

03-13-2003

FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)

RECORDED



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

102388800

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Randal Hauptmann, Alan D. Blowers, and Blair L. Winner

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: January 23, 2003 and February 12, 2003

2. Name and Address of receiving party(ies)

Name: Ball Horticultural

Internal Address: _____

Street Address: 622 Town Road

City: West Chicago State: Illinois ZIP: 60185

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 10/10,325,265

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edward P. Gamson, Esq.

Internal Address: _____

Street Address: 120 South Riverside Plaza

22nd Floor

City: Chicago State: Illinois ZIP: 60606

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 23-0920

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward P. Gamson, Esq.

Name of Person Signing

Edward P. Gamson

Signature

February 28, 2003

Date

Total number of pages including cover sheet, attachments, and document:

5

Mail documents to be recorded with required cover sheet information
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

03/12/2003 LNUELLER 00000089 10325265

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40.00 DP

PATENT
REEL: 013829 FRAME: 0055

Assignment

Serial No. 10/325,265

Filed December 19, 2002

WHEREAS:

Randal Hauptmann, residing at 458 Burr Oak Drive, Oswego, Illinois 60593, and Alan D. Blowers, residing at 4N589 Arrowhead Drive, St. Charles, Illinois 60175, both United States citizens (hereinafter referred to as ASSIGNORS), have invented and own a certain invention entitled:

***Tagetes erecta* MARIGOLDS WITH ALTERED CAROTENOID COMPOSITIONS
AND RATIOS**

for which application for Letters Patent of the United States has been executed on January 3 and 6, 2003 and February 12, 2003, and

WHEREAS:

Ball Horticultural Company, having a place of business at 622 Town Road, West Chicago, Illinois 60185 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

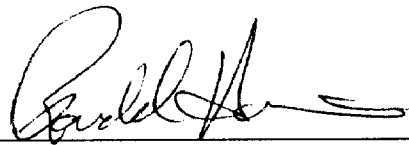
Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof and in and to all Letters Patent and all Convention and Treaty rights of all kinds, in all countries throughout the world for said invention for the full term or terms for which the same may be granted.

ASSIGNORS covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNORS and will testify as to the

same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said rights, said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, we have hereunto set our hand and seal this day of _____, ____.

Date 1/23/03


Randal Hauptmann

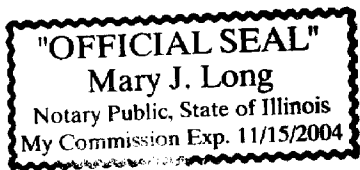
Date 1/23/03


Alan D. Blowers

STATE OF ILLINOIS)
)
COUNTY OF)

I hereby certify that Randal Hauptmann and Alan D. Blowers, before me personally appeared and acknowledged that the foregoing assignment was duly signed, sealed and delivered by them as their free and voluntary act on this 23rd day of JANUARY, 2003.

(SEAL)




Notary Public
My Commission expires 11-15-2004

Assignment

Serial No. 10/325,265

Filed December 19, 2002

WHEREAS:

Blair L. Winner, residing at 9086 Neath St., Ventura, California 93004, a United States citizen (hereinafter referred to as ASSIGNOR), has invented and owns a certain invention entitled:

***Tagetes erecta* MARIGOLDS WITH ALTERED CAROTENOID COMPOSITIONS
AND RATIOS**

for which application for Letters Patent of the United States has been executed on January 3 and 6, 2003 and February 12, 2003, and

WHEREAS:

Ball Horticultural Company, having a place of business at 622 Town Road, West Chicago, Illinois 60185 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof and in and to all Letters Patent and all Convention and Treaty rights of all kinds, in all countries throughout the world for said invention for the full term or terms for which the same may be granted.

ASSIGNOR covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will

promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said rights, said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of February 12, 2003.

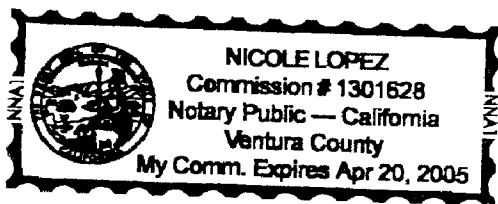
Date 2/12/03

Blair L. Winner
Blair L. Winner

STATE OF CALIFORNIA)
)
COUNTY OF Ventura)

I hereby certify that Blair L. Winner before me personally appeared and acknowledged that the foregoing assignment was duly signed, sealed and delivered by him as his free and voluntary act on this 12 day of February, 2003.

(SEAL)



Nicole Lopez
Notary Public
My Commission expires 4.20.05