

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08/REV03

RECC

03-13-2003

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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and original documents or copy thereof.

1. Name of conveying party(ies):
Huali "Ariel" Luo

3-11-03

2. Name and address of receiving party(ies):

Name: Wavesplitter Technologies, Inc.

Internal Address: _____

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Street Address: 46430 Fremont Boulevard

City: Fremont State: CA ZIP: 94538

Execution Date: 5/9/02 Luo

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/038,882

Filed: 1/2/2002

B. Patent No.(s)

Additional numbers attached? Yes No

FINANCE SECTION
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RECORDS

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stuart H. Mayer

Internal Address: Mayer Fortkort & Williams, PC

Street Address: 251 North Avenue West, 2nd Floor

City: Westfield State: NJ ZIP: 07090

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

50-1047

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stuart H. Mayer Reg. No. 35,277

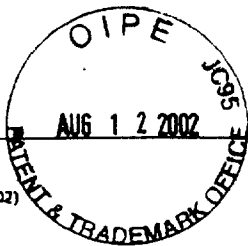
3.5.03

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4



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cket No.: 00-18

FORM PTO-1585 (Revised)
(Rev. 03-01)
OMB No. 0801-0027 (exp. 03/31/2002)
FORM PTO-1585

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Hualt "Ariel" Luo

8-12-02

2. Name and address of receiving party(ies):

Name: Wavesplitter Technologies, Inc.

Internal Address: _____

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Street Address: 46438 Fremont Boulevard

City: Fremont State: CA ZIP: 94538

Execution Date: 5/9/02 Luo

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/038,882 Filed: 01/02/2002

B. Patent No.(s)

Additional numbers attached? Yes No

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Internal Address: Mayer Fertkort & Williams, PC

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karin L. Williams Reg. No. 36,721

Karin L Williams

8/6/02

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 013825 FRAME: 0688

ASSIGNMENT FOR UNITED STATES PATENT APPLICATION
(PATENT APPLICATION PENDING)
(SOLE OR JOINT)

Docket: 00-18

WHEREAS, Huali "Ariel" Luo, a Chinese citizen, residing at 41543 Paseo Padre Parkway, Fremont, California 94539, and Kevin Sullivan, a United States citizen, residing at 616 Lonsdale Avenue, Fremont, CA 94539 (hereinafter collectively and severally referred to as "assignor") have invented a certain invention entitled:

BIDIRECTIONAL OPTICAL INTERLEAVER

for which application for Letters Patent of the United States was filed with the United States Patent and Trademark Office on January 2, 2002 and accorded serial number 10/038,882, and

WHEREAS, Wavesplitter Technologies, Inc., a California corporation having an office at 46430 Fremont Boulevard, Fremont, California 94538 (hereinafter referred to as "assignee", which term includes the successors and assigns of assignee), is desirous of acquiring the entire right, title and interest, in and to said invention and in and to said letters patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW THEREFORE, be it known that in consideration of the payment by assignee to assignor of the sum of one dollar (\$1.00) and for other good and valuable consideration, the receipt of which is hereby acknowledged, assignor hereby sells, assigns and transfers to assignee his full and complete right, title, and interest in and to said invention and said patent application (including the rights of priority thereto) in the United States, its possessions, and in all foreign countries, and to all Letters Patent or similar legal protection in the United States, its possessions, and any and all foreign countries to be obtained on said invention or issuing from said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment, sale, and transfer.

ASSIGNOR further covenants that assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention, application, and Letters Patent and legal equivalents thereto in such foreign countries as may be known to and within the control of assignor and assignor will in good faith testify as to the same in any interference, proceeding, or litigation related thereto and will promptly execute and deliver to assignee or its legal representative any and all papers, instruments, affidavits, or the like required or useful to apply for, obtain, maintain, issue and enforce said application or Letters Patent or equivalent thereof in any aforesaid country of the world which may be necessary or desirable therefor.

IN WITNESS WHEREOF, I have hereunto set my signature representing my hand and seal on the date indicated.



Huali "Ariel" Luo

Date

05/09/02

Date

Kevin Sullivan