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Form PTO-1595
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

RECORDATION F

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ENT OF COMMERCE
and Trademark Office

Tab settings ⇨ ⇨ ⇨

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

International Fuel Cells, LLC

2. Name and address of receiving party(ies)

Name: UTC Fuel Cells, LLC

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: 195 Governor's Highway

City: South Windsor State: CT Zip: 06074

Execution Date: November 26, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/948,353 filed 9/7/01

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert H. Kelly

Internal Address: UTC Fuel Cells

Intellectual Property Department
MS 601-03

Street Address: 195 Governor's Highway

City: South Windsor State: CT Zip: 06074

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

50-1307 (Order No. C-2600)

(Attach duplicate copy of this page if paying by deposit account)

03/04/2003 DBYRNE 00000160 501307 09948353

DO NOT USE THIS SPACE

01 FC:8019. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

M. P. Williams

Name of Person Signing

Signature

2/24/03

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 013799 FRAME: 0102

CERTIFICATE OF AMENDMENT

OF

INTERNATIONAL FUEL CELLS, LLC

1. The name of the limited liability company is International Fuel Cells, LLC.
2. The Certificate of Formation of the limited liability company is hereby amended as follows:

(set forth amendment(s))

The name of the limited liability company shall be changed to UTC Fuel Cells, LLC.

Note: (Use the following paragraph if this Certificate is to be effective at a date or time (which must be a date or time certain) later than filing)

3. This Certificate of Amendment shall be effective on December 1, 2001.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Amendment of International Fuel Cells, LLC this 26th day of November 2001.



William T. Miller
President

State of Delaware
Office of the Secretary of State PAGE 1

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "INTERNATIONAL FUEL CELLS, LLC", CHANGING ITS NAME FROM "INTERNATIONAL FUEL CELLS, LLC" TO "UTC FUEL CELLS, LLC", FILED IN THIS OFFICE ON THE TWENTY-SEVENTH DAY OF NOVEMBER, A.D. 2001, AT 2 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE FIRST DAY OF DECEMBER, A.D. 2001.



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

2888666 8100

AUTHENTICATION: 1465199

010599160

DATE: 11-27-01

RECORDED: 03/03/2003

PATENT
REEL: 013799 FRAME: 0104