Docket No.: 99.005-D1 FORM PTO-1595 (Modified) 03-04-2003 R SHEET U.S. DEPARTMENT OF COMMERCE (Rev. 03-01) OMB No. 0651-0027 (exp.5/31/2002) Patent and Trademark Office LY P08/REV03 Tab settings → → 102380113....use record the attached original documents or copy thereof. To the Honorable Commissioner 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): Irwin Braude 2.27.03 Name: CellPath Internal Address: Additional names(s) of conveying party(ies) ☐ Yes ☒ No 3. Nature of conveyance: Street Address: 130 Fifth Avenue North Merger ☐ Security Agreement ☐ Change of Name ☐ Other City: Seattle State: WA ZIP: 98109 Execution Date: February 21, 2003 4. Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 09/941,876 8/29/01 Additional numbers attached? ☐ Yes ☑ No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: David S. Harper 7. Total fee (37 CFR 3.41):....\$ 40.00 Internal Address: MCDONNELL BOEHNEN HULBERT ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account & BERGHOFF Authorized to be charged to deposit account Suite #3200 Street Address: 300 South Wacker Drive 8. Deposit account number: 03/03/2003 GTDN11 00000136 132490 09941876 13-2490 01 FC:8021 40.00 CH (Attach duplicate copy of this page if paying by deposit account) City: Chicago ZIP: 60606 State: IL DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and confect and any attached copy is a true copy of the original document. David S. Harper Name of Person Signing Total number of pages including cover sheet, attachments, and document:

#### **ASSIGNMENT**

Case No.: 99,005-D1 Serial No.: 09/941,876

Inventor: Irwin Braude

Date of Execution

of Application: Filing Date: August 29, 2001

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to:

### CellPath, Inc., a corporation of the state of Delaware

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

### METHODS FOR ANTI-TUMOR THERAPY

and identified as:

# Case No. 99,005-D1 U.S. Patent Application Serial No. 09/941,876

in the offices of McDonnell Boehnen Hulbert & Berghoff and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrants himself to be the owner of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

PATENT REEL: 013799 FRAME: 0818

For said considerations the undersigned hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorizes said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this	day of	2003.
	_	
		Irwin Braude
State of		
County of		
The foregoing instrument was a	icknowledged	before me this day of
, 2003	3 by	
		·
		NOTARY PUBLIC

# **ALL-FURPOSE ACKNOWLEDGEMENT**

$\infty$	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
State of California	SS.
County of <u>San Francisco</u>	_ }
On February 21, 2003 before me, personally appeared Irwin Braude	Melanie A. Harris
personally appeared Trwin Braude	(NOTARY)
personany appeared	SIGNER(S)
personally known to me - OR -  MELANIE A. HARRIS Comm. # 1339710 NOTARY PUBLIC - CALIFORNIA Alameda County My Comm. Expires Jan. 14, 2006	proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
OPTIONAL I	WITNESS my hand and official seal.  With A House A House NOTARY'S SIGNATURE
	, it could prevent fraudulent attachment of this acknowl-
edgement to an unauthorized document.	
CAPACITY CLAIMED BY SIGNER (PRINCIPAL)	DESCRIPTION OF ATTACHED DOCUMENT
☐ INDIVIDUAL ☐ CORPORATE OFFICER	Assignment
TITLE(\$)	TITLE OR TYPE OF DOCUMENT
PARTNER(S)	(printed both sides)
ATTORNEY-IN-FACT	NUMBER OF PAGES
TRUSTEE(S)	filius Date: 8/29/01
GUARDIAN/CONSERVATOR	DATE OF DOCUMENT
OTHER:	
	OTHER
SIGNER IS REPRESENTING: NAME OF PERSON(S) OR ENTITY(IES)	OTHER  RIGHT THUMBPRINT  OF  SIGNER  OTHER
	Io o

APA 5/99

**RECORDED: 02/27/2003** 

VALLEY-SIERRA, 800-362-3369

PATENT REEL: 013799 FRAME: 0820