

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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RECORDATION FORM COVER SHEET  
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Lawrence W. Stanton; Ralph Brandenberger,  
Joseph D. Gold, John M. Irving, Ramkumar  
Mandalam; Michael Mok

## 2. Name and address of receiving party(ies)

Name: Geron Corporation

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Street Address: 230 Constitution DriveCity: Menlo Park State: California Zip: 94025Execution Date: June 5, 2003Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
10/389,431

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Michael SchiffInternal Address: Geron CorporationStreet Address: 230 Constitution DriveCity: Menlo Park State: California Zip: 940256. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

07-1139

(Attach duplicate copy of this page if paying by deposit account)

## DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*J. Michael Schiff

Name of Person Signing

  
SignatureJuly 9, 2003  
DateTotal number of pages including cover sheet, attachments, and documents: 8Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

CH \$40.00 071139 10389431

700036124

PATENT  
REEL: 013794 FRAME: 0403

PATENT  
Docket No.: 135/002

ASSIGNMENT

**COPY**

Whereas we, Lawrence W. Stanton, Ralph Brandenberger, Joseph D. Gold, John M. Irving, Ramkumar Mandalam, and Michael Mok

executed employment agreements with Geron Corporation (hereinafter called Geron), a Delaware Corporation having its principal place of business at 230 Constitution Drive, Menlo Park, CA 94025, whereby we have agreed to assign to Geron all inventions (except as otherwise limited by law) which relate to Geron business and which were first conceived or actually reduced to practice during our employment by Geron;

Now, therefor, pursuant to the terms of said employment agreements and for good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto Geron, its successors and assigns, the entire right, title and interest, including the right of priority in, to and under an application for Letters Patent of the United States entitled:

A MARKER SYSTEM FOR PREPARING AND CHARACTERIZING HIGH-QUALITY  
HUMAN EMBRYONIC STEM CELLS

[X] Serial No. 10/389,431 filed on 13 March 2003 and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, we agree promptly upon request of Geron, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Geron, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me in lending such cooperation and assistance are paid by Geron.

In witness whereof, we hereunto set our hands and seal:

Inventor's  
Signature:

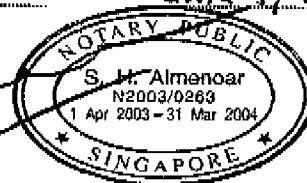
  
Lawrence W. Stanton

Date:

June 5, 2003

Inventor's  
Signature:

  
Ralph Brandenberger



Date:

PATENT

Docket No.: 135/002

Inventor's  
Signature:

Joseph D. Gold

Date:

Inventor's  
Signature:

John M. Irving

Date:

Inventor's  
Signature:

Ramkumar Mandalam

Date:

Inventor's  
Signature:

Michael Mok

Date:

**COPY**PATENT  
Docket No.: 135/002**ASSIGNMENT**

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And for the above consideration, we agree promptly upon request of Geron, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Geron, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me in lending such cooperation and assistance are paid by Geron.

In witness whereof, we hereunto set our hands and seal:

Inventor's  
Signature:

Date:

Lawrence W. Stanton

Inventor's  
Signature:

Date:

Ralph Brandenberger

6/12/03

PATENT  
Docket No.: 135/002

Inventor's  
Signature:

Joseph D. Gold  
Joseph D. Gold

Date:

6/11/03

Inventor's  
Signature:

John M. Irving  
John M. Irving

Date:

6-20-03

Inventor's  
Signature:

M. K. Lakshmi  
Ramkumar Mandalam

Date:

6/16/03

Inventor's  
Signature:

Michael Mok  
Michael Mok

Date:

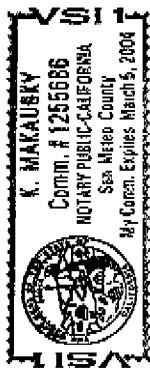
6/11/03

State of California

} ss.

County of San Mateo

Before me this 12<sup>th</sup> day of June 2003 personally appeared Ralph Brandenberger personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



*K. Makasky*  
Notary Public

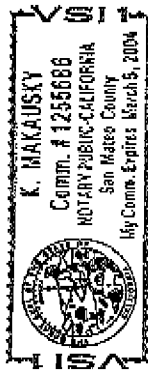
Notary Stamp/Seal

State of California

} ss.

County of San Mateo

Before me this 11<sup>th</sup> day of June 2003 personally appeared Joseph D. Gold personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



*K. Makasky*  
Notary Public

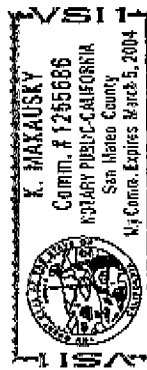
Notary Stamp/Seal

State of California

} ss.

County of San Mateo

Before me this 16<sup>th</sup> day of June 2003 personally appeared Ramkumar Mandalam personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



*K. Makasky*  
Notary Public

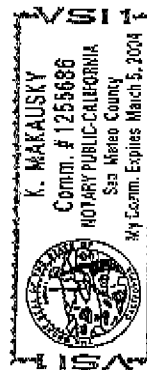
Notary Stamp/Seal

State of California

} ss.

County of San Mateo

Before me this 11<sup>th</sup> day of June 2003 personally appeared Michael Mok personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the instrument.



*K. Makasky*  
Notary Public

Notary Stamp/Seal

PATENT  
Docket No.: 135/002

State of California  
County of San Mateo } ss.

Before me this 20th day of June 2003 personally appeared  
John M. Irving personally known to me (or proved to me on the basis of satisfactory evidence)  
to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed  
the same in his/her authorized capacity, and that by his/her signature on the instrument the person executed the  
instrument.



Notary Stamp/Seal

K. Makusky  
Notary Public