

03-17-2003

To the Honorable Commissioner of Patents and Trade

102391059

ents or copy thereof.

## 1. Name of Conveying party(ies):

**Gregory S. King; Richard K. Pelerossi;  
Fredrick M. Richards; and Deborah A. Laun**additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: **DHD Healthcare Corporation**

Internal Address: \_\_\_\_\_

Street Address: **One Madison Street**City: **Wampsville** State: **New York** Zip **13163**Additional Name(s) & address(es) attached ☐ Yes ☒ No

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: **March 4, 2003**

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) **29/173,772**

B. Patent No. (s)

In re application of: **Gregory S. King et al.**Filed: **January 3, 2003**Additional number attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **August E. Roehrig, Jr.**Internal Address: **HANCOCK & ESTABROOK, LLP**Street Address: **1500 MONY Tower I  
PO Box 4976**City: **Syracuse** State: **NY** Zip: **13221-4976**Additional Name(s) & address(es) attached ☐ Yes ☒ No

## 6. Total Number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) ..... \$ **40.00**

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit Account Number: 50-0576

- ☒ Charge any deficiencies or credit any overpayment

DO NOT USE THIS SPACE

## 9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**August E. Roehrig, Jr.**

Name of person Signing

Signature

Date

Total number of pages including cover sheet, attachments and documents

4

Mail documents to be recorded with required cover sheet information to:  
Assistant Commissioner for Patents  
Washington DC 20231

03/14/2003 6T0N11

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## ASSIGNMENT

**WHEREAS**, We, Gregory S. King, Richard K. Pelerossi, Fredrick M. Richards and Deborah A. Laun, all citizens of the United States, respectively residing at 7 Corwin Street, Cazenovia, NY 13035, County of Madison; 2004 Roser Terrace, Rome, NY 13440, County of Oneida; 71 Stebbins Drive, Clinton, NY 13323, County of Oneida; 4003 Bussey Road, Syracuse, NY 13215, County of Onondaga, (hereinafter "ASSIGNORS"); have invented and own a certain invention entitled: RESPIRATORY THERAPY DEVICE, for which an application for Letters Patent of the United States was filed in the United States Patent and Trademark Office on January 3, 2003 as U.S. Patent Application Serial No. 29/173,772; and

**WHEREAS**, DHD Healthcare Corporation, a New York corporation having a place of business at One Madison Street, Wampsville, New York 13163, (hereinafter "ASSIGNEE"), is desirous of acquiring the entire interest in and to said invention and in and to patents or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

**NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:** Be it known that in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), and for other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE, its successors and assigns, the entire right, title, interest and priority rights in and to said invention disclosed in said application, together with said application and any continuation, divisional, renewal, substitute or reissue application thereof, applications corresponding thereto in whole or in part in all other countries, and all right, title and interest in and to patents and similar protective rights granted on said applications, as well as the right to claim any applicable priority rights arising from said applications under the terms of any applicable conventions, treaties, statutes or regulations, said applications to be filed and issued in the name of ASSIGNEE or its designee.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNORS further covenant that they will execute such documents as in the judgement of ASSIGNEE may be necessary to obtain any such patents and similar protective rights and vest and maintain the title thereto in ASSIGNEE or its designee; and ASSIGNORS further covenant that, upon request, but without expense to them, ASSIGNORS shall furnish to ASSIGNEE or its designee any data, information, exhibits, memoranda, or other evidence in their possession relating to said invention, and shall testify in any ex parte or inter partes legal or administrative proceeding relating to said invention, applications, patents or similar protective rights.

ASSIGNORS authorize and request issuance of all patents and similar protective rights that may be granted on any of said applications, to the extent that and in such manner as such issuance shall be requested by ASSIGNEE or its designee.

This document shall be governed and construed in all respects in accordance with the laws of the State of New York, USA.

IN WITNESS WHEREOF, ASSIGNORS have caused this Assignment to be executed and effective as of the dates indicated by their respective hands and seals.

Date: 3/4/03

G. S. King  
Gregory S. King

STATE OF New York )  
COUNTY OF Madison )

ss:

On this 4th day of March in the year 2003, before me, the undersigned, a Notary Public in and for said State, personally appeared Gregory S. King, known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Witness my hand and official seal.

My commission expires: \_\_\_\_\_

KELLEY L. SEGAL  
NOTARY PUBLIC NY STATE  
QUALIFIED MADISON COUNTY  
MY COMM EXPIRES JUNE 3, 2006

Kelley L. Segal  
Notary Public

Date: 3.04.03

Richard K. Pelerossi  
Richard K. Pelerossi

STATE OF New York )  
COUNTY OF Madison )

ss:

On this 4th day of March in the year 2003, before me, the undersigned, a Notary Public in and for said State, personally appeared Richard K. Pelerossi, known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Witness my hand and official seal.

My commission expires: \_\_\_\_\_

KELLEY L. SEGAL  
NOTARY PUBLIC NY STATE  
QUALIFIED MADISON COUNTY  
MY COMM EXPIRES JUNE 3, 2006

Kelley L. Segal  
Notary Public

Date: 3-4-03

Fredrick M. Richards  
Fredrick M. Richards

STATE OF New York )  
COUNTY OF Madison )

ss:

On this 4th day of March in the year 2003, before me, the undersigned, a Notary Public in and for said State, personally appeared Fredrick M. Richards, known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Witness my hand and official seal.

My commission expires: \_\_\_\_\_

KELLEY L. SEGAL  
NOTARY PUBLIC NY STATE  
QUALIFIED MADISON COUNTY  
MY COMM EXPIRES JUNE 3, 2006

Kelley L. Segal  
Notary Public

Date: 4 MAR 03

Deborah A. Laun  
Deborah A. Laun

STATE OF New York )  
COUNTY OF Madison )

ss:

On this 4th day of March in the year 2003, before me, the undersigned, a Notary Public in and for said State, personally appeared Deborah A. Laun, known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her capacity, and that by her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Witness my hand and official seal.

My commission expires: \_\_\_\_\_

KELLEY L. SEGAL  
NOTARY PUBLIC NY STATE  
QUALIFIED MADISON COUNTY  
MY COMM EXPIRES JUNE 3, 2006

Kelley L. Segal  
Notary Public