

RECORDATION  
**PATENT**

U.S. DEPARTMENT OF COMMERCE  
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Ticket No.: 1508/2F544W00

03-05-2003



To the Honorable Commissioner of Patents and Trademarks

its or copy thereof.

1. Name of conveying party(ies): 2-28-03  
Gregory COLLINS; Edward SPENCE; James SUMMERTON  
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. 102380558

party(ies):

Name: **NEPHROS, INC.**

Internal Address:

Street Address: **3960 Broadway**

City: **New York** State: **NY** Zip: **10032**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: February 26, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date is:

**EXPRESS MAIL CERTIFICATE**

Date 2/28/03 Label No. 294034037-05

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

D. Davis  
Name (Print)

[Signature]  
Signature

A. Patent Application No.(s)

PCT/US01/50719, filed October 19, 2001

B. Patent No.

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address: Edward J. Ellis

Street Address: Post Office Box 5257

City: New York State: New York Zip: 10150-5257

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

(Attach duplicate copy of this page if paying by deposit account)

03/04/2003 6TON11 00000090 PCT/US01/50719

01 FC:0021 40.00 OP

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Edward J. Ellis (40,389)  
Name of Person Signing

[Signature]  
Signature

February 28, 2003  
Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**  
**REEL: 013800 FRAME: 0124**

**ASSIGNMENT BY INVENTORS - WORLDWIDE**

**THIS ASSIGNMENT**, made this 25 day of February, 2003, by:

**Gregory Collins** a citizen of the United States, residing at 113 Second Avenue, Monroe, New York 10950;

**Edward Spence** a citizen of the United States, residing at 4421 Edson Avenue, Bronx, New York 10466; and

**James Summerton** a citizen of the United States, residing at 88 Ralph Avenue, Hillsdale, New Jersey 07642 (hereinafter referred to as Assignors), respectively;

**WHEREAS**, Assignors have invented certain new and useful improvements in METHOD AND APPARATUS FOR GENERATING A STERILE INFUSION FLUID, set forth in a Patent application for which an International Application was filed on October 19, 2001, PCT/US01/50719, designating the United States; and

**WHEREAS**, NEPHROS, INC., a corporation organized under and pursuant to the laws of Delaware having its principal place of business at 3960 Broadway, New York, New York 10032 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States and all foreign countries which may be granted therefore, and in and to any Letters Patent of the United States and all foreign countries to be obtained therefore and thereon, including priority rights.

**NOW, THEREFORE**, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and

continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

**AND** for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

**AND** Assignors hereby request the U.S. Director of Patents and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

**AND** Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to

comply with the rules of the United States Patent and Trademark Office for recordation of this document:

DARBY & DARBY P.C.

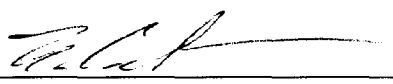
Paul F. Fehlner	35,135	Joseph B. Lerch	26,936	Peter C. Schechter	31,662
Paul Fields	20,298	Bert J. Lewen	19,407	Henry Sternberg	22,408
Melvin C. Garner	26,272	Scott G. Lindvall	40,325	Robert C. Sullivan	30,499
Adda C. Gogoris	29,714	S. Peter Ludwig	25,351	Michael J. Sweedler	19,937
Marc S. Gross	19,614	Joseph R. Robinson	33,448	Pierre R. Yanney	35,418
David Leason	36,195	Robert Schaffer	31,194	Edward J. Ellis	40,389

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

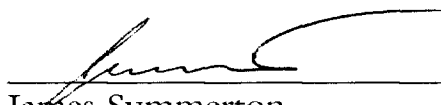
Date: 26 FEB 03

  
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Gregory Collins

Date: 26 FEB 03

  
\_\_\_\_\_  
Edward Spence

Date: Feb 24, 03

  
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James Summerton