

03-11-2003

RECORDATION FORM COVER SHEET

PATENTS ONLY

Our Ref.: 117-406



102386118

Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Robert S. Coffin</p> <p>Additional name/s of conveying party/ies attached? <input type="checkbox"/></p> <p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Assignment <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p> <p>Execution Date: August 8, 2002</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: BioVex Limited Internal Address: Street Address: The Windeyer Institute 46 Cleveland Street City: London W1P 6DB State/Country: UNITED KINGDOM Zip:</p> <p>Additional name/s & address/es attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is:

<p>A. Patent Application No(s). (1) 10/181,692 (2) (3)</p>	<p>B. Patent No(s). (1) (2) (3)</p>
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Additional numbers attached ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: Gary R. Tanigawa Internal Address: Street Address: Nixon & Vanderhye P.C. 1100 North Glebe Road 8th Floor City: Arlington State: VA Zip: 22201</p>	<p>6. Total number of applications & patents involved: 1</p> <p>7. Total fee (37 CFR 3.41) \$ 40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account #14-1140</p> <p>8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.</p>
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DO NOT USE THIS SPACE

9. Statements and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<p>Gary R. Tanigawa Name of Person Signing Reg. No. 43,180</p>	<p> Signature</p>	<p>November 12, 2002 Date</p>
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Total number of pages including original cover sheet, attachments, and document: [2]

11/14/2002 GFREY1 00000070 141140 10181692
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ASSIGNMENT OF PATENT APPLICATION

(Inventors)

- (1) **Robert Stuart COFFIN a citizen of the United Kingdom of: The Windeyer Institute The Windeyer Building
46 Cleveland Street London W1P 6DB the United Kingdom**

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(Assignee) (5)

- (1) **BioVex Limited a British body corporate**

(Address) (6) of

- (1) **The Windeyer Institute, 46 Cleveland Street, London W1P 6DB, United Kingdom.**

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, and for the country of

(Other Countries)

- (7) _____ in the invention, and all applications for patent and any Letters Patent which may granted therefor, known as

(Title)

- (8) **VIRUS STRAINS FOR THE ONCOLYTIC TREATMENT OF CANCER** (Case No)

for which the undersigned has (have) executed on even date herewith an application for patent in the United States of America or, if not on even date, then has executed

- (9) on _____ or has already filed in

- (10) U.S. appln Serial Number 10/181,692 filed on July 19, 2002

- (11) The undersigned acknowledges an obligation of assignment of this invention to said assignee at the time the invention was made

1) The undersigned agree(s) to execute all papers and documents necessary in connection with the application or any interference which may be declared and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

2) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of valid United States patent to the Assignee.

3) The undersigned hereby authorize(s) and request(s) the Communication of Patents to issue any and all Letters patent of the United States resulting from said application or any division or divisions or continuing thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

4) The undersigned hereby grant(s) the firm of **Nixon and Vanderhye P.C** the power to insert on this assignment any further identification which may be necessary to desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Date 8/2/02 Signature of Inventor [Signature] (1)

Witnessed by

Witness [Signature] Date 8/8/02

Witness [Signature] Date 8/8/02

Applicant or Patentee: BioVex Limited

Serial or Patent No.

Attorney's
Docket No.:Filed or Issued: Filed - 22 January 2001for: VIRUS STRAINS FOR THE ONCOLYTIC TREATMENT OF CANCERVERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

☐ the owner of the small business concern identified below:☐ an official of the small business concern empowered to act on behalf of the concern identified below:NAME OF CONCERN BioVex LimitedADDRESS OF CONCERN The Windeyer Institute, 46 Cleveland Street, London W1P 6DB, United Kingdom.

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in the 13 CFR 121-3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **VIRUS STRAINS FOR THE ONCOLYTIC TREATMENT OF CANCER** by Robert Stuart COFFIN, inventor(s).

described in ☐ the specification filed herewith☐ application Serial No., filed 22 January 2001☐ Patent No., issued

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME

ADDRESS

☐ INDIVIDUAL☐ SMALL BUSINESS CONCERN☐ NON-PROFIT ORGANIZATION

NAME

ADDRESS

☐ INDIVIDUAL☐ SMALL BUSINESS CONCERN☐ NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING R. COFFINTITLE OF PERSON OTHER THAN OWNER DIRECTORADDRESS OF PERSON SIGNING 43 EDWILLE LANE, LONDON, UKSIGNATURE [Signature]DATE 2/8/02

PATENT

RECORDED: 11/12/2002

REEL: 013817 FRAME: 0214