U.S. Department of Commerce FORM PTO-1619A 03-14-2003 Patent and Trademark Office Expires 06/30/99 PATENT OMB 0651-0027 **ER SHEET** 102390609 TO: The Commissioner of Patents and Trademarks: Please record the attached original documents or copylies [] \ Submission Type Conveyance Type 3.11.03 X New **Assignment** Resubmission (Non-Recordation) Security Agreement Document ID# License Change of Name Merger Other Correction of PTO Error Reel# Frame # U.S. Government **Corrective Document** (For Use ONLY by U.S. Government Agencies) Reel# Frame # Departmental File Secret File **Execution Date** Conveying Party(ies) X Mark if additional names of conveying parties attached Month Day Year Name (line 1) Chris Allen 02/19/03 **Execution Date** Month Day Year Second Party David S. Bonalle 01/29/03 Name (line 1) Name (line 2) **Receiving Party** Mark if additional names of receiving parties attached Name (line 1) AMERICAN EXPRESS TRAVEL RELATED SERVICES If document to be recorded is an assignment and the COMPANY, INC. receiving party is not domiciled in the United Name (line 2) States, an appointment of a domestic representative is attached. Address (line 1) General Counsel's Office, American Express Tower (Designation must be a separate document from Address (line 2) Assignment.) **World Financial Center** Address (line 3) New York New York 10285**-**4900 **Domestic Representative Name and Address** Enter for the first Receiving Party only. Name Address (line 1)

3/13/2003 GTDN11 00000060 192814 29169502 \ FOR OFFICE USE ONLY

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FORM P10-1619B Expires 06/30/99 OMB 0651-0027	Page 2	Patent and Trademark Office PATENT
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Address (line 1)	SNELL & WILMER, L.L.P.	
Address (line 2)	One Arizona Center	
Address (line 3)	400 East Van Buren	
Address (line 4)	Phoenix, Arizona 85004-2202	
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• -		onal numbers attached
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	Application Number(s) Patent Nu	imber(s)
29/169,502		
If this document is being signed by the first name	ifiled together with a <u>new</u> Patent Application, enter the date the patent application d executing inventor.	was Month Day Year
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Patrick L. Mixon, Re Name of Perso		

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## RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

U.S. Department of Commerce Patent and Trademark Office PATENT

Conveying Party(ies) Mark if additional names of conveying parties attached Enter additional Conveying Partyles **Execution Date** Month Day Year Name (line 1) Jennifer A. Elwood 02/03/03 Name (line 2) **Execution Date** Month Day Year Name (line 1) Ronald Guillard 02/06/03 Name (line 2) **Execution Date** Month Day Year Name (line 1) Douglas N. Laube 02/06/03 Name (line 2) Receiving Party(ies) Mark if additional names of receiving parties attached Enter additional Receiving Party(ies) Name (line 1) If document to be recorded is an assignment and the receiving party is not Name (line 2) domiciled in the United States, an appointment of a domestic representative is Address (line 1) attached. (Designation must be a separate document from Address (line 2) Assignment.) Address (line 3) City State/Country Zip Code Name (line 1) If document to be recorded is an assignment and the receiving party is not Name (line 2) domiciled in the United States, an appointment of a domestic representative is Address (line 1) attached. (Designation must be a separate Address (line 2) document from Assignment.) Address (line 3) State/Country Zip Code Application Number(s) or Patent Number(s) Mark if additional number attached Enter either the Patent Application Number of the Patent Number (DO NOT ENTER BOTH numbers for the same property). Patent Application Number(s) Patent Number(s)

**REEL: 013830 FRAME: 0723** 

Conveying Part	y(ies) [Continued]	
Enter additional Co	onveying Parties	Execution Date Month Day Year
Name (line 1)	Stephen R. Myers .	02/06/03
Name (line 2)		Execution Date Month Day Year
Name (line 1)	Lisa Skilling-Belmond	02/13/03
Name (line 2)		Execution Date Month Day Year
Name (line 1)	Judy Vigiletti	02/11/03
Name (line 2)		Execution Date Month Day Year
Name (line 1)		
Name (line 2)		Execution Date Month Day Year
Name (line 1)		
Name (line 2)		

WHEREAS, the undersigned inventor(s) (hereinafter singly and collectively, "ASSIGNOR") of the addresses indicated below, have invented subject matter disclosed in the patent application entitled READER FOR A PAYMENT DEVICE filed on October 18, 2002 and assigned U.S. Serial No. 29/169,502.

AND WHEREAS, AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY, INC., a subsidiary of AMERICAN EXPRESS COMPANY (hereinafter "ASSIGNEE"), a corporation organized and existing under and by virtue of the laws of the State of New York having its principal place of business and mailing address at General Counsel's Office, American Express Tower, World Financial Center, New York, New York 10285-4900, is desirous of memorializing its interest therein;

NOW, THEREFORE, the undersigned ASSIGNORS hereby confirm that ASSIGNORS are full time salaried employees, past employees or contractors of ASSIGNEE, AMERICAN EXPRESS COMPANY or one of it's subsidiary companies, and that ASSIGNORS developed the subject matter of the invention on ASSIGNEE's time and at the expense of ASSIGNEE and within the scope of ASSIGNORS' duties to ASSIGNEE and thereby ASSIGNORS recognize that the ASSIGNEE owns the invention as described in the aforesaid application. Nonetheless to comport with Patent Office procedures, ASSIGNORS memorialize that relationship in the form of this Assignment and;

THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNORS by these presents do sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the invention, as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, or any other application which claims priority to said application, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives,

AND HEREBY AGREES to transfer to ASSIGNEE, its successors, assigns and legal representatives, without further remuneration, a like interest in and to any improvements, derivative works, and applications based thereon, growing out of or relating to the invention; and to provide all reasonable assistance and execute any papers, if accurate, desired by ASSIGNEE, its successors, assigns and legal

Attorney Docket No. 40655.9500

PATENT REEL: 013830 FRAME: 0725

In the event that the Application Number and/or filing date is not available when this Assignment is executed, I hereby authorize and request any attorney associated with Snell & Wilmer L.L.P., Customer No. 20322, to insert above the filing date and/or the application number of said application when known.

**EXECUTED** as of the dates written below by ASSIGNORS:

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
Chris Allen	GE ATLANTIC AVE, H'LLD BROOKLYN, NY 1/201		2/14/03
David S. Bonalle			
Jennifer Anne Elwood			
Ronald Guillard			
Jeff Kohnle			

Attorney Docket No. 40655.9500

In the event that the Application Number and/or filing date is not available when this Assignment is executed, I hereby authorize and request any attorney associated with Snell & Wilmer L.L.P., Customer No. 20322, to insert above the filing date and/or the application number of said application when known.

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INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
Chris Allen			
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Jennifer Anne Elwood			
Ronald Guillard			
Jeff Kohnle			

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**EXECUTED** as of the dates written below by ASSIGNORS:

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Ronald Guillard		<del></del>	
Jeff Kohnle			

Attorney Docket No. 40655.9500

- 2 -

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Jennifer Anne Elwood			
		2//	
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Stephen R. Myers	1420 Cool Springs Allen, TX 75013	Stephent June	<u>2-6-0</u> 3
Lisa Skilling			
Judy Vigiletti		habet Willey Co. To 1	<del></del>

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CERTIFICATE OF Management Applicant(s): Allen, et al	MAILING BY FIRST CLAS	SS MAIL (37 CFR 1.8)		Docket No. 40655.9500	
Serial No. 29/169,502	Filing Date October 18, 2002	Examiner <b>TBA</b>		Group Art Unit <b>2900</b>	
Invention: READER FO	OR A PAYMENT DEVICE				
I hereby certify that this	S Assignment				
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Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on March 6, 2003  (Date)  Ginny Fitzgerald  (Typed or Printed Name of Person Mailing Correspondence)  (Signature of Person Mailing Correspondence)					
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