

03-06-2003



SHEET

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Commissioner for Patents: Please record the attached original document(s) or copy(ies).

1. Name of conveying party(ies): Yek Kwong Eng, Michael Edleson, Daniel F. Moore, John T. Hughes, Jr. and Christopher Folkemer Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): The Nasdaq Stock Market, Inc. 1801 K Street N.W. Washington, DC 20006
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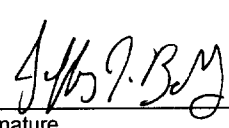
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: Eng - 02/13/2003; Edleson - 02/13/2003; Moore - 02/13/2003; Hughes - 02/13/2003; Folkemer - 02/24/2003	<p style="text-align: center; font-size: 2em;">3-5-07</p> Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
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4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s): 10/267,018 B: Patent No(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
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5. Name/address of party to whom correspondence concerning document should be mailed: DENIS G. MALONEY Fish & Richardson P.C. 225 Franklin Street Boston, Massachusetts 02110-2804	6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
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DO NOT USE THIS SPACE

9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Jeffrey J. Barclay Reg. No. 48,950 Name of Person Signing	 Signature	Feb. 28, 2003 Date
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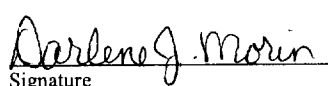
03/06/2003 LMUELLER 00000068 10267018

01 FC:0021 40.00 DP Total number of pages including coversheet, attachments and document: 7

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

February 28, 2003 Date of Deposit	 Signature	Darlene J. Morin Typed Name of Person Signing Certificate
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PATENT
REEL: 013802 FRAME: 0023

ASSIGNMENT

For valuable consideration, we, YEK KWONG ENG of 87 Union Ave., New Providence, NJ 07974, MICHAEL EDLESON of 8061 Prichards Court, Dunn Loring, VA 22027, DANIEL F. MOORE of 58 Sea Street, New Haven, CT 06519, JOHN T. HUGHES, JR. of 125 Warner Hill Road, Unit 44, Stratford, CT 06614, and CHRISTOPHER FOLKEMER of 5651 Thelo Garth, Columbia, MD 21045, hereby assign to: The Nasdaq Stock Market, Inc., a corporation of Delaware having a place of business at: 1801 K Street N.W., Washington, DC 20006; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled ORDER PRICE THRESHOLD FOR AUTOMATED MARKET SYSTEM, filed October 8, 2002, and assigned U.S. Serial Number 10/267,018, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at ONE LIBERTY PLAZA

this 13th day of February, 2003

YEK KWONG ENG L.S.

State of NY :

: ss.

County of NY :

Before me this 13 day of February, 2006, personally appeared YEK KWONG ENG known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Lillian Calcatera
Notary Public

My Commission Expires: 9/10/06

[Notary's Seal Here]

LILLIAN CALCATERRA
NOTARY PUBLIC, State of New York
No. 01CA4971871
Qualified in Kings County
Cert. Filed in New York County
Commission Expires Sept. 10, 2006

IN WITNESS WHEREOF, I hereto set my hand and seal at 1 LIBERTY PLAZA, NYC

this 13th day of Feb, 2007

MICHAEL EDLESON
MICHAEL EDLESON

L.S.

State of NY :

: ss.

County of NY :

Before me this 12 day of February, 2006, personally appeared MICHAEL EDLESON known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Lillian Calcatera
Notary Public

My Commission Expires:

[Notary's Seal Here]

LILLIAN CALCATERA
NOTARY PUBLIC, State of New York
No. 01CA4971871
Qualified in Kings County
Cert. Filed in New York County
Commission Expires Sept. 10, 2006

Attorney Docket No: 09857-130001/

IN WITNESS WHEREOF, I hereto set my hand and seal at Troyhill CT

this 13 day of February, 20 03

Daniel F. Moore
DANIEL F. MOORE

L.S.

State of Connecticut :

: ss.

County of Fairfield :

Before me this 13 day of February, 20 03, personally appeared DANIEL F. MOORE known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Cheryl Tomarelli
Notary Public

My Commission Expires: 7/31/05

[Notary's Seal Here]

Attorney Docket No: 09857-130001/

IN WITNESS WHEREOF, I hereto set my hand and seal at

Trumbull, CT

this 13th day of FEBRUARY, 2003

John T. Hughes Jr
JOHN T. HUGHES, JR.

L.S.

State of Connecticut :

: ss.

County of Saybrook :

Before me this 13 day of February, 2003, personally appeared JOHN T. HUGHES, JR. known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Cheryl Tomarelli

Notary Public

My Commission Expires: 7/31/05

[Notary's Seal Here]

Attorney Docket No: 09857-130001/

IN WITNESS WHEREOF, I hereto set my hand and seal at Suntrust Bank,

this 24th day of Feb, 2003

Chris O. Folker
CHRISTOPHER FOLKEMER

L.S.

State of Maryland :

: ss.

County of Montgomery

Before me this 24th day of February, 2003, personally appeared CHRISTOPHER FOLKEMER known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Jessica Zhu
Notary Public

My Commission Expires:

JESSICA ZHU
NOTARY PUBLIC STATE OF MARYLAND
My Commission Expires September 16, 2006

[Notary's Seal Here]

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