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M&G- 14316.1US01 & 14316.1USC1



BT

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

102386676

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ADC Telecommunications, Inc.

2. Name and address of receiving party(ies):

Alcon Technologies, Inc.
1157 Valley Park Drive, Suite 130
Shakopee, Minnesota 55379

3.10.03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: December 6, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/021,979

B. Patent No.(s)

6,370,294 B1

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven C. Bruess
Address: Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903



6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41): \$80.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven C. Bruess

Name of Person Signing

Steven C. Bruess
Signature

March 3, 2003
Date

Total number of pages including cover sheet, attachments, and document: 4

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Mail documents to be recorded with required cover sheet information to:

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PATENT
REEL: 013813 FRAME: 0654

ASSIGNMENT OF PATENTS AND PATENT APPLICATIONS

This Assignment of Patents and Patent Applications (hereinafter referred to as "Assignment") is effective as of December 6, 2002, by and between ADC Telecommunications, Inc., a Minnesota corporation, ("Assignor"), and Alcon Technologies, Inc., a Minnesota corporation, ("Assignee").

WHEREAS, Assignor was the owner of the United States and foreign patents and patent applications listed on Schedule A ("Patents");

WHEREAS, Assignor and Assignee are parties to that certain Asset Purchase Agreement dated December 6, 2002 (the "Agreement"), whereby Assignee purchased certain assets of the Assignor, including the Patents.

NOW, THEREFORE, In consideration of the foregoing recitals, and other good and valuable consideration described herein and in the Agreement, the parties hereto hereby agree as follows:

1. Assignment. Pursuant to the Agreement, Assignor has assigned, transferred and conveyed to Assignee all of Assignor's right, title, and interest throughout the world in and to said Patents, the underlying inventions described therein, and any and all Letters Patent whether U.S. or foreign that are or may be granted therefrom including without limitation any extensions, continuations, continuations-in-part, divisions, reissues and renewals thereof, or other equivalents thereof (collectively, the "Assigned Patents and Patent Applications"), and further, all rights and privileges pertaining to the Assigned Patents and Patent Applications, including without limitation, the right, if any, to sue or bring other actions for past, present and future infringement thereof.

2. Further Assignment. Assignor has further assigned to and empowered Assignee, its successors, assigns or nominees, all rights to make applications for patents or other forms of protection for said inventions and to prosecute such applications and said Patent Applications, as well as to claim and receive the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and the right to invoke and claim such right of priority without further written or oral authorization.

3. Authorization of Patent and Trademark Office to Record. Assignor further agrees that this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose regarding the subject matter hereof, as well as constituting proof of the right of Assignee or its successors, assigns or nominees to apply for patent or other proper protection for said inventions, and to claim the aforesaid benefits of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

IN WITNESS WHEREOF, the Assignor has executed this Assignment
effective the date first set forth above.

ADC Telecommunications, Inc.

By: 

David L. Donlin

Its: Assistant Secretary

Schedule A

Country	Title	Serial No. Filing Date	Patent No. Issue Date
United States	Fiber Optic Circuit and Module With Switch	09/344,224 25 June 1999	6,370,294 09 April 2002
United States	Fiber Optic Circuit and Module With Switch	10/021,979 17 Dec 2001	Pending
Taiwan	Fiber Optic Circuit and Module With Switch	89112418 23 June 2000	Pending
PCT	Fiber Optic Circuit and Module With Switch	US00/17113 22 June 2000	Pending
Europe	Fiber Optic Circuit and Module With Switch	00 941 619.9 22 June 2000	Pending
Canada	Fiber Optic Circuit and Module With Switch	2,378,205 22 June 2000	Pending

4275.other.patent_assignment

RECORDED: 03/10/2003

PATENT
REEL: 013813 FRAME: 0657