



03-19-2003



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Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

<p>1. Name of conveying party(ies): NEC Corporation</p> <p style="text-align: right; font-size: 2em;">3.5.03</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies)</p> <p>Name: <u>NEC Electronic Corporation</u></p> <p>Internal Address: _____</p> <p>Street Address: _____</p> <p>1753 Shimonumabe, Nakahara-ku, Kawasaki Kanagawa 211-8668</p> <p>City: _____</p> <p>Country: <u>Japan</u> Zip: _____</p> <p>Additional name(s) & address(es) attached: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Other _____</p> <p>Execution Date: <u>November 1, 2002</u></p>	

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is: _____

<p>A. Patent Application No.(s):</p> <p><u>09/989,218</u> Filed 11/20/2001</p> <p><u>09/990,224</u> Filed 11/21/2001</p> <p><u>10/096,017</u> Filed 03/13/2002</p>	<p>B. Patent No.(s):</p> <p>6,478,834 Granted 11/12/2002</p>
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Additional numbers attached? Yes No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Steven I. Weisburd</u> <u>DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP</u></p> <p>Internal Address: <u>Atty. Dkt.: N0029.0001</u></p> <p>Street Address: <u>1177 Avenue of the Americas</u> <u>41st Floor</u></p> <p>City: <u>New York</u> State: <u>NY</u> Zip: <u>10036-2714</u></p>	<p>6. Total number of applications and patents involved: <u>4</u></p> <p>7. Total fee (37 CFR 3.41) \$ <u>160.00</u></p> <p><input type="checkbox"/> Enclosed</p> <p><input type="checkbox"/> Authorized to be charged to deposit account</p> <p><input checked="" type="checkbox"/> Authorized to be charged to credit card (Form 2038 enclosed)</p> <p>8. Deposit account number: <u>50-2215</u> (Attach duplicate copy of this page if paying by deposit account)</p>
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven I. Weisburd February 28, 2003
Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and documents: 5

03/18/2003 JJALLAH2 00000018 09989218
01 FC:0021 160.00 OP

WHEREAS, NEC Corporation, a Japanese corporation, having its principal place of business at 7-1, Shiba 5-chome, Minato-ku, Tokyo 108-8001, Japan, as assignor, is the partial owner of the entire right, title and interest in certain improvements in SLURRING FOR CHEMICAL MECHANICAL POLISHING SLURRY for which an application for United States Letters Patent has been granted U.S. Serial No. 09/989,218 and a November 20, 2001 filing date; and

WHEREAS, NEC Electronics Corporation, a Japanese corporation, having its principal place of business at 1753 Shimonumabe, Nakahara-ku, Kawasaki, Kanagawa 211-8668, Japan, as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Patent that may be granted therefor.

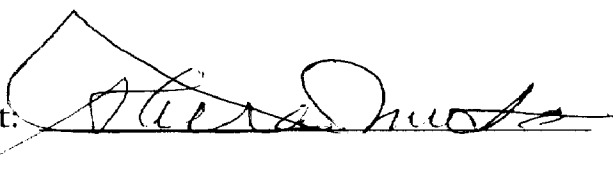
NOW, THEREFORE, for valuable consideration, receipt of which is hereby acknowledged, NEC Corporation, hereby sells, assigns and transfers to NEC Electronics Corporation, its successors and assigns, its entire right, title and interest including rights to recover for past infringement in the below listed patent application:

Serial No.
09/989,218

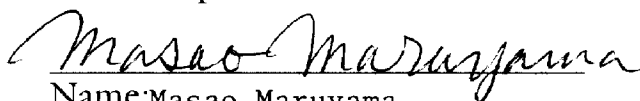
Filing Date
November 20, 2001

IN WITNESS WHEREOF, NEC Corporation has executed this Assignment by proper persons duly authorized.

Attest:



NEC Corporation



Name: Masao Maruyama

Title: Chief Manager

Planning Division

Intellectual Asset Operations Unit

November 1, 2002

WHEREAS, NEC Corporation, a Japanese corporation, having its principal place of business at 7-1, Shiba 5-chome, Minato-ku, Tokyo 108-8001, Japan, as assignor, is the partial owner of the entire right, title and interest in certain improvements in CHEMICAL MECHANICAL POLISHING SLURRY for which an application for United States Letters Patent has been granted U.S. Serial No. 09/990,224 and a November 21, 2001 filing date; and

WHEREAS, NEC Electronics Corporation, a Japanese corporation, having its principal place of business at 1753 Shimonumabe, Nakahara-ku, Kawasaki, Kanagawa 211-8668, Japan, as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Patent that may be granted therefor.

