the benefits to be accorded including payments to be made to, or equity to be received by, Assignors under Baylor's Patent Policy and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, do hereby SELL, ASSIGN and CONVEY unto Assignee all of Assignors' rights throughout the world in and to:

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- 1. Said Invention;
- 2. PCT Application No. US01/29672 on said Invention filed on September 21, 2001;
- 3. United States of America Patent Application No. 10/268,822 on said Invention filed on October 10, 2002;
- 4. All applications for patent or like protection on said Invention that have now been or may in the future be filed by Assignors or their legal representatives, whether in the United States of America or any other country or place anywhere in the world;
- 5. All patents and like protection that have now been or may in the future be granted on said Invention to Assignors or their legal representatives, whether in the United States of America or in any other country or place anywhere in the world;
- 6. All substitutions for and divisions, continuations, renewals, reissues, extensions, and the like of said applications and patents and like grants, including without limitation, those obtained or permissible under past, present and future law or statutes;
- 7. All rights of action on account of past, present and future unauthorized use of said Invention and for infringement of said patents and like protection;
- 8. The right of Assignee to file in its name applications for patents and like protection for said Invention in any country or countries foreign to the United States; and
- 9. All international rights or priority associated with said Invention, applications, patents and like protection.
- 10. Assignors covenant that Assignors, their heirs, legal representatives, assigns, administrators, and executors will, at the expense of Assignee, its successors and assigns, execute all papers and perform such other acts as may be reasonably necessary to give Assignee, its successors and assigns, the full benefit of this Assignment.
- 11. This assignment of the Invention is intended to be part of an integrated plan to transfer by means of license or otherwise the Invention to a corporation or other entity for commercialization of the Invention pursuant to the terms of the Baylor Patent Policy. All payments made to, or equity received by, Assignors from any corporation or other entity commercializing the Invention shall be in exchange for Assignors' assignment of the Invention hereunder and pursuant to Assignors' rights under the Baylor Patent Policy.
- 12. The U.S. Commissioner of Patents and Trademarks is hereby requested to issue U.S. Letters Patent in accordance with this Assignment.
- 13. The Patent Offices throughout the world are requested to issue Letters Patent in accordance with this Assignment.

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PATENT REEL: 013787 FRAME: 0919 EXECUTED on the date indicated below opposite our signatures.

DATE: 1/15/65	M
	David D. Moore
DATE:	Ping Wei
DATE:	Steven S. Chua

FULBRIGHT & JAWORSKI L.L.P. 1301 McKinney, Suite 5100 Houston, Texas 77010-3095

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PATENT REEL: 013787 FRAME: 0920

## EXECUTED on the date indicated below opposite our signatures.

DATE:		_
		David D. Moore
DATE:	1/16/02	PSWZ
	·	Ping Wei
DATE:		
		Steven S. Chua

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EXECUTED on the date indicated below opposite our signatures.

David D. Moore
Ping Wei
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**RECORDED: 02/24/2003** 

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**PATENT**