

FORM PTO 1585

RECOF

03-11-2003

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102386557

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies): Ronald D. Adams, Roy H. Sullivan and George A. Nunez

Additional name(s) of conveying parties attached? ☐ Yes x No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution dates: 4/30/01, 4/17/01 and 4/19/01

2. Name and address of receiving party(ies)

Name: SCIMED LIFE SYSTEMS, INC.

Street Address:

ONE SCIMED PLACE  
MAPLE GROVE, MINNESOTA 55311-1566

4. Application numbers or patent numbers:

this document is being filed together with a new application, the execution date of the application is:

A. Patent Applications: To be assigned

103 714 35

B. Patent No.(s)

Additional Numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patrick J. Fay, Esq.

Internal Address: Fay Kaplun &amp; Marcin, LLP

Street Address: 150 Broadway, STE 702

City: New York State: New York ZIP: 10038

6. Total number of applications and patents involved: 1

TITLE: INTEGRATED SURGICAL STAPLE  
RETAINER FOR A FULL THICKNESS  
RESECTIONING DEVICE

7. Total fee (37 C.F.R. 3.41) ..... \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 50-1492

## DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patrick J. Fay, (Reg. No. 35,508)

Name of Person Signing

Signature

2/21/03

Date

Total Number of pages including cover sheet, attachments, and document: 11

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

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 Box Assignments  
 P.O. Box 2327  
 Arlington, VA 22202

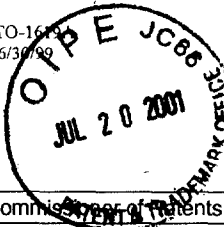
EXPRESS MAIL NO.: EV 151 728 538 US

03/07/2003 8TON11 00000110 501492 10371435

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PATENT  
 REEL: 013815 FRAME: 0900



REI

08-07-2001



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U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

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U.S. PTO  
10/371435  
02/21/03

TO: The Commissioner of Patents and Trademarks: Please record this document(s) or copy(ies).

**Submission Type**

- ☒ New  
☐ Resubmission (Non-Recordation)  
☐ Document ID #   
☐ Correction of PTO Error  
☐ Reel #  Frame #   
☐ Corrective Document  
☐ Reel #  Frame #

**Conveyance Type**

- ☒ Assignment  
☐ License  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other

**U.S. Government**

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached

Name (line 1) Ronald D. Adams

Execution Date  
Month Day Year  
04 30 2001

Name (line 2)

**Second Party**

Name (line 1) Roy H. Sullivan

Execution Date  
Month Day Year  
04 17 2001

Name (line 2)

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) SCIMED LIFE SYSTEMS, INC.

Name (line 2) An Organization of the State of Minnesota

Address (line 1) One SCIMED Place

Address (line 2)

Address (line 3) Maple Grove

Minnesota

55311-1566

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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01 FC:581  
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PATENT  
REEL: 013815 FRAME: 0901

**Correspondent Name and Address**

Area Code and Telephone Number

(202) 220-6104

Name Charles R. Brainard

Address (line 1) Kenyon & Kenyon

Address (line 2) One Broadway

Address (line 3)

Address (line 4) New York, NY 1004-1050

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments

# -4-

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached.

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

09/835,480

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application  
Number as not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Enclosed ☐

Deposit Account ☒

**Deposit Account**

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 11-0600

Authorization to charge additional fees:

Yes ☒

No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Douglas Ringel (Reg. No. 34,416)

**Name of Person Signing**

  
**Signature**

July 20, 2001

**Date**

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

31036 U.S. PTO  
10/371435  
25/31/03

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) George Nunez

Execution Date  
Month Day Year  
04 19 2001

Name (line 2)

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**



## ASSIGNMENT

WHEREAS, We,

- 1) Ronald D. Adams;
- 2) Roy H. Sullivan; and
- 3) George Nunez

residing at

- 1) 18 Hillside Drive, Holliston, MA;
- 2) 23 Meaghan Way, Millville, MA; and
- 3) 10820 S.W. 120<sup>th</sup> Street, Miami, Fl

(hereinafter also referred to as "the Assignors"); have invented new and useful improvements in **INTEGRATED SURGICAL STAPLE RETAINER FOR FULL THICKNESS RESECTIONING DEVICE**, for which we have made application for Letters Patent of the United States, said application being filed in the United States Patent and Trademark Office herewith; and

WHEREAS, SCIMED LIFE SYSTEMS, INC., organized and existing under and by virtue of the laws of the State of Minnesota, having an office at One SCIMED Place, Maple Grove, Minnesota 55311-1566, (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title and interest in and to the application and invention therein described and claimed and any Letters Patent that may be issued upon the application or for the improvements therein contained.

NOW, THEREFORE, in consideration of One U.S. Dollar (U.S. \$1.00) and other valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.

4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance.

5. Bind my heirs, legal representatives, and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my control or in the control of my heirs, legal representatives, or assigns which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this \_\_\_\_ day of 4/30/01, 2001.

Ronald D. Adams  
Ronald D. Adams

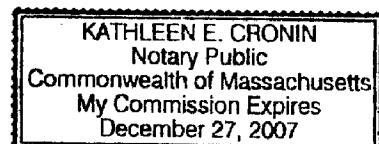
United States of America )

State/Commonwealth of Massachusetts ) ss.:

County of Middlesex )

On this 30<sup>th</sup> day of April 01, before me personally came Ronald Adams, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.

Kathleen E. Cronin  
Notary Public



IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 17<sup>th</sup>  
day of April, 2001.

R. Sullivan

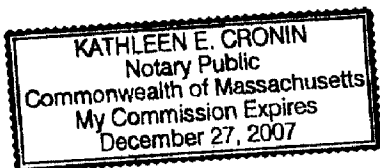
Roy H. Sullivan

United States of America )

State/Commonwealth of Massachusetts ) ss.:

County of Middlesex )

On this 17<sup>th</sup> day of April, before me personally came Roy Sullivan, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.



Kathleen E. Cronin

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_  
day of \_\_\_\_\_, 2001.

\_\_\_\_\_  
George Nunez

United States of America )

State/Commonwealth of \_\_\_\_\_ ) ss.:

County of \_\_\_\_\_ )

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_  
day of \_\_\_\_\_, 2001.

\_\_\_\_\_  
Roy H. Sullivan

United States of America )  
State/Commonwealth of \_\_\_\_\_ ) ss.:  
County of \_\_\_\_\_ )

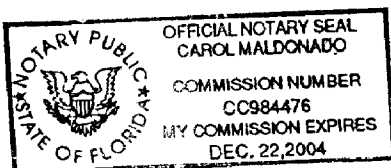
On this \_\_\_\_ day of \_\_\_\_\_, before me personally came \_\_\_\_\_, to me  
known to be the individual described in and who executed the foregoing instrument, and  
acknowledged execution of same.

\_\_\_\_\_  
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 19<sup>th</sup>  
day of April, 2001.

\_\_\_\_\_  
George Nunez

United States of America )  
State/Commonwealth of Florida ) ss.:  
County of Dade )





On this \_\_\_\_ day of \_\_\_\_\_, before me personally came \_\_\_\_\_, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.

\_\_\_\_\_  
Notary Public