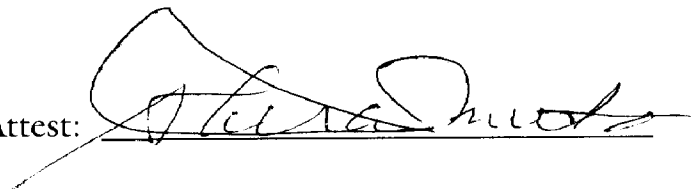
NOW, THEREFORE, for valuable consideration, receipt of which is hereby acknowledged, NEC Corporation, hereby sells, assigns and transfers to NEC Electronics Corporation, its successors and assigns, its entire right, title and interest including rights to recover for past infringement in the below listed patent application:

Serial No.
09/990,224

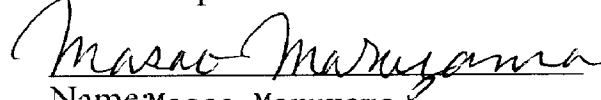
Filing Date
November 21, 2001

IN WITNESS WHEREOF, NEC Corporation has executed this Assignment by proper persons duly authorized.

Attest:



NEC Corporation



Name: Masao Maruyama

Title: Chief Manager

Planning Division

Intellectual Asset Operations Unit

November 1, 2002

WHEREAS, NEC Corporation, a Japanese corporation, having its principal place of business at 7-1, Shiba 5-chome, Minato-ku, Tokyo 108-8001, Japan, as assignor, is the partial owner of the entire right, title and interest in certain improvements in SLURRY FOR CHEMICAL MECHANICAL POLISHING for which A United States Letters Patent has been granted U.S. Patent No. 6,478,834 and a November 12, 2002 issue date; and

WHEREAS, NEC Electronics Corporation, a Japanese corporation, having its principal place of business at 1753 Shimonumabe, Nakahara-ku, Kawasaki, Kanagawa 211-8668, Japan, as assignee, is desirous of acquiring all right, title and interest in and to said invention and said Patent.

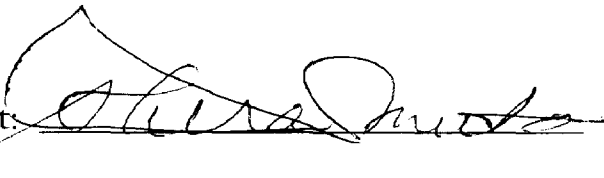
NOW, THEREFORE, for valuable consideration, receipt of which is hereby acknowledged, NEC Corporation, hereby sells, assigns and transfers to NEC Electronics Corporation, its successors and assigns, its entire right, title and interest including rights to recover for past infringement in the below listed patent (and the published application on which it is based):

Patent No.
6,478,834

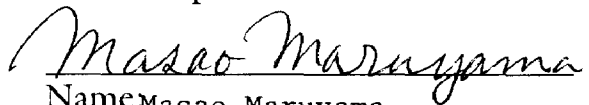
Filing Date
November 12, 2002

IN WITNESS WHEREOF, NEC Corporation has executed this Assignment by proper persons duly authorized.

Attest:



NEC Corporation



Name Masao Maruyama

Title: Chief Manager

Planning Division

Intellectual Asset Operations Unit

November 1, 2002

WHEREAS, NEC Corporation, a Japanese corporation, having its principal place of business at 7-1, Shiba 5-chome, Minato-ku, Tokyo 108-8001, Japan, as assignor, is the partial owner of the entire right, title and interest in certain improvements in APPARATUS FOR APPLYING CLEANING TREATMENT TO THE SURFACE OF A PROCESSED MEMBER for which an application for United States Letters Patent has been granted U.S. Serial No. 10/096,017 and a March 13, 2002 filing date; and

WHEREAS, NEC Electronics Corporation, a Japanese corporation, having its principal place of business at 1753 Shimonumabe, Nakahara-ku, Kawasaki, Kanagawa 211-8668, Japan, as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Patent that may be granted therefor.

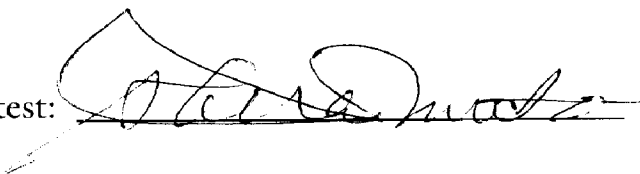
NOW, THEREFORE, for valuable consideration, receipt of which is hereby acknowledged, NEC Corporation, hereby sells, assigns and transfers to NEC Electronics Corporation, its successors and assigns, its entire right, title and interest including rights to recover for past infringement in the below listed patent application:

Serial No.
10/096,017

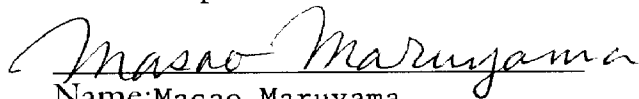
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