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03-04-2003



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Docket No.: P14180-A

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → → ▼

To the Honorable Commissioner of Patents ar.

and original documents or copy thereof.

1. Name of conveying party(ies):
NEC Corporation

2-27-03

Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):

Name: **NEC Electronics Corporation**

Address: **1753 Shimonumabe**

Nakahara-ku

City: **Kawasaki** State/Prov. **Kanagawa**

Country: **Japan** ZIP: **211-8668**

Additional name(s) & address(es) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: **November 1, 2002**

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.	Filing date
09/638,892	August 16, 2000

B. Patent No.(s)

Additional numbers Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Sean M. McGinn, Esq.**

Registration No. **34,386**

Address: **McGinn & Gibb, PLLC**

8321 Old Courthouse Road

Suite 200

City: **Vienna** State/Prov.: **Virginia**

Country: **USA** ZIP: **22182-3817**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

50-0481

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sean M. McGinn, Esq.

February 27, 2003

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and

documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

03/03/2003 14:00:00 REC 00000120 09638892

40.00 DP

Assignment

Whereas, NEC Corporation, a Japanese corporation, having a place of business at 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan, hereinafter called Assignor, is the owner and assignee of record of the pending applications listed on the attached Schedule A; and

Whereas, NEC Electronics Corporation, a Japanese corporation, having a place of business at 1753 Shimonumabe, Nakahara-ku, Kawasaki, Kanagawa 211-8668, Japan, hereinafter called Assignee, desires to acquire the Assignor's entire right, title, and interest in said applications listed on the attached Schedule A;

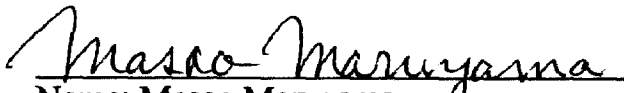
Now therefore, for valuable consideration, receipt whereof is hereby acknowledged;

Assignor hereby sells, assigns and transfers to Assignee, its successors and assigns, its entire right, title and interest including rights to recover for past infringement in the applications listed on the attached Schedule A;

IN WITNESS WHEREOF, Assignor has executed this Assignment by proper persons duly authorized.

NEC Corporation


Date: November 1, 2002


Name: Masao Maruyama
Title: Chief Manager, Planning Division,
Intellectual Asset Operations Unit

Witness:

November 1, 2002

Date:


Name: Akira Muto

Schedule A

Patent Applications

<u>Serial No.</u>	<u>File Date</u> (Year/Month/Day)
09/964743	2001/9/28
09/977293	2001/10/16
09/969999	2001/10/4
09/664717	2000/9/19
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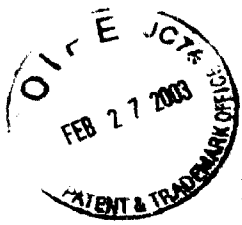
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09/813158

2000/9/27

2001/3/21



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hiroshi Hirayama

Serial No.: 09/638,892

Group Art Unit: 2175

Filed: August 16, 2000

Examiner: F. Nemati

For: PATTERN RECOGNITION APPARATUS

Commissioner of Patents
Washington, D.C. 20231

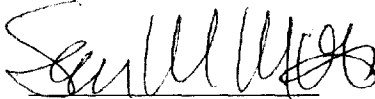
SUBMISSION OF ASSIGNMENT

Sir:

Kindly record and return to the undersigned the attached Assignment from NEC Corporation to NEC Electronics Corporation, for the above-identified patent application. A check in the amount of \$40.00 for the recordation fee, as well as an executed Statement under 37 CFR 3.73(b) are attached hereto.

Respectfully submitted,

Date: 2/27/03


Sean M. McGinn, Esq.
Registration No. 34,386

McGinn & Gibb, PLLC
Intellectual Property Law
8321 Old Courthouse Road, Suite 200
Vienna, VA 22182-3817
(703) 761-4100
Customer No. 21254

STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Hiroshi Hirayama

Application No.: 09/638,892 Filed: August 16, 2000

Entitled: PATTERN RECOGNITION APPARATUS

NEC Corporation, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- 1. the assignee of the entire right, title, and interest; or
- 2. an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011026, Frame 0757, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

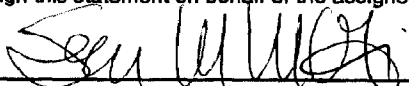
- 1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
- 2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
- 3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

February 27, 2003
Date


Signature

Sean M. McGinn, Esq., Reg. No. 34,386
Typed or printed name

Attorney of Record
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.