

03-17-2003



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HEET

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

BUSICK, David R.  
GAYDOS, Peter A.  
MEEK, Daniel D.Additional name(s) of conveying party(ies) attached? Yes ☒ No

## 3. Nature of conveyance:

X Assignment Merger  
Security Agreement Change of Name  
Other \_\_\_\_\_

Execution Date: 02-14-2003

## 2. Name and address of receiving party(ies):

Name: BattellePharma, Inc.

Internal Address: \_\_\_\_\_

Street Address 1801 Watermark Drive, Suite 100

City: Columbus State: OH ZIP: 43215

Additional name(s) & address(es) attached? Yes ☒ No

## 4. Application number(s) or patent number(s): Express Mail No. EL583881922US

If this document is being filed together with a new application, the execution date of the application is: 02-14-2003

A Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes ☒ No

## 5. Name and address of party to whom correspondence

Concerning document should be mailed:

Name: David E. Franklin

Internal Address: FROST BROWN TODD LLC

Street Address: 2200 PNC CENTER  
201 East Fifth Street

City: Cincinnati State: OH ZIP: 45202

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41).... \$ 40.00

X Enclosed

Authorized to be charged to deposit account

## 8. Deposit Account Number

(Attach duplicate copy of this page if paying by deposit count)

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## 9. Signature.

David E. Franklin, Reg. No. 39,194

Name of Person Signing, Registration Number

Signature

28 FEB 2003

Date

Total number of pages including cover sheet, attachments, and document

5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, DC 20231

David R. Busick  
Peter A. Gaydos  
Daniel D. Meek  
to  
BattellePharma, Inc.

**WHEREAS** we, David R. Busick, of 8911 Lake Ridge Drive, Lewis Center, in the County of Delaware, and State of Ohio, and Peter A. Gaydos, of 2384 Stewart Hollow Court, Hilliard, in the County of Franklin, and State of Ohio and, Daniel D. Meek, 7733 Heatherwood Drive, Canal Winchester, in the County of Fairfield, and State of Ohio have made certain inventions in Case Docket No. 22203 entitled, "IMPROVED NOZZLE FOR HANDHELD PULMONARY AEROSOL DELIVERY DEVICE", the specification of which is attached hereto; and

**WHEREAS**, BattellePharma, Inc., a corporation of the State of Delaware, having a place of business at 1801 Watermark Drive, Suite 100, Columbus, Ohio 43215, herein called "Assignee", is desirous of acquiring our entire right, title, and interest in said invention and application therefore, and in any patent or patents to be obtained therefor;

**NOW, THEREFORE**, to all whom It may concern, be it known that, for and in consideration of the sum of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign, and transfer unto the said Assignee, our entire right, title, and interest in and to the invention shown, described, or claimed therein, and the full and exclusive right and title to the said application executed by us, preparatory to obtaining Letters Patent of the United States therefor; and in and to any extensions, reissues, divisions, or renewals thereof; and we do hereby authorize and request the Commissioner of Patents or other proper Officer, to issue such Letters Patent, or any of them, to the said Assignee, as the assignee of our entire right, title, and interest in and to the same for the sole use and behoof of the said Assignee, and its successors or assigns;

David R. Busick  
Peter A. Gaydos  
Daniel D. Meek  
to  
BattellePharma, Inc.

And we further covenant and agree that we will, at the request and expense of the said Assignee, execute such other and further assurances of title as may be necessary or proper to fully convey the interest herein sought to be conveyed; that we will, at the request and expense of the said Assignee, execute such application papers as may be desired by the said Assignee for the filing of any division or renewal of the application herein conveyed, or for the reissues or extensions of any Letters Patent that may be granted upon said application, and will execute such Supplemental Oaths and Preliminary Statements, or other papers as desired by the said Assignee;

And we further assign unto the said Assignee our whole right, title, and interest to the invention disclosed in the application throughout all countries foreign to the United States, and do hereby authorize the said Assignee to apply for patents therefore in its own name in countries where such procedure is proper and to claim the benefit of the International Convention and do agree to execute applications for the said invention in the several countries where it is necessary that the same be executed by the inventors, and to execute assignments of such applications and the patents to be obtained therefor to the said Assignee, as well as all other necessary papers.

David R. Busick  
Peter A. Gaydos  
Daniel D. Meek  
to  
BattellePharma, Inc.

IN TESTIMONY WHEREOF, I have hereunto set my hand at Columbus, Ohio this

14 day of February, 2003

David R. Busick

David R. Busick

STATE OF OHIO )

:ss

COUNTY OF FRANKLIN)

This 14<sup>th</sup> day of February , 2003, before me, a Notary Public in and for the County  
aforesaid, personally appeared David R. Busick, personally known to me and known to  
be the person described in and who executed the foregoing assignment and  
acknowledged the same to be his voluntary act and deed, and that he executed the same  
for the uses and purposes therein set forth.

Beth Ann Beck

Notary Public

BETHANY ANN BECK, Attorney At Law

NOTARY PUBLIC - STATE OF OHIO

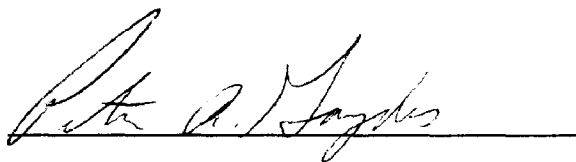
MY COMMISSION HAS NO EXPIRATION DATE

SECTION 147.03 R.C.

David R. Busick  
Peter A. Gaydos  
Daniel D. Meek  
to  
BattellePharma, Inc.

IN TESTIMONY WHEREOF I have hereunto set my hand at Columbus, Ohio this

14<sup>th</sup> day of February, 2003.



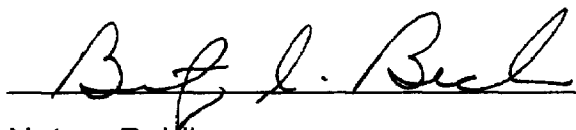
Peter A. Gaydos

STATE OF OHIO )

:SS

COUNTY OF FRANKLIN)

This 14<sup>th</sup> day of February, 2003, before me, a Notary Public in and for the County  
aforesaid, personally appeared Peter A. Gaydos, personally known to me and known to  
be the person described in and who executed the foregoing assignment and  
acknowledged the same to be his voluntary act and deed, and that he executed the same  
for the uses and purposes therein set forth.

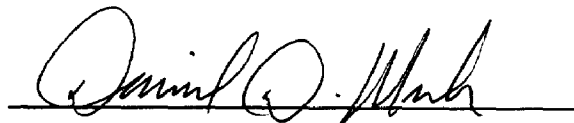


Notary Public

BETHANY ANN BECK, Attorney At Law  
NOTARY PUBLIC - STATE OF OHIO  
MY COMMISSION HAS NO EXPIRATION DATE  
SECTION 147.03 R.C.

David R. Busick  
Peter A. Gaydos  
Daniel D. Meek  
to  
BattellePharma, Inc.

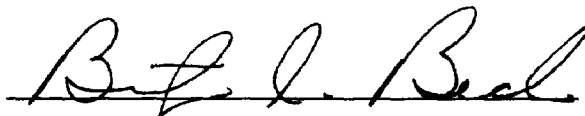
IN TESTIMONY WHEREOF I have hereunto set my hand at Columbus, Ohio this 14  
day of February, 2003.



Daniel D. Meek

STATE OF OHIO            )  
                                  :SS  
COUNTY OF FRANKLIN)

This 14<sup>th</sup> day of February, 2003, before me, a Notary Public in and for the County  
aforesaid, personally appeared Daniel D. Meek, personally known to me and known to be  
the person described in and who executed the foregoing assignment and acknowledged  
the same to be his voluntary act and deed, and that he executed the same for the uses  
and purposes therein set forth.

  
Notary Public

BETHANY ANN BECK, Attorney At Law  
NOTARY PUBLIC - STATE OF OHIO  
MY COMMISSION HAS NO EXPIRATION DATE  
SECTION 147.03 R.C.