

03-07-2003

Atty. Dkt. No. 047182-0108

FORM PTO-1595 (modified)

(Rev 6-93)

RECO



EET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

102383005

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copies thereof.

<p>1. Name of conveying party(ies):</p> <p>Pulickel M. Ajayan - 2/21/03 Bingqing Wei - 2/24/03</p> <p>Additional conveying party(ies) NO</p>	<p>2. Name and address of receiving party(ies):</p> <p>Rensselaer Polytechnic Institute 110 8th Street Troy, NY 12180-3590</p>
---	---

JC971 U.S. PTO
10/370519
02/24/03

3. Nature of conveyance:
ASSIGNMENT

Execution Date:
SEE ABOVE

2-24-03

Additional name(s) & address(es) attached? **NO**

4. Application number(s) or patent number(s):

If this is being filed together with a new application, the execution date of the application is: **February 21 & 24, 2003**

<p>A. Patent Application Number(s):</p>	<p>B. Patent Number(s):</p> <p>101370519</p>
---	---

Additional numbers attached? **NO**

5. Name and address of party to whom correspondence concerning document should be mailed:

Stephen B. Maebius
FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5143

6. Total number of applications/patents involved: **1**

7. Total fee (37 C.F.R. § 3.41): **\$40.00**

Check Enclosed

Charge to deposit account

8. Deposit account number: **19-0741**

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.

<p><i>for</i> Stephen B. Maebius</p>	<p><i>for</i> </p>	<p>February 24, 2003</p>
<p>Name of person signing</p>	<p>Signature</p>	<p>Date</p>

Total number of pages including cover sheet, attachments, and document: **4**

02/26/2003 RHARIS1 00000013 10370519

04 FC:8021

40.00 OP

002.973380.1

PATENT
REEL: 013806 FRAME: 0073

ASSIGNMENT AND AGREEMENT

WHEREAS, Pulickel M. Ajayan of Clifton Park, New York and Bingqing Wei of Troy, New York (hereinafter referred to singly and collectively as "ASSIGNOR") have invented a certain invention entitled DIRECT SYNTHESIS OF LONG SINGLE-WALLED CARBON NANOTUBE STRANDS (Atty. Dkt. No. 047182-0108) for which an application for United States Letters Patent was filed concurrently herewith as Application No. Unassigned; and

WHEREAS, Rensselaer Polytechnic Institute, a university duly organized and existing under the laws of the State of New York, and having its principal place of business at 110 8th Street, Troy, NY 12180-3590 (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest therein;

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto ASSIGNEE, its successors and assigns, the full and exclusive right, title, and interest in and to (a) the above-identified invention or inventions and all improvements and modifications thereof, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for the above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

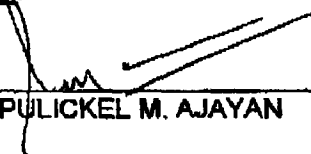
ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representatives or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of Foley & Lardner the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of Foley & Lardner do not personally represent ASSIGNOR or ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Executed this 21 day of February, 2003.

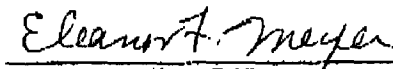


PULICKEL M. AJAYAN

State of New York)
County of Rensselaer) ss.

On this 21 day of February, 2003, before me, a notary public in and for said county, appeared PULICKEL M. AJAYAN, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and he/she acknowledged that he/she signed, sealed, and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.


ELEANOR F. MEYER
Notary Public, State of New York
Residing in Albany County
Commission Expires Mar. 30, 192003
(Seal)



Notary Public
My Commission Expires: March 30, 2003

(Seal)

Executed this 24 day of Feb., 2003



BINGQING WEI

State of _____)
County of _____) ss.

On this _____ day of _____, 20____, before me, a notary public in and for said county, appeared BINGQING WEI, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and he/she acknowledged that he/she signed, sealed, and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

Notary Public

My Commission Expires: _____

(Seal